## POLYNOMIAL LARGENESS OF SUMSETS AND TOTALLY ERGODIC SETS

ALEXANDER FISH

ABSTRACT. We prove that a sumset of a TE subset of  $\mathbb{N}$  (these sets can be viewed as "aperiodic" sets) with a set of positive upper density intersects any polynomial sequence. For WM sets (subclass of TE sets) we prove that the intersection has lower Banach density one. In addition we obtain a generalization of the latter result to the case of several polynomials.

#### 1. INTRODUCTION

We call a set  $A \subset \mathbb{N}$  **p-good** if for every  $B \subset \mathbb{N}$  of positive upper density and every  $p(n) \in \mathbb{Z}[n]$  with a positive leading coefficient we have

$$(A+B) \cap \{p(n)|n \in \mathbb{N}\} \neq \emptyset$$

Let us choose the following model for a random set. Any natural number is in a set with probability q > 0 independently of other numbers. It follows from Borel-Cantelli lemma that with probability one such a set is p-good. The paper provides explicit constructions for p-good sets.

A proper p-good set cannot be periodic. We propose a dynamical approach for constructing (aperiodic) p-good sets.

In ergodic theory there are many different notions for aperiodicity (randomness) of a measure preserving system, i.e. a quadruple  $(X, \mathbb{B}_X, \mu, T)$ , where X is a compact metric space,  $\mathbb{B}_X$  is Borel  $\sigma$ -algebra on X,  $T: X \to X$  is a continuous map and  $\mu$  is a Borel probability measure on X which is preserved under the action of T.

We will always assume that a system  $(X, \mathbb{B}_X, \mu, T)$  is **totally ergodic**<sup>1</sup>, i.e. the systems  $((X, \mathbb{B}_X, \mu, T^n))_{n \in \mathbb{N}}$  are **ergodic**. There are many equivalent definitions for ergodicity of a system. For our purposes the most convenient definition is that the conclusion of the pointwise ergodic theorem is true:

For any  $f \in L^1_{\mu}(X)$  for almost every  $x \in X$  with respect to  $\mu$  we have

$$\frac{1}{N}\sum_{n=1}^{N}f(T^nx)\to\int fd\mu.$$

Let  $f \in L^{\infty}_{\mu}(X)$ . Denote by  $\mathcal{A}_f$  the algebra of functions generated by f and all of its translates by T.<sup>2</sup> By the ergodic theorem there exists a set of full measure

<sup>1991</sup> Mathematics Subject Classification. Primary: 11B13, 37A30.

Key words and phrases. Sumsets, Total ergodicity, Weak mixing.

<sup>&</sup>lt;sup>1</sup>Measure preserving systems on cyclic groups which obviously exhibit a periodicity are not totally ergodic.

<sup>&</sup>lt;sup>2</sup>We choose a function f from  $L^{\infty}_{\mu}(X)$  because we want to ensure that  $\mathcal{A}_{f} \subset L^{\infty}_{\mu}(X)$ .

 $X_f \subset X$  such that for every  $x_0 \in X_f$ , any  $k \in \mathbb{N}$  and any function  $g \in \mathcal{A}_f$  we have

(1.1) 
$$\frac{1}{N}\sum_{n=1}^{N}g(T^{kn}x_0) \to \int gd\mu.$$

We will call the set  $X_f$  the set of *f*-generic points.

The space of continuous functions on X is separable, therefore by the ergodic theorem there exists a set of full measure  $X' \subset X$ , such that for every  $x \in X'$ , every  $f \in C(X)$  and every  $k \in \mathbb{N}$  we have

$$\frac{1}{N}\sum_{n=1}^{N}f(T^{kn}x)\to\int fd\mu.$$

The set X' is called the set of **generic points** in X.

For convenience we introduce the set  $\mathbb{N}_0 = \{0, 1, 2...\}$ .

A bounded sequence  $(\xi(n))_{n \in \mathbb{N}_0}$  will be called **totally ergodic** if there exists a totally ergodic system  $(X, \mathbb{B}_X, \mu, T)$ , a function  $f \in L^{\infty}(X)$  and an *f*-generic point  $x_0 \in X$  such that

$$\xi(n) = f(T^n x_0), \ \forall n \in \mathbb{N}_0.$$

It is conjectured that the set of all natural numbers which have an odd number of prime divisors is totally ergodic (and even a somewhat stronger – a normal set, see [2]). Another good candidate to be a totally ergodic set is the set of square-free numbers. It is unknown whether or not the set of square-free numbers is totally ergodic.

We associate  $\{0, 1\}$ -valued sequences with subsets of  $\mathbb{N}_0$  in a natural way. A set  $S \subset \mathbb{N}_0$  corresponds to the sequence  $1_S \in \{0, 1\}^{\mathbb{N}_0}$ . We say that  $S \subset \mathbb{N}_0$  is a **TE** set<sup>3</sup> if  $1_S$  is a totally ergodic sequence and the density of S:

$$d(S) = \lim_{N \to \infty} \frac{1}{N} \sum_{n=1}^{N} \mathbb{1}_{S}(n)$$

is positive. Notice that the density of a totally ergodic set always exists by the genericity assumption.

It was shown in [4] that any rotation by  $\alpha \notin \mathbb{Q}$  on the torus  $\mathbb{T} = \mathbb{R}/\mathbb{Z}$  and any interval  $[a, b] \in \mathbb{T}$  generate the TE set

$$R_{\alpha,[a,b]} = \{n \in \mathbb{N}_0 \mid n\alpha \mod 1 \in [a,b]\}$$

In other words,  $R_{\alpha,[a,b]}$  is the set of return times for a uniquely ergodic rotation on the compact abelian group  $\mathbb{T}$  into the interval [a,b]. Similarly, for any homomorphism  $\tau$  from  $\mathbb{Z}$  to a compact abelian metrizable connected group K with  $\overline{\tau(\mathbb{Z})} = K$  and any Jordan measurable set  $J \subset K$  of positive Haar measure (Jordan measurability means that the boundary of J has zero Haar measure) the set

$$R_J = \tau^{-1}(J) \cap \mathbb{N}_0$$

is a TE set.

<sup>&</sup>lt;sup>3</sup>If  $S \subset \mathbb{N}$  then we regard  $1_S$  as a sequence in  $\{0, 1\}^{\mathbb{N}_0}$ .

$$\overline{d}(S) = \limsup_{N \to \infty} \frac{1}{N} \sum_{n=1}^{N} \mathbb{1}_{S}(n).$$

The lower density  $\underline{d}(S)$  of S is defined by

$$\underline{d}(S) = \liminf_{N \to \infty} \frac{1}{N} \sum_{n=1}^{N} \mathbb{1}_{S}(n).$$

We say  $S \subset \mathbb{N}$  has density and denote it by d(S) if  $\overline{d}(S) = \underline{d}(S)$ . The **upper Banach density**  $d^*(S)$  of S is defined by

$$d^*(S) = \limsup_{M-N \to \infty} \frac{1}{M-N} \sum_{n=N}^{M-1} 1_S(n).$$

The lower Banach density  $d_*(S)$  of S is defined by

$$d_*(S) = \liminf_{M-N \to \infty} \frac{1}{M-N} \sum_{n=N}^{M-1} 1_S(n).$$

Note that the positivity of the lower Banach density of a set is equivalent to having bounded gaps.

The main result of the paper is that any TE set is p-good.

**Theorem 1.** Let  $A \subset \mathbb{N}$  be a TE set. Then for any  $B \subset \mathbb{N}$  of positive upper density and any non-constant polynomial  $p(n) \in \mathbb{Z}[n]$  with a positive leading coefficient we have  $(A + B) \cap \{p(n) \mid n \in \mathbb{N}\} \neq \emptyset$ . Moreover, if the lower density of B is positive then the set  $R_p = \{n \in \mathbb{N} \mid p(n) \in A + B\}$  has bounded gaps.

In particular, Theorem 1 implies that a TE set A satisfies that A + A intersects any polynomial sequence. It is important to have in mind that the analogous result for A - A where a polynomial vanishes at zero of Sárközy and Furstenberg only requires from a set A to be of positive upper Banach density without any further assumptions on an aperiodicity. The difference in the assumptions of the theorems is caused by the fact that the sumset of two sets in  $\mathbb{N}$  is usually much smaller than the difference set. The latter is due to the fact that the equation x + y = n (nis fixed) has only finitely many solutions in  $\mathbb{N}^2$ , while the equation x - y = n has infinitely many solutions in  $\mathbb{N}^2$ .

