

Global Methods in Homotopy Theory  
Seminar

*Hopes and dreams about  
Artin-Schreier curves*

Doug Ravenel

University of Rochester  
and  
Harvard University

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## 1. RECOLLECTIONS ABOUT ARTIN-SCHREIER CURVES

We will use the following notation throughout. Fix a prime  $p$  and positive integer  $f$ . Then let

$$\begin{aligned} e &= p^f - 1 & q &= p - 1 \\ h &= qf & m &= qe. \end{aligned}$$

**Theorem 1** (2002). *Let  $C(p, f)$  be the Artin-Schreier curve over  $\mathbf{F}_p$  defined by the affine equation*

$$y^e = x^p - x.$$

*(Assume that  $(p, f) \neq (2, 1)$ .) Then its Jacobian  $J(C(p, f))$  has a 1-dimensional formal summand of height  $h$ .*

Properties of  $C(p, f)$ :

- Its genus is  $q(e - 1)/2$ , eg it is 0 in the excluded case, and 1 in the cases  $(p, f) = (2, 2)$  and  $(3, 1)$ . In these cases  $C$  is an elliptic curve whose formal group law has height 2.
- Over  $\mathbf{F}_{p^h}$  it has an action by the group

$$G = \mathbf{F}_p \rtimes \mu_m$$

given by

$$(x, y) \mapsto (\zeta^e x + a, \zeta y)$$

for  $a \in \mathbf{F}_p$  and  $\zeta \in \mu_m$ .

## REMARKS

- Let  $\mathbf{G}_n$  denote the extension of the Morava stabilizer group  $S_n$  by the Galois group  $C_n$ . Given a finite subgroup  $G \subset \mathbf{G}_n$ , Hopkins-Miller can construct a “homotopy fixed point spectrum”  $E_n^{hG}$ . The group  $G$  above was shown by Hewett to be a maximal finite subgroup of  $\mathbf{G}_h$ . It acts on the 1-dimensional summand of  $\widehat{J}(C(p, f))$  in the appropriate way.
- The curve above does not lead to a Landweber exact functor and cohomology theory. In order to get on we need to lift the curve to characteristic 0 in the right way. We will describe such a lifting below.
- Gorbunov-Mahowald studied this curve for  $f = 1$ . They found a lifting of the curve to characteristic zero associated with the Lubin-Tate lift of the formal group law of height  $p - 1$ .

## 2. DEFORMING THE ARTIN-SCHREIER CURVE

We want a lifting of  $C(p, f)$  that admits a coordinate change similar to the one for the Weierstrass curve used in the construction of  $tmf$ . The equation will have the form

$$y^e = x^p + \dots$$

with (nonaffine) coordinate change

$$\begin{aligned} x &\mapsto x + \tilde{t} & \text{where } \tilde{t} &= \sum_{i=1}^f t_i y^{(p^f - p^i)/p} \\ y &\mapsto y \end{aligned}$$

The  $t_i$  above are related to the generators of the same name in  $BP_*(BP)$ .

In order to state this precisely we need some notation. Let

$$I = (i_1, \dots, i_f)$$

be an  $f$ -tuple of nonnegative integers and define

$$\begin{aligned} |I| &= \sum_k i_k & ||I|| &= \sum_k (p^k - 1)i_k \\ t^I &= \prod_k t_k^{i_k} & I! &= \prod_k i_k! \end{aligned}$$

The coefficients in our equation will be formal variables  $a_I$  with  $|I| \leq p$  (where  $a_0 = p!$ ) with topological dimension  $2||I||$ . We will sometimes write  $a_I$  as  $a_{||I||}$ . For  $|I| \leq p$ ,  $I$  is uniquely determined by its norm  $||I||$ . The number of indices  $I$  with  $0 < |I| \leq p$  is  $\binom{p+f}{f} - 1$ .

Then the equation for our curve is

$$\begin{aligned} y^e &= \sum_{i=0}^p \frac{x^{p-i}}{(p-i)!} \sum_{|I|=i} a_I y^{(ei-\|I\|)/p} \\ &= x^p + a_m x + \cdots \end{aligned}$$

(recall that  $e = p^f - 1$ ) and the effect of the coordinate change on the coefficients  $a_I$  is given by

$$a_I \mapsto \sum_{J+K=I} a_J \frac{t^K}{K!}.$$

For  $f = 1$  the equation simplifies to the Gorbunov-Mahowald equation

$$y^{p-1} = x^p + \sum_{i=1}^p \frac{a_{qi} x^{p-i}}{(p-i)!}$$

with coordinate change

$$a_{qi} \mapsto a_{qi} + \sum_{0 < j < i} \frac{a_{qj} t_1^{i-j}}{(i-j)!} + \frac{p! t_1^i}{i!}.$$

**Theorem 2** (2004). *Let*

$$\begin{aligned} A &= \mathbf{Z}_p[a_I : 0 < |I| \leq p] \\ \bar{A} &= A/(a_m - 1) \\ \bar{A} \supset J &= (a_i : i \neq m, ) \end{aligned}$$