If the system  $(X, \mathbb{B}_X, \mu, T)$  which was involved in the definition of a TE set is **weak-mixing**, i.e. the system  $(X \times X, \mathbb{B}_X \times \mathbb{B}_X, \mu \times \mu, T \times T)$  is ergodic, then one can prove stronger results.

We introduce the notion of a WM set. A sequence  $(\xi(n))_{n \in \mathbb{N}_0}$  is weakly mixing if there exists a weak-mixing system  $(X, \mathbb{B}_X, \mu, T)$ , a function  $f \in L^{\infty}_{\mu}(X)$  and an *f*-generic point  $x_0 \in X$  such that

$$\xi(n) = f(T^n x_0), \ \forall n \in \mathbb{N}_0.$$

Similarly to the definition of a TE set, a set  $S \subset \mathbb{N}$  is a **WM set** if  $1_S$  is a weakly mixing sequence and the density of S is positive.

A weak-mixing system is totally ergodic, thus any WM set is a TE set.

We mention here a simple dynamical construction of WM sets. Take the shift space  $(\Omega, \sigma)$ , where  $\Omega = \{0, 1\}^{\mathbb{N}_0}$  is endowed with Tychonoff topology and  $\sigma$  is

the shift to left. Take any Borel probability measure  $\mu$  on  $\Omega$  which is preserved under the shift  $\sigma$  and which generates a weak-mixing system  $(\Omega, \mathbb{B}_{\Omega}, \mu, T)$ . Take any cylinder set  $A \subset \Omega$  with  $\mu(A) > 0$ . Notice that any cylinder is a clopen set, i.e. the indicator function of A,  $\chi_A \in C(\Omega)$ . Then any generic point  $\omega \in \Omega$  generates a WM set

$$S_{\omega,A} = \{ n \in \mathbb{N} \, | \, \sigma^n \omega \in A \}$$

with  $d_{S_{\omega,A}} = \mu(A)$ .

If A in Theorem 1 is a WM set, then we can prove that the set  $R_p$  is of lower Banach density 1.

**Theorem 2.** Let  $A \subset \mathbb{N}$  be a WM set, let  $B \subset \mathbb{N}$  of positive upper density and let  $p(n) \in \mathbb{Z}[n]$  with a positive leading coefficient. Then the set  $R_p = \{n \in \mathbb{N} | p(n) \in A + B\}$  is of lower Banach density 1.

Notice that it is easy to construct a normal set A, i.e. the  $\{0, 1\}$ -valued sequence  $1_A$  is a normal binary sequence (thus A is a WM set), such that  $|\mathbb{N} \setminus (A+A)| = \infty$ .<sup>4</sup> So  $R_p$  in the statement of the theorem need not to be a cofinite set in  $\mathbb{N}$ .

We use the notion of essentially distinct polynomials introduced by Bergelson in [1].

The polynomials  $\{p_1, \ldots, p_k\}$  are called **essentially distinct** if for every  $1 \le i < j \le k$  we have  $p_i - p_j$  is a non-constant polynomial.

All polynomials p(n) that we consider are with integer coefficients and satisfy  $p(n) \to \infty$  as  $n \to \infty$ . The following theorem is a generalization of Theorem 2.

**Theorem 3.** Let  $A \subset \mathbb{N}$  be a WM set, let  $p_1(n), \ldots, p_k(n) \in Z[n]$  be essentially distinct polynomials of the same degree having positive leading coefficients, let  $B \subset \mathbb{N}$  of positive upper density. Then the set

$$R_{p_1,\dots,p_k} = \{ n \in \mathbb{N} \,|\, \exists b \in B : \, p_1(n), p_2(n),\dots,p_k(n) \in A + b \}$$

has lower Banach density 1.

Notice that any element  $n \in R_{p_1,...,p_k}$  corresponds to a solution of the equation:

(1.2) 
$$\begin{cases} x + y_1 = p_1(n) \\ x + y_2 = p_2(n) \\ \dots \\ x + y_k = p_k(n) \end{cases}$$

where  $x \in B, y_1, \ldots, y_k \in A$ .

If among  $p_1(n), \ldots, p_k(n)$  there are two polynomials with degrees which differ by at least two, then there exists a WM set A such that the set

$$R_{p_1,\dots,p_k} = \{ n \in \mathbb{N} \mid p_1(n), p_2(n),\dots, p_k(n) \in A + A \}$$

$$\begin{split} \mathbf{1}_{A_S}(k) &= \mathbf{1}_S(k-4^n+1), \ 4^n \leq k < 4^{n+1} - \frac{1}{2} 4^{n+1} = 2 \cdot 4^n \\ & \mathbf{1}_{A_S}(2 \cdot 4^n) = 0, \\ \mathbf{1}_{A_S}(k) &= 1 - \mathbf{1}_{A_S}(4^{n+1}-k), \ 2 \cdot 4^n < k < 4^{n+1}. \end{split}$$

<sup>&</sup>lt;sup>4</sup>Take a normal set  $S \subset \mathbb{N}$ . We define the set  $A_S$  inductively on intervals  $\{4^{n-1}, 4^n - 1\}$ ,  $n \geq 1$ . Let's assume that  $1_{A_S}(k) = 0$ , k = 1, 2, 3. If  $1_{A_S}$  is defined on the interval  $\{1, 4^n - 1\}$  we set  $1_{A_S}$  on  $\{4^n, 4^{n+1} - 1\}$  to be:

Then a simple calculation shows the normality of  $1_{A_S}$ . From the definition of  $A_S$  it follows that  $4^n \notin A_S + A_S$  for all  $n \ge 1$ .

is empty. To prove the last claim we take an arbitrary WM set A. Notice that by the definition of a WM set<sup>5</sup> for any set of density zero  $N \subset \mathbb{N}$  the set  $A \setminus N$  is again a WM set. In particular, we can exclude from A all solutions of the system (1.2) by removing a set of density zero. If deg  $p_1 \leq \deg p_2 - 2$  then replace A by

$$A' = A \setminus \left( \bigcup_{n \in \mathbb{N}} [p_2(n) - p_1(n), p_2(n)] \right)$$

which is again a WM set. Within A' the system (1.2) is unsolvable.

For the remaining case which is left open, namely when the polynomials have degrees which differ by exactly one, we conjecture that the conclusion of Theorem 3 is not true.

**Acknowledgment:** I would like to thank Hillel Furstenberg who proposed me the problem. Without his encouragement this work would never be done. Also I would like to thank Vitaly Bergelson for fruitful discussions and the referee for numerous very useful remarks and suggestions.

# 2. Orthogonality of polynomial shifts along totally ergodic sequences

Throughout the paper we use the notation  $L^2(N)$  to denote the space of realvalued functions on the finite set  $\{1, 2, \ldots, N\}$  endowed with the scalar product:

$$\langle u, v \rangle_N = \frac{1}{N} \sum_{n=1}^N u(n) v(n)$$

The main tool for the proof of Theorem 1 is the almost orthogonality of polynomial shifts along a totally ergodic sequence.

**Proposition 1.** Let  $(\xi(n))_{n \in \mathbb{N}_0}$  be a totally ergodic sequence of zero mean. Let  $p(n) \in \mathbb{Z}[n]$  be a non-constant polynomial with a positive leading coefficient. For every  $\varepsilon > 0$  there exists  $J(\varepsilon)$  such that for every  $J \ge J(\varepsilon)$  there exists N(J) such that for every  $N \ge N(J)$  we have<sup>6</sup>

$$\left\|\frac{1}{J}\sum_{j=1}^J\xi(p(N+j)-n)\right\|_{p(N)}<\varepsilon.$$

In other words, we have

$$\lim_{J \to \infty} \limsup_{N \to \infty} \left\| \frac{1}{J} \sum_{j=1}^{J} \xi(p(N+j) - n) \right\|_{p(N)} = 0.$$

Notice that the statement of Proposition 1 says that if we take instead of the original vector  $\xi(\cdot)$ , the average along a small piece of a polynomial orbit, then the new vector has a small  $L^2$ -norm.

First we will establish an auxiliary statement which is also an almost orthogonality of other polynomial shifts. For a non-constant polynomial  $q[n] \in \mathbb{Z}[n]$  with

<sup>&</sup>lt;sup>5</sup>The same is true for a TE set.

<sup>&</sup>lt;sup>6</sup>In the case when a sequence depends on many parameters, like in this case j, N, n the  $L^2$ -norm is taken with respect to n.

a positive leading coefficient which has a smaller degree than p(n) and any  $j \in \mathbb{N}$ we define the vector  $v_j^q \in L^2(p(N))$  by

$$v_{i}^{q}(n) = \xi(n + q(N + j)), \ 1 \le n \le p(N).$$

**Lemma 1.** Let  $\varepsilon > 0$ . With the assumptions as in Proposition 1 and  $v_j^q(n)$  defined as above there exists  $J(\varepsilon)$  such that for every  $J \ge J(\varepsilon)$  there exists N(J) such that for every  $N \ge N(J)$  we have

$$\left\|\frac{1}{J}\sum_{j=1}^J v_j^q\right\|_{p(N)} < \varepsilon.$$

*Proof.* The proof is by induction on  $\deg q(n)$ .

**Case** deg q(n) = 1: Assume q(x) = ax + b with a > 0. Then

$$\left\|\frac{1}{J}\sum_{j=1}^{J} v_j^q\right\|_{p(N)}^2 = \frac{1}{p(N)}\sum_{n=1}^{p(N)} \left(\frac{1}{J}\sum_{j=1}^{J} \xi(n+aj)\right)^2 + \delta_{N,J},$$

where  $\delta_{N,J} \to 0$  as  $N \to \infty$  and J is fixed. The latter follows from the assumption that deg  $p > \deg q$ . By total ergodicity of the sequence  $(\xi(n))_{n \in \mathbb{N}}$  there exists a totally ergodic system  $(X, \mathbb{B}_X, \mu, T)$ , a function  $f \in L^{\infty}(X)$  and an f-generic point  $x_0 \in X$  such that

$$\xi(n) = f(T^n x_0), \text{ for all } n \in \mathbb{N}_0.$$

Therefore

(2.1) 
$$\frac{1}{p(N)} \sum_{n=1}^{p(N)} \left( \frac{1}{J} \sum_{j=1}^{J} \xi(n+aj) \right)^2 = \frac{1}{p(N)} \sum_{n=1}^{p(N)} T^n \left( \frac{1}{J} \sum_{j=1}^{J} T^{aj} f \right)^2 (x_0)$$

The function  $g_J(x) = \left(\frac{1}{J}\sum_{j=1}^J T^{aj}f(x)\right)^2$  is in  $\mathcal{A}_f$ , therefore by *f*-genericity of the point  $x_0$  we get

$$\frac{1}{p(N)}\sum_{n=1}^{p(N)}T^ng_J(x_0)\to\int g_Jd\mu, \text{ as } N\to\infty.$$

We claim that  $g_J$  converges in  $L^1(X)$  to zero as  $J \to \infty$ . By f-genericity of  $x_0$ , i.e. by identity (1.1), we have

$$\frac{1}{J}\sum_{j=1}^{J}T^{aj}f(x_0) \to \int f d\mu, \text{ as } J \to \infty.$$

By f-genericity of  $x_0$  the function f has zero integral

$$\int f d\mu = \lim_{J \to \infty} \frac{1}{J} \sum_{j=1}^{J} f(T^{j} x_{0}) = \lim_{J \to \infty} \frac{1}{J} \sum_{j=1}^{J} \xi(j) = 0.$$

By  $L^2$ -ergodic theorem we have

$$g_J(x) = \frac{1}{J} \sum_{j=1}^J T^{aj} f(x) \to \int f d\mu, \text{ as } J \to \infty$$

where the convergence is in  $L^2(X)$ . The latter implies that

$$\int g_J d\mu \to 0$$
, as  $J \to \infty$ .

By equation (2.1) the latter implies the statement of the lemma. <sup>7</sup>

Case deg q(x) > 1:

Let  $\varepsilon > 0$ . The vectors  $v_j^q$  are uniformly bounded by  $\|\xi\|_{\infty}$ . Without loss of generality assume that  $\|\xi\|_{\infty} \leq 1$ . Let  $I = I(\varepsilon)$  be as in the finitary version of van der Corput lemma (Lemma 5 in the appendix). It is enough to show that there exists J(I) such that for every  $J \geq J(I)$  there exists N(J) such that for every  $N \geq N(J)$  and every  $i : 1 \leq i \leq I$  we have

(2.2) 
$$\left|\frac{1}{J}\sum_{j=1}^{J} \langle v_j^q, v_{j+i}^q \rangle_{p(N)}\right| < \frac{\varepsilon}{2}.$$

An easy calculation shows that

$$\frac{1}{J}\sum_{j=1}^{J} \langle v_j^q, v_{j+i}^q \rangle_{p(N)} = \frac{1}{J}\sum_{j=1}^{J} \frac{1}{p(N)} \sum_{n=1}^{p(N)} \xi(n+q(N+j))\xi(n+q(N+j+i))$$
$$= \frac{1}{p(N)}\sum_{n=1}^{p(N)} \xi(n) \frac{1}{J}\sum_{j=1}^{J} \xi(n+q(N+j+i)-q(N+j)) + \delta_{N,J,i}.$$

In the last transition we made the change of variables  $n \to n + q(N+j)$  for every j = 1, ..., J. For every fixed j the difference between

$$\frac{1}{p(N)} \sum_{n=1}^{p(N)} \xi(n+q(N+j))\xi(n+q(N+j+i))$$

and

$$\frac{1}{p(N)} \sum_{n=1}^{p(N)} \xi(n)\xi(n+q(N+j+i)-q(N+j))$$

is going to zero as  $N \to \infty$  because deg  $q < \deg p$ . Therefore we have the latter identity with  $\delta_{N,J,i} \to 0$  as  $N \to \infty$  and J, i are fixed.

Denote by  $w_{i,j}^q(n) = \xi(n+q(N+j+i)-q(N+j)), r(x) = q(x+i)-q(x)$ . Note that deg  $r(x) = \deg q(x) - 1$  and  $r(x) \to \infty$  as  $x \to \infty$ . By the induction hypothesis there exists J(i) such that for every  $J \ge J(i)$  there exists N(J,i) such that for every  $N \ge N(J,i)$  we have

$$\frac{1}{p(N)}\sum_{n=1}^{p(N)} \left(\frac{1}{J}\sum_{j=1}^{J}\xi(n+aj)\right)^2 < \varepsilon.$$

This statement will be used later on.

<sup>&</sup>lt;sup>7</sup> Notice that we also proved that for any non-constant polynomial  $p(n) \in \mathbb{Z}[n]$  with a positive leading coefficient and any  $a \in \mathbb{N}$ , for every  $\varepsilon > 0$  there exists  $J(\varepsilon)$  such that for any  $J \ge J(\varepsilon)$ there exists N(J) such that for every  $N \ge N(J)$  we have

$$\left\|\frac{1}{J}\sum_{j=1}^{J}w_{i,j}^{q}\right\|_{p(N)} < \frac{\varepsilon}{4}$$

The latter implies that there exists J(I) such that for every  $J \ge J(I)$  there exists N(J) such that for every  $N \ge N(J)$  we have for every  $i \in \{1, 2, ..., I\}$  the following

$$\left\|\frac{1}{J}\sum_{j=1}^J w_{i,j}^q\right\|_{p(N)} < \frac{\varepsilon}{4}.$$

Cauchy-Schwartz inequality implies

$$\left|\frac{1}{J}\sum_{j=1}^{J} \langle v_j^q, v_{j+i}^q \rangle_{p(N)}\right| \le \|\xi\|_{p(N)} \left\|\frac{1}{J}\sum_{j=1}^{J} w_{i,j}^q\right\|_{p(N)} + |\delta_{N,J,i}| = \frac{\varepsilon}{4} + |\delta_{N,J,i}|$$

for any  $i \in \{1, 2, ..., I\}, J \geq J(I)$  and every  $N \geq N(J)$ . Taking into account that  $\delta_{N,J,i} \to 0$  as  $N \to \infty$  implies that the inequality (2.2) is fulfilled for all  $i \in \{1, 2, ..., I\}$ , any  $J \geq J(I)$  and any  $N \geq N(J)$ .

Proof of Proposition 1. Denote by  $u_j(n) = \xi(p(N+j) - n)$ . Case deg p(x) = 1: Assume p(x) = ax + b. Then

$$\left\|\frac{1}{J}\sum_{j=1}^{J}u_{j}\right\|_{p(N)}^{2} = \frac{1}{aN+b}\sum_{n=1}^{aN+b}\left(\frac{1}{J}\sum_{j=1}^{J}\xi(n+aj)\right)^{2} + \delta_{N,J},$$

where  $\delta_{N,J} \to 0$  as  $N \to \infty$ . One gets the displayed equation by making the change of variables  $n \to -n + aN + b$ . By the remark in footnote 7 the case deg p(x) = 1 follows immediately.

**Case** deg p(x) > 1: We use van der Corput lemma (Lemma 5). Without loss of generality we assume that  $\|\xi\|_{\infty} \leq 1$ . Let  $\varepsilon > 0$ . Let  $I = I(\varepsilon)$  be as in van der Corput lemma. One sees that

$$\frac{1}{J}\sum_{j=1}^{J} \langle u_j, u_{j+i} \rangle_{p(N)} = \langle \xi(n), \frac{1}{J}\sum_{j=1}^{J} \xi(n+p(N+j+i)-p(N+j)) \rangle_{p(N)} + \delta_{N,J,i},$$

where  $\delta_{N,J,i} \to 0$  as  $N \to \infty$  and J, i are fixed. One gets the displayed equation by making the change of variables  $n \to -n + p(N+j)$ . By Lemma 1 there exists J(i)such that for any  $J \ge J(i)$  there exists N(J, i) such that for every  $N \ge N(J, i)$  we have

$$\left| \langle \xi(n), \frac{1}{J} \sum_{j=1}^{J} \xi(n+q(N+j)) \rangle_{p(N)} \right| \leq \frac{\varepsilon}{2}.$$

The latter implies that there exists J(I) such that for any  $J \ge J(I)$  there exists N(J) such that for every  $N \ge N(J)$  and every  $i \in \{1, 2, ..., I\}$  we have

$$\left|\frac{1}{J}\sum_{j=1}^{J}\langle u_j, u_{j+i}\rangle_{p(N)}\right| < \varepsilon.$$

Van der Corput lemma implies the statement of the Proposition.

# 3. Orthogonality of polynomial shifts along weakly mixing sequences

We start with a statement which is analogous to Proposition 1. The only difference is that we assume that the sequence  $(\xi(n))$  is weakly mixing rather than totally ergodic. As a consequence we get a stronger conclusion than in Proposition 1.

**Proposition 2.** Let  $(\xi(n))_{n \in \mathbb{N}_0}$  be a weakly mixing sequence of zero mean,  $p_1, \ldots, p_k \in \mathbb{Z}[n]$  be essentially distinct polynomials of the same degree  $d \ge 1$ , with positive leading coefficients such that  $p_1(n) - p_i(n) \to +\infty, \forall 1 < i \le k \text{ as } n \to \infty$ . For every  $\varepsilon > 0$  there exists  $J(\varepsilon)$  such that for any  $J \ge J(\varepsilon)$  there exists N(J) such that for every  $N \ge N(J)$  and any  $\{0, 1\}$ -valued sequence  $(a_n)_{n \in \mathbb{N}}$  we have

$$\left\| \frac{1}{J} \sum_{j=1}^{J} a_{N+j} \xi(p_1(N+j)-n) \xi(p_2(N+j)-n) \dots \xi(p_k(N+j)-n) \right\|_{p_1(N)} < \varepsilon.$$

**Remark 1.** The assumption that all the polynomials have the same degree is made because otherwise the conclusion of Proposition 2 is trivial. Recall that we assume that  $\xi(n) = 0$  for n < 0.

To prove Proposition 2 we will need the following claim.

**Lemma 2.** Let  $(\xi(n))_{n \in \mathbb{N}_0}$  be a weakly mixing sequence of zero mean,  $p_1, \ldots, p_k \in \mathbb{Z}[n]$  be essentially distinct polynomials, and  $q(n) \in \mathbb{Z}[n]$  be such that for every  $i : 1 \leq i \leq k$  we have  $\frac{q(n)}{|p_i(n)|} \to k_i \in (1, +\infty]$  as  $n \to \infty^8$ . For every  $\varepsilon > 0$  there exists  $J(\varepsilon)$  such that for every  $J \geq J(\varepsilon)$  there exists N(J) such that for every  $N \geq N(J)$  and any  $\{0, 1\}$ -valued sequence  $(a_n)_{n \in \mathbb{N}}$  we have

$$\left\|\frac{1}{J}\sum_{j=1}^{J}a_{N+j}\xi(n-p_1(N+j))\xi(n-p_2(N+j))\dots\xi(n-p_k(N+j))\right\|_{q(N)} < \varepsilon.$$

We will prove a more general statement by using an analog of Bergelson's PET induction, see [1]. Let  $F = \{p_1, \ldots, p_k\}$  be a finite set of polynomials and assume that the largest of the degrees of  $p_i$  equals d. For every  $i : 1 \le i \le d$  we denote by  $n_i$  the number of different groups of polynomials of degree i, where two polynomials  $p_{j_1}, p_{j_2}$  of degree i are in the same group if and only if they have the same leading coefficient. We will say that  $(n_1, \ldots, n_d)$  is the **characteristic vector** of F.

<sup>&</sup>lt;sup>8</sup>We will say that the polynomial q grows faster to infinity than the family  $\{p_1, \ldots, p_k\}$ .

#### ALEXANDER FISH

We prove a more general statement than the statement of the lemma. Let  $\mathcal{F}(n_1, \ldots, n_d)$  be the family of all finite sets of essentially distinct polynomials having characteristic vector  $(n_1, \ldots, n_d)$ . Consider the following two statements:

 $L(k; n_1, \ldots, n_d)$ : For every  $\{g_1, \ldots, g_{n_1}, q_1, \ldots, q_l\} \in \mathcal{F}(n_1, \ldots, n_d)$ , where  $g_1, \ldots, g_{n_1}$ are linear polynomials,  $q(n) \in \mathbb{Z}[n]$  which grows faster to infinity than the family  $\{g_1, \ldots, g_{n_1}, q_1, \ldots, q_l\}$ , every  $(\mathbf{c}_i)_{i=1}^{n_1} \in (\mathbb{Z} \setminus \{0\})^k$  and every  $\varepsilon, \delta > 0$  there exists  $H(\delta, \varepsilon, (\mathbf{c}_i)) \in \mathbb{N}$  such that for every  $H \ge H(\delta, \varepsilon, (\mathbf{c}_i))$  there exists J(H) such that for every  $J \ge J(H)$  there exists N(J) such that for every  $N \ge N(J)$  for a set of  $\mathbf{h} \in \{1, 2, \ldots, H\}^k$  of density at least  $1 - \delta$  for every  $\{0, 1\}$ -valued sequence  $(a_n)_{n \in \mathbb{N}}$ we have<sup>9</sup>

$$\begin{aligned} \left\| \frac{1}{J} \sum_{j=1}^{J} a_{N+j} \prod_{i=1}^{n_1} \prod_{\epsilon \in \{0,1\}^k} \xi(n - g_i(N+j) - (\boldsymbol{c}_i \boldsymbol{\epsilon}) \cdot \boldsymbol{h}) \prod_{i=1}^l \xi(n - q_i(N+j)) \right\|_{q(N)} < \varepsilon, \\ where \ \boldsymbol{c}_i \boldsymbol{\epsilon} = (c_1^i \boldsymbol{\epsilon}_1, \dots, c_k^i \boldsymbol{\epsilon}_k) \ for \ \boldsymbol{c}_i = (c_1^i, \dots, c_k^i), \ \boldsymbol{\epsilon} = (\boldsymbol{\epsilon}_1, \dots, \boldsymbol{\epsilon}_k). \\ L(k; \overline{n_1, \dots, n_i}, n_{i+1}, \dots, n_d): \ L(k; n_1, \dots, n_d) \ is \ valid \ for \ any \ n_1, \dots, n_i. \end{aligned}$$

Lemma 2 is the statement  $L(0; \overline{n_1, \ldots, n_d})$ . In order to prove the latter it is enough to establish L(k; 1),  $\forall k \in \mathbb{N}_0$ , and to prove the following implications.

### Lemma 3.

$$S.1_d: L(k+1; n_1, n_2, \dots, n_d) \Rightarrow L(k; n_1+1, n_2, \dots, n_d).$$

Lemma 4.

$$k, n_1, \dots, n_{d-1} \ge 0, n_d \ge 1, d \ge 1$$
  

$$S.2_{d,i}: L(0; \overline{n_1, \dots, n_{i-1}}, n_i, \dots, n_d) \Rightarrow L(k; \underbrace{0, \dots, 0}_{i-1 \text{ zeros}}, n_i + 1, n_{i+1}, \dots, n_d);$$
  

$$k, n_1, \dots, n_{d-1} \ge 0, n_d \ge 1, d \ge i > 1$$
  

$$S.3_d: L(k; \overline{n_1, \dots, n_d}) \Rightarrow L(k; \underbrace{0, \dots, 0}_{d \text{ zeros}}, 1), \quad k \ge 0, d \ge 1.$$

**Proof of Lemma 3.** Let F be a family of essentially distinct polynomials having the characteristic vector  $(n_1 + 1, n_2, ..., n_d)$ . Denote the linear polynomials from Fby<sup>10</sup>  $g_1(n) = e_1n + d_1, ..., g_{n_1+1} = e_{n_1+1}n + d_{n_1+1}$ . The remaining polynomials in Fwe denote by  $q_1, ..., q_l$ . Let  $(a_n)_{n \in \mathbb{N}}$  be a  $\{0, 1\}$ -valued sequence,  $h \in \{1, 2, ..., H\}^k$ and  $c_i \in (\mathbb{Z} \setminus \{0\})^k$  for  $1 \le i \le n_1 + 1$ . Denote by  $u_j(n)$  the following vectors:

$$u_{j}(n) = a_{N+j} \prod_{i=1}^{n_{1}+1} \prod_{\epsilon \in \{0,1\}^{k}} \xi(n - g_{i}(N+j) - (\boldsymbol{c}_{i}\epsilon) \cdot \boldsymbol{h}) \prod_{i=1}^{l} \xi(n - q_{i}(N+j)),$$
$$n = 1, \dots, q(N).$$

Denote by  $b_{N+j} = a_{N+j}a_{N+j+h}$ ,  $r_i(n) = (e_{i+1} - e_1)n + (d_{i+1} - d_1)$ ,  $i: 1 \le i \le n_1$ ,  $s_i(n) = q_i(n) - g_1(n)$ ,  $t_i(n) = q_i(n+h) - g_1(n)$ ,  $i: 1 \le i \le l$ . Then we have

$$\frac{1}{J}\sum_{j=1}^{J} \langle u_j, u_{j+h} \rangle_{q(N)} = \delta_{N,J} +$$

<sup>&</sup>lt;sup>9</sup>In the case  $n_1 = 0$  and k > 0 we require that the similar inequality is true for  $c_1 \in (\mathbb{Z} \setminus \{0\})^k$ . <sup>10</sup>In any group of degree one there is only one polynomial.

$$\langle \prod_{\epsilon \in \{0,1\}^k} \psi_1(n - (\boldsymbol{c}_i \epsilon) \cdot \boldsymbol{h}), \frac{1}{J} \sum_{j=1}^J b_{N+j} \prod_{i=1}^{n_1} \prod_{\epsilon \in \{0,1\}^k} \psi_2^i(n - r_i(N+j) - (\boldsymbol{c}_i \epsilon) \cdot \boldsymbol{h}) \prod_{i=1}^l \psi_3^i(n) \rangle_{q(N)}$$
where

$$\psi_1(n) = \xi(n)\xi(n - e_1h),$$
  

$$\psi_2^i(n) = \xi(n)\xi(n - e_{i+1}h),$$
  

$$\psi_3^i(n) = \xi(n - s_i(N+j))\xi(n - t_i(N+j)).$$

Notice that  $\delta_{N,J} \to 0$  as  $N \to \infty$ . The last identity is produced by use of the growth condition on q(n) and the change of variables  $n \to n - g_1(N + j)$ . For every  $i : 1 \leq i \leq l$  the polynomials  $s_i, t_i$  are in the same group (they have the same degree and the same leading coefficient), therefore the characteristic vector of the family  $\{s_1, t_1, \ldots, s_l, t_l\}$  is the same as of the family  $\{s_1, s_2, \ldots, s_l\}$  and the latter family has the same characteristic vector as the family  $\{q_1, q_2, \ldots, q_l\}$ . Thus the characteristic vector of the family  $\{r_1, \ldots, r_{n_1}, s_1, t_1, \ldots, s_l, t_l\}$  is equal to  $(n_1, n_2, n_3, \ldots, n_d)$ .  $L(k + 1; n_1, \ldots, n_d)$ , Cauchy-Schwartz inequality and van der Corput lemma imply the validity of  $L(k; n_1 + 1, n_2, \ldots, n_d)$ .

**Proof of Lemma 4.** We will prove only  $S.2_{d,i}$ . The statement  $S.3_d$  is proven similarly. Suppose that F is a finite set of essentially distinct polynomials and assume that the characteristic vector of F equals  $(\underbrace{0,\ldots,0}_{i-1zeros}, n_i + 1, n_{i+1}, \ldots, n_d)$ .

Fix any of the  $n_i + 1$  groups of polynomials of degree i and denote its polynomials by  $g_1, \ldots, g_m$ . Denote the remaining polynomials in F by  $q_1, \ldots, q_l$ . Notice that there are no linear polynomials among the polynomials of F. Let  $\mathbf{c}_1 \in (\mathbb{Z} \setminus \{0\})^k$ . To establish  $L(k; \underbrace{0, \ldots, 0}_{i-1 \, zeros}, n_i + 1, n_{i+1}, \ldots, n_d)$  we have to prove that for every  $\varepsilon, \delta > 0$ 

there exists  $H(\varepsilon, \delta, c_1)$  such that for every  $H \ge H(\varepsilon, \delta, c_1)$  there exists J(H) such that for any  $J \ge J(H)$  there exists N(J) such that for every  $N \ge N(J)$  for a set of  $\mathbf{h} \in \{1, \ldots, H\}^k$  of density which is at least  $1 - \delta$  and for any  $\{0, 1\}$ -valued sequence  $(a_n)_{n \in \mathbb{N}}$  we have

$$\left\| \frac{1}{J} \sum_{j=1}^{J} a_{N+j} \prod_{\epsilon \in \{0,1\}^k} \xi(n - (\boldsymbol{c}_1 \epsilon) \cdot \boldsymbol{h}) \prod_{c=1}^{m} \xi(n - g_c(N+j)) \prod_{e=1}^{l} \xi(n - q_e(N+j)) \right\|_{q(N)} < \varepsilon$$

Let  $(a_n)_{n \in \mathbb{N}}$  be a  $\{0, 1\}$ -valued sequence and  $h \in \{1, 2, \dots, H\}^k$ . Denote by

$$u_{j}(n) = a_{N+j} \prod_{c=1}^{m} \xi(n - g_{c}(N+j)) \prod_{e=1}^{l} \xi(n - q_{e}(N+j)),$$
$$w(n) = \prod_{\epsilon \in \{0,1\}^{k}} \xi(n - (\mathbf{c}_{1}\epsilon) \cdot \mathbf{h}),$$
$$v_{j}(n) = w(n)u_{j}(n).$$

Let  $\varepsilon > 0$ . Without loss of generality we can assume that  $\|\xi\|_{\infty} \leq 1$ . This implies that  $\|w\|_{\infty} \leq 1$  and therefore to prove that  $\|\frac{1}{J}\sum_{j=1}^{J} v_j\|_{q(N)} < \varepsilon$  it is sufficient to show that  $\|\frac{1}{J}\sum_{j=1}^{J} u_j\|_{q(N)} < \varepsilon$ .

Let  $h \geq 1$ . A simple routine calculation gives that

$$\frac{1}{J}\sum_{j=1}^{J} \langle u_j, u_{j+h} \rangle_{q(N)} = \langle \xi(n), \frac{1}{J}\sum_{j=1}^{J} b_{N+j} \prod_{c=1}^{2m+2l-1} \xi(n - r_c(N+j)) \rangle_{q(N)} + \delta_{N,J},$$

where  $b_{N+j} = a_{N+j}a_{N+j+h}, \ \delta_{N,J} \to 0$  as  $N \to \infty$  and

$$\begin{cases} r_t(n) = g_{t+1}(n) - g_1(n), t: 1 \le t \le m - 1 \\ r_t(n) = q_{t-(m-1)}(n) - g_1(n), t: m \le t \le m + l - 1 \\ r_t(n) = g_{t-(m+l-1)}(n+h) - g_1(n), t: m+l \le t \le 2m + l - 1 \\ r_t(n) = q_{t-(2m+l-1)}(n+h) - g_1(n), t: 2m+l \le t \le 2m + 2l - 1. \end{cases}$$

To get the identity we have used the growth condition on q(n) and the change of variables  $n \to n - g_1(N+j)$ .

For all but a finite number of h's the polynomials  $(r_t(n))_{t=1}^{2m+2l-1}$  are essentially distinct. We notice that if we take two polynomials  $r_t$ 's from the same group (there are 4 groups), then their difference is a non-constant because the initial polynomials are essentially distinct. If we take two polynomials from different groups then three cases are possible. In the first case the difference of these polynomials is  $g_t(n+h) - g_t(n)$  or  $q_t(n+h) - q_t(n)$  for some t. The assumption i > 1 implies that  $\deg(q_t), \deg(g_t) > 1$  and from this it follows that  $g_t(n+h) - g_t(n)$  and  $q_t(n+h) - q_t(n)$  are non-constant polynomials. In the second case we get for some  $t_1 \neq t_2$ :  $g_{t_1}(n+h) - g_{t_2}(n)$  or  $q_{t_1}(n+h) - q_{t_2}(n)$ . Here we note that the map  $h \mapsto p(n+h)$  is an injective map from N to the set of essentially distinct polynomials, if  $\deg(p) > 1$ . Thus, for all but a finite number of h's we get again a non-constant difference. In the third case we get for some  $t_1, t_2: g_{t_1}(n+h) - q_{t_2}(n)$  or  $q_{t_1}(n+h) - g_{t_2}(n)$ . The resulting polynomial has the same degree as  $q_t$ .

The characteristic vector of the set of polynomials  $\{r_1, \ldots, r_{2m+2l-1}\}$  has the form  $(c_1, \ldots, c_{i-1}, n_i, n_{i+1}, \ldots, n_d)$ . The polynomials from the second and the fourth group have the same degree as  $q_t$  and the same leading coefficient as  $q_t$  if deg  $(q_t) >$  deg  $(g_1)$  and the leading coefficient will be the difference of leading coefficients of  $q_t$  and  $g_1$  if deg  $(q_t) =$  deg  $(g_1)$ . The polynomials from the first and the third group will be of degree smaller than deg  $(g_1)$ .

 $L(0; \overline{n_1, \ldots, n_{i-1}}, n_i, \ldots, n_d)^{11}$  and Cauchy-Schwartz inequality imply that for all but a finite number of h's there exists  $J(\varepsilon, h)$  such that for every  $J \ge J(\varepsilon, h)$  there exists N(J) such that for every  $N \ge N(J)$  and any  $\{0, 1\}$ -valued sequence  $(a_n)_{n \in \mathbb{N}}$ we have<sup>12</sup>

$$\left|\frac{1}{J}\sum_{j=1}^{J}\langle u_j, u_{j+h}\rangle_{q(N)}\right| < \frac{\varepsilon}{2}$$

Van der Corput lemma implies that there exists  $J(\varepsilon)$  such that for every  $J \ge J(\varepsilon)$  there exists N(J) such that for every  $N \ge N(J)$  and any  $\{0, 1\}$ -valued sequence  $(a_n)_{n \in \mathbb{N}}$  we have

$$\left\|\frac{1}{J}\sum_{j=1}^{J}u_{j}\right\|_{q(N)} < \varepsilon.$$

<sup>&</sup>lt;sup>11</sup>Notice that for all t the polynomial q(n) grows faster to infinity than  $r_t(n)$ .

<sup>&</sup>lt;sup>12</sup>The sequence  $(a_n)_{n \in \mathbb{N}}$  is involved in the definition of  $u_j$ 's.

Thus we have shown the validity of  $L(k; \underbrace{0, \dots, 0}_{i-1 z eros}, n_i + 1, n_{i+1}, \dots, n_d)$ .

**Proof of** L(k; 1),  $\forall k \in \mathbb{N}_0$ :

Let  $g_1(n) = c_1n + d_1$  with  $c_1 > 0$ ,  $c_1 = (c_1^1, \ldots, c_k^1) \in (\mathbb{Z} \setminus \{0\})^k$  and  $q(n) \in \mathbb{Z}[n]$  with  $q(n) - g_1(n) \to \infty$  as  $n \to \infty$ . We need to prove the following statement.

For every  $\varepsilon, \delta > 0$  there exists  $H(\delta, \varepsilon, c_1)$  such that for every  $H \ge H(\delta, \varepsilon, c_1)$  there exists J(H) such that for every  $J \ge J(H)$  there exists N(J) such that for every  $N \ge N(J)$  for a set of  $(h_1, \ldots, h_k) \in \{1, \ldots, H\}^k$  of density which is at least  $1 - \delta$  for any  $\{0, 1\}$ -valued sequence  $(a_n)_{n \in \mathbb{N}}$  we have

$$\left\| \frac{1}{J} \sum_{j=1}^{J} a_{N+j} \prod_{\epsilon \in \{0,1\}^k} \xi(n - g_1(N+j) - \epsilon_1 c_1^1 h_1 - \ldots - \epsilon_k c_k^1 h_k) \right\|_{q(N)} < \varepsilon.$$

By total ergodicity of the sequence  $(\xi(n)_{n \in \mathbb{N}_0})$  there exist a totally ergodic system  $(X, \mathbb{B}_X, \mu, T)$ , a function  $f \in L^{\infty}(X)$  and an f-generic point  $x_0 \in X$  such that

$$\xi(n) = f(T^n x_0), \ \forall n \in \mathbb{N}_0.$$

Let  $(b_i)_{i \in \mathbb{N}}$  be a  $\{0, 1\}$ -valued sequence. Then by f-genericity of  $\xi$  we have

$$\frac{q(N)}{q(N) - g_1(N)} \left\| \frac{1}{J} \sum_{j=1}^J b_j \prod_{\epsilon \in \{0,1\}^k} \xi(n - g_1(N+j) - \epsilon_1 c_1^1 h_1 - \dots - \epsilon_k c_k^1 h_k) \right\|_{q(N)}^2 \to$$

(3.1)

$$\int_{X} \left( \frac{1}{J} \sum_{j=1}^{J} b_{J+1-j} T^{c_{1}j} \left( \prod_{\epsilon \in \{0,1\}^{k}} T^{\epsilon_{1}c_{1}^{1}h_{1}+\ldots+\epsilon_{k}c_{k}^{1}h_{k}} f(x) \right) \right)^{2} d\mu(x) \text{ as } N \to \infty.$$

To get equation (3.1) we used the assumption that q grows faster to infinity than  $g_1$  and we made the change of variables  $n \to n - g_1(N+j) - c_1^1 h_1 - \ldots - c_k^1 h_k$ . Denote by  $g_{h_1,\ldots,h_k}$  the following function on X:

$$g_{h_1,...,h_k}(x) = \prod_{\epsilon \in \{0,1\}^k} T^{\epsilon_1 h_1 + \ldots + \epsilon_k h_k} f(x).$$

The following statement is a corollary of Theorem 13.1 of Host and Kra in [5].<sup>13</sup>

For every  $\varepsilon, \delta > 0$  there exists  $H(\delta, \varepsilon) \in \mathbb{N}$  such that for every  $H \ge H(\delta, \varepsilon)$  for a set of  $(h_1, \ldots, h_k) \in \{1, \ldots, H\}^k$  which has density at least  $1 - \delta$  we have<sup>14</sup>

$$\left|\int_X g_{h_1,\dots,h_k}(x)d\mu(x)\right| < \varepsilon$$

 $<sup>^{13}\</sup>mathrm{We}$  just used a special case of Theorem 13.1 from [5] for weak mixing systems which is not hard to prove directly.

<sup>&</sup>lt;sup>14</sup>The mean zero of  $\xi$  is equivalent to  $\int f d\mu = 0$ .

Let  $\varepsilon, \delta > 0$ . By the foregoing statement there exists  $H(\delta, \varepsilon)$  such that for every  $H \ge H(\delta, \varepsilon)$  the set of those  $(h_1, \ldots, h_k) \in \{1, \ldots, H\}^k$  such that

. .

$$\left|\int_X g_{h_1,\dots,h_k}(x)d\mu(x)\right| < \sqrt{\frac{\varepsilon}{8}}$$

has density at least  $1 - \delta$ .

For any fixed  $\mathbf{h} = (h_1, \ldots, h_k)$  Lemma 6 implies that there exists  $J(\varepsilon, \mathbf{h})$  such that for every  $J \ge J(\varepsilon, \mathbf{h})$  and any  $\{0, 1\}$ -valued sequence  $(e_n)_{n \in \mathbb{N}}$  we have

$$\left\| \frac{1}{J} \sum_{j=1}^{J} e_j T^{c_1 j} \left( g_{h_1, \dots, h_k}(x) - \int_X g_{h_1, \dots, h_k}(x) d\mu(x) \right) \right\|_{L^2(X)} < \sqrt{\frac{\varepsilon}{8}}$$

Therefore, by merging the last two statements we conclude that there exists  $H(\delta, \varepsilon)$ such that for every  $H \ge H(\delta, \varepsilon)$  there exists J(H) such that for every  $J \ge J(H)$ and for a set of  $(h_1, \ldots, h_k) \in \{1, \ldots, H\}^k$  which has density at least  $1 - \delta$  we have

$$\left\|\frac{1}{J}\sum_{j=1}^{J}e_{j}T^{c_{1}j}g_{h_{1},\ldots,h_{k}}(x)\right\|_{L^{2}(X)} < \sqrt{\frac{\varepsilon}{2}}$$

for any  $\{0, 1\}$ -valued sequence  $(e_j)_{j \in \mathbb{N}}$ .

By making  $\delta$  smaller we conclude that the same statement is true when we replace  $g_{h_1,\ldots,h_k}(x)$  by the function

$$\prod_{\epsilon \in \{0,1\}^k} T^{\epsilon_1 c_1^1 h_1 + \ldots + \epsilon_k c_k^1 h_k} f(x)$$

By (3.1), the fact that  $\lim_{N\to\infty} \frac{q(N)}{q(N)-g_1(N)} > 0$  and the last statement we get that there exists N(J) such that for every  $N \ge N(J)$ , for a set of  $\mathbf{h} \in \{1, \ldots, H\}^k$  of density  $1 - \delta$  and every  $\{0, 1\}$ -valued sequence  $(b_j)_{1 \le j \le J}$  we have

$$\left\| \frac{1}{J} \sum_{j=1}^{J} b_j \prod_{\epsilon \in \{0,1\}^k} \xi(n - g_1(N+j) - \epsilon_1 c_1^1 h_1 - \dots - \epsilon_k c_k^1 h_k) \right\|_{q(N)} < \varepsilon.$$

The latter statement implies the validity of L(k; 1).

Proof of Proposition 2. For a family of polynomials  $F = \{p_1, \ldots, p_k\}$  with a maximal degree d denote by  $n_d$  the number of different leading coefficients of polynomials of degree d.

As in the proof of Lemma 2 we fix one of the groups of polynomials of degree d (all polynomials in the same group have the same leading coefficient). Assume that the group  $\{g_1, \ldots, g_m\}$  has the maximal leading coefficient among all polynomials  $p_1, \ldots, p_k$ . The rest of the polynomials we denote by  $q_1, \ldots, q_l$ . Without loss of generality assume that  $p_1 = g_1, \ldots, p_m = g_m$ . For any integer j denote by  $u_j$  the vector

$$u_j(n) = a_{N+j}\xi(p_1(N+j) - n)\xi(p_2(N+j) - n)\dots\xi(p_k(N+j) - n), \ 1 \le n \le p_1(N)$$

Denote by  $r_i(n) = p_1(n) - q_i(n)$ ;  $s_i(n) = p_1(n) - q_i(n+h)$ ,  $i: 1 \le i \le l$  and  $t_i(n) = p_1(n) - p_i(n)$ ;  $f_i(n) = p_1(n) - p_i(n+h)$ ,  $i: 1 \le i \le m$ . Also denote by

$$\psi_i(x,y) = \xi(x - r_i(y))\xi(x - s_i(y)), \text{ for } 1 \le i \le l.$$

For any  $h \ge 1$  we have

$$\frac{1}{J}\sum_{j=1}^{J} \langle u_j, u_{j+h} \rangle_{p_1(N)} = \delta_{J,N} +$$

$$\langle \xi(n), \frac{1}{J} \sum_{j=1}^{J} b_{N+j} \prod_{i=1}^{m-1} \xi(n - t_{i+1}(N+j)) \prod_{i=1}^{l} \psi_i(n, N+j) \prod_{i=1}^{m} \xi(n - f_i(N+j)) \rangle_{p_1(N)},$$

where  $b_n = a_n a_{n+h}$  and  $\delta_{J,N} \to 0$  as  $N \to 0$ . To get the last identity we have used the growth condition on  $p_1(n)$  and we made the change of variables  $n \to p_1(N+j) - n$ .

For all but a finite number of h's the polynomials in the family

$$\tilde{F} = \{r_1, \dots, r_l, s_1, \dots, s_l, t_2, \dots, t_m, f_1, \dots, f_m\}$$

are essentially distinct and  $p_1$  grows faster to infinity than any polynomial in  $\tilde{F}$ . For all but a finite number of h's, by Lemma 2 for any  $\varepsilon > 0$  there exists  $J(\varepsilon)$  such that for any  $J \ge J(\varepsilon)$  there exists N(J) such that for every  $N \ge N(J)$  we have

$$\left\|\frac{1}{J}\sum_{j=1}^{J}b_{N+j}\prod_{i=1}^{m-1}\xi(n-t_{i+1}(N+j))\prod_{i=1}^{l}\psi_i(n,N+j)\prod_{i=1}^{m}\xi(n-f_i(N+j))\right\|_{p_1(N)} < \varepsilon.$$

Cauchy-Schwartz inequality and van der Corput's lemma imply the validity of the statement of the lemma.

## 

#### 4. Proof of Theorem 1

We remind the statement.

**Theorem 1.** Let  $A \subset \mathbb{N}$  be a TE set. Then for any  $B \subset \mathbb{N}$  of positive upper density and any non-constant polynomial  $p(n) \in \mathbb{Z}[n]$  with a positive leading coefficient we have  $(A + B) \cap \{p(n) \mid n \in \mathbb{N}\} \neq \emptyset$ . Moreover, if the lower density of B is positive then the set  $R_p = \{n \in \mathbb{N} \mid p(n) \in A + B\}$  has bounded gaps.

*Proof.* Let  $B \subset \mathbb{N}$  be a set of positive upper density,  $A \subset \mathbb{N}$  be a TE set and  $p(n) \in \mathbb{Z}[n]$  a non-constant polynomial with a positive leading coefficient. Denote by  $(\xi(n))_{n \in \mathbb{N}_0}$  the sequence<sup>15</sup>

$$\xi(n) = 1_A(n) - d(A).$$

Denote by  $c = \overline{d}(B) > 0, u_j(n) = \xi(p(N+j)-n); 1 \le n \le p(N), 1 \le j \le J$ . If  $(A+B) \cap \{p(n)|n \in \mathbb{N}\} = \emptyset$  then for any  $b \in B$  and for all N, j we have  $p(N+j) - b \notin A$ . Thus

$$\langle 1_B, \frac{1}{J} \sum_{j=1}^J u_j \rangle_{p(N)} = \frac{1}{p(N)} \sum_{n=1}^{p(N)} 1_B(n) \frac{1}{J} \sum_{j=1}^J \xi(p(N+j)-n) = -d(A) \frac{|B \cap \{1, 2, \dots, p(N)\}|}{p(N)}.$$

<sup>&</sup>lt;sup>15</sup>We assume that  $\xi(0) = 0$ .

Therefore for infinitely many N's we have<sup>16</sup>

$$\left| \langle 1_B, \frac{1}{J} \sum_{j=1}^J u_j \rangle_{p(N)} \right| \ge \frac{d(A)c}{2}.$$

Cauchy-Schwartz inequality together with Proposition 1 imply a contradiction.

Assume that the lower density of B is positive. If the conclusion of the theorem is not true then for any J > 0 there exist infinitely many N's such that  $(A + B) \cap \{p(N + 1), \dots, p(N + J)\} = \emptyset$ . The latter implies that for these N's which are sufficiently large we have

(4.1) 
$$\left| \langle 1_B, \frac{1}{J} \sum_{j=1}^J u_j \rangle_{p(N)} \right| \ge \frac{d(A)\underline{d}(B)}{2}.$$

But by Cauchy-Schwartz inequality and Proposition 1 we get that the left hand side of (4.1) is arbitrary close to zero for sufficiently large J and N > N(J). Thus we get a contradiction and, therefore, the set

$$R_p = \{n \in \mathbb{N} \mid p(n) \in A + B\}$$

has bounded gaps.

5. Proof of Theorem 3

We remind the statement.

**Theorem 3.** Let  $A \subset \mathbb{N}$  be a WM set, let  $p_1(n), \ldots, p_k(n) \in Z[n]$  be essentially distinct polynomials of the same degree with positive leading coefficients, let  $B \subset \mathbb{N}$  of positive upper density. Then the set

$$R_{p_1,\dots,p_k} = \{ n \in \mathbb{N} \mid \exists b \in B : p_1(n), p_2(n),\dots,p_k(n) \in A + b \}$$

has lower Banach density 1.

*Proof.* Let A be a WM set and let  $p_1, \ldots, p_k \in \mathbb{Z}[n]$  be essentially distinct polynomials of the same degree  $d \geq 1$  with positive leading coefficients. Assume that for sufficiently large n's we have  $p_1(n) > p_i(n), \forall i : 2 \leq i \leq k$ . We notice that  $n \in R_{p_1,\ldots,p_k}$  if and only if there exists  $(x, y_1, \ldots, y_k) \in B \times A^k$  such that the system

(5.1) 
$$\begin{cases} x + y_1 = p_1(n) \\ x + y_2 = p_2(n) \\ \dots \\ x + y_k = p_k(n) \end{cases}$$

holds. Let F be the set of all n's for which the statement of the theorem fails.

 $F = \{n \in \mathbb{N} \mid \text{for any } (x, y_1, \dots, y_k) \in B \times A^k \text{ the system (5.1) fails to hold} \}.$ 

We prove that  $d^*(F) = 0$ . Denote by  $(a_n)_{n \in \mathbb{N}}$  the indicator sequence of F, i.e.,  $a_n = 1_F(n)$ . Let  $\xi$  be the sequence

$$\xi(n) = 1_A(n) - d(A)$$
, for all  $n \in \mathbb{N}$ .

 $<sup>\</sup>begin{array}{l} 16\overline{d}(B)>0 \text{ implies that there exists a subsequence } (N_k)_{k\in\mathbb{N}} \text{ such that for every } k \text{ we have } \\ \frac{|B\cap\{1,2,\ldots,p(N_k)\}|}{p(N_k)}>\frac{c}{2}. \end{array}$  The latter uses that  $\frac{p(N+1)}{p(N)}\to 1$  as  $N\to\infty$ .

Denote by  $B_{N,J}$  the following expression

$$B_{N,J} = \langle 1_B(n), \frac{1}{J} \sum_{j=1}^J a_{N+j} 1_A(p_1(N+j) - n) \dots 1_A(p_k(N+j) - n) \rangle_{p_1(N)}.$$

Suppose that  $d^*(F) > 0$ . Then for every J there exist intervals  $(I^J_\ell)_{\ell \in \mathbb{N}}$  such that  $I^J_\ell = \{N^J_\ell + 1, \dots, N^J_\ell + J\}$  and  $N^J_\ell \to \infty$  as  $\ell \to \infty$ . Also we demand from  $(I^J_\ell)_{\ell \in \mathbb{N}}$  that  $\frac{|F \cap I^J_\ell|}{J} > \frac{d^*(F)}{2}$  for J big enough and every  $\ell$ . Denote by

$$c = \min_{2 \le i \le k} \frac{c_i}{c_1},$$

where  $c_i$  is a leading coefficient of polynomial  $p_i$ .

For  $i, 0 \le i \le k-1$  denote by  $\psi_j(x, y)$  and by  $\phi_j(x, y)$  the following expressions:

$$\psi_j(x,y) = \prod_{m=1}^i 1_A(p_m(x+j) - y), \ \phi_j(x,y) = \prod_{m=i+1}^k \xi(p_m(x+j) - y).$$

By Proposition 2 and an induction on  $i, 0 \le i \le k-1$  the following statement is true.

**Claim 1:** For any  $\varepsilon > 0$  there exists  $J(\varepsilon)$  such that for any  $J \ge J(\varepsilon)$  there exists  $\ell(J)$  such that for every  $\ell \ge \ell(J)$  and any  $\{0,1\}$ -valued sequence  $(b_n)_{n\in\mathbb{N}}$  we have<sup>17</sup>

$$\left|\langle 1_B(n), \frac{1}{J} \sum_{j=1}^J b_{N_\ell^J + j} \psi_j(N_\ell^J, n) \phi_j(N_\ell^J, n) \rangle_{p_1(N_\ell^J)} \right| < \varepsilon.$$

To prove the theorem we will use the following statement.

**Claim 2:** For any  $\varepsilon > 0$  there exists  $J(\varepsilon)$  such that for every  $J \ge J(\varepsilon)$  there exists  $\ell(J)$  such that for every  $\ell \ge \ell(J)$  we have<sup>18</sup>

$$\left|B_{N_{\ell}^{J},J}\right| \ge c(1-\varepsilon)\overline{d}(B)d^{k}(A)\frac{d^{*}(F)}{3}.$$

Claim 1 for i = k - 1 and an induction on k imply the validity of Claim 2.

By the definition of F it follows that for every J and N the expression  $B_{N,J} = 0$ . The latter contradicts Claim 2. Thus, indeed, we have  $d^*(F) = 0$ .

#### 6. Appendix

**Lemma 5.** (van der Corput) Let  $\varepsilon > 0$  and  $(u_j)_{j \in \mathbb{N}}$  be a bounded sequence of vectors in a Hilbert space. There exists  $I(\varepsilon)^{19}$  such that for every  $I \ge I(\varepsilon)$  there

 $<sup>^{17}\</sup>mathrm{The}$  statement is true for any integer k.

<sup>&</sup>lt;sup>18</sup>Claim 2 will be wrong if not all the degrees of  $p_1, \ldots, p_k$  are the same. Because in the latter case we cannot use the formula  $1_A(p_i(N+j)-n) = \xi(p_i(N+j)-n) - d(A)$  on a set of n's of positive density in  $\{1, \ldots, p_1(N)\}$ .

<sup>&</sup>lt;sup>19</sup>It is very important that  $I(\varepsilon)$  depends only on  $\varepsilon$  and the sup norm of the sequence  $(u_j(n))_{j \in \mathbb{N}}$ . This property is used in an essential way in the proofs.

exists J(I), such that for any  $J \ge J(I)$  for which we have

$$\left|\frac{1}{J}\sum_{j=1}^{J}\langle u_j, u_{j+i}\rangle\right| < \frac{\varepsilon}{2},$$

for a set of i's in the interval  $\{1, \ldots, I\}$  of density  $1 - \frac{\varepsilon}{3}$  the following holds

$$\left\|\frac{1}{J}\sum_{j=1}^J u_j\right\| < \varepsilon.$$

This is a finitary modification of Bergelson's lemma in [1]. Its proof may be found in [3], Lemma 5.1.

The following lemma is a simple fact that for a weakly mixing system we have a convergence in  $L^2$ -norm even of weighted ergodic averages. The precise statement is the following.

**Lemma 6.** Let  $(X, \mathbb{B}, \mu, T)$  be a weakly mixing system and  $f \in L^2(X)$  with  $\int_X f d\mu = 0$ . Let  $\varepsilon > 0$ . There exists  $J(\varepsilon)$  such that for any  $J > J(\varepsilon)$  and any  $\{0, 1\}$ -valued sequence  $(b_n)_{n \in \mathbb{N}}$  we have

$$\left\|\frac{1}{J}\sum_{j=1}^J b_j T^j f\right\|_{L^2(X)} < \varepsilon$$

*Proof.* Weak mixing implies that for any  $f \in L^2(X)$  with  $\int_X f d\mu(x) = 0$  we have

$$\frac{1}{N}\sum_{n=1}^{N}|\langle T^{n}f,f\rangle|\to 0.$$

Denote by  $c_n = c_{(-n)} = |\langle T^n f, f \rangle|$ . Then we have

(6.1) 
$$\frac{1}{N}\sum_{n=1}^{N}c_n \to 0 \text{ as } N \to \infty.$$

Let  $\varepsilon > 0$ . From (6.1) it follows that there exists  $J(\varepsilon)$  such that for any  $J > J(\varepsilon)$  we have

$$\left\| \frac{1}{J} \sum_{j=1}^{J} b_j T^j f \right\|^2 \le \frac{1}{J^2} \sum_{j,k=1}^{J} b_j b_k c_{j-k} \le \frac{1}{J^2} \sum_{j,k=1}^{J} c_{j-k} < \varepsilon.$$

### References

- Bergelson, V. Weakly mixing PET. Ergodic Theory Dynam. Systems 7 (1987), no. 3, 337– 349.
- [2] Fish, A. Random Liouville functions and normal sets. Acta Arith. 120 (2005), no. 2, 191–196.
- [3] Fish, A. Solvability of linear equations within weak mixing sets, to appear in Israel Journal of Mathematics.
- [4] Fish, A. Ramsey Theory of subsets of N, Ph.D. thesis, Hebrew University of Jerusalem, 2007.
- [5] Host, B.; Kra, B. Nonconventional ergodic averages and nilmanifolds. Ann. of Math. (2) 161 (2005), no. 1, 397–488.

Department of Mathematics, University of Wisconsin, Madison 480 Lincoln Drive Madison, WI 53706

 $E\text{-}mail\ address: \texttt{afish@math.wisc.edu}$