*Then the Jacobian of curve above defined above over the ring  $\bar{A}/J^2$  has a 1-dimensional formal summand of height  $h$ . The corresponding formal group law has Landweber exact liftings to  $\bar{A}$  and  $a_m^{-1}A$  with the former given by*

$$v_r = \begin{cases} pa_{m+p^r-1} + a_{p^r-1} & \text{if } 1 \leq r \leq \min(f, h-1) \\ a_{se+p^i-1} & \text{if } f < r < h \text{ and } p > 2 \\ m - 2a_{2e} & \text{if } r = h \text{ and } p = 2 \\ 1 & \text{if } r = h \text{ and } p > 2; \end{cases}$$

*up to unit scalar, where  $r = sf + i$  with  $1 \leq i \leq f$ .*

There is an associated Hopf algebroid

$$\Gamma = A[t_1, \dots, t_f]$$

where each  $t_i$  is primitive and the right unit given by the coordinate change formula above.

**Fantasy 3.** *For each  $(p, f)$  as above there is a spectrum generalizing tmf whose homotopy can be computed by an Adams-Novikov type spectral sequence with*

$$E_2 = \text{Ext}_\Gamma(A, A).$$

## REMARKS

- (i) This fantasy is not likely to be true for  $f > 1$  because the ring  $A$  is too large. Ideally its Krull dimension should be  $pf$ , the sum of the height of the formal group law and the number of coordinate change parameters.

Replace the equation above with

$$y^e = \prod_{j=1}^p (x + \tilde{r}_j)$$

with

$$\tilde{r}_j = \sum_{i=1}^f r_{j,i} y^{(p^f - p^i)/p} \quad \text{and} \quad |r_{j,i}| = 2(p^i - 1).$$

Thus we get a curve defined over the ring

$$R = \mathbf{Z}_p[r_{j,i} : 1 \leq j \leq p, 1 \leq i \leq f],$$

which has the desired Krull dimension.

However it leads to an uninteresting Ext group. The coordinate change above induces

$$r_{j,i} \mapsto r_{j,i} + t_i$$

and

$$\text{Ext}_{\Gamma}^s(R) = \begin{cases} \mathbf{Z}_p[r_{j,i} - r_{p,i}] & \text{for } s = 0 \\ 0 & \text{for } s > 0. \end{cases}$$

The equation for the curve is actually defined over the subring

$$B = R^{\Sigma_p},$$

where  $\Sigma_p$  acts on  $R$  via the second subscript. This ring is a quotient of  $A$ , but its structure is unknown for  $f > 1$  except for  $(p, f) = (2, 2)$ . It is clearly a module (presumably free of rank  $p!^{f-1}$ ) over the subring

$$C = R^{\Sigma_p^f}$$

where the  $f$  copies of  $\Sigma_p$  act independently on the  $f$  sets of  $p$  generators of  $R$ . Its structure is well known, namely

$$C = \mathbf{Z}_p[\sigma_{k,i} : 1 \leq i \leq f, 1 \leq k \leq p]$$

where  $\sigma_{k,i}$  is the  $k$ th elementary symmetric function in the variables  $r_{1,i}, \dots, r_{p,i}$ . It is the image of  $a_{k(p^{i-1})}/(p-k)!$ .

(ii) **RELATION TO  $tmf$ .** The case  $(p, f) = (3, 1)$  leads to  $eo_2$ . We will say more about the Ext computation below.

For  $(p, f) = (2, 2)$  our equation reads

$$y^3 = x^2 + (a_1y + a_3)x + a_2y^2 + a_4y + a_6,$$

so our  $a_i$ s are the Weierstrass  $a_i$ s up to sign. In the ring  $B$  there is a relation

$$a_4^2 - a_1a_3a_4 = 4a_2a_6 - a_2a_3^2 - a_1^2a_6,$$

which makes it a free module on  $\{1, a_4\}$  over

$$C = \mathbf{Z}_2[a_1, a_2, a_3, a_6].$$

Our coordinate change is

$$y \mapsto y \quad \text{and} \quad x \mapsto x + t_1y + t_2,$$

while in  $tmf$  it is

$$y \mapsto y + r \quad \text{and} \quad x \mapsto x + sy + t.$$

It seems likely that our fantasy (with  $A$  replaced by  $B$ ) would lead to the spectrum

$$tmf \wedge (S^0 \cup_\nu e^4).$$

Our right unit formula is

$$a_{(0,2)} = a_6 \mapsto a_6 + a_3 t_2 + t_2^2$$

$$a_{(1,1)} = a_4 \mapsto a_4 + a_3 t_1 + a_1 t_2 + 2 t_1 t_2$$

$$a_{(0,1)} = a_3 \mapsto a_3 + 2 t_2$$

$$a_{(2,0)} = a_2 \mapsto a_2 + a_1 t_1 + t_1^2$$

$$a_{(1,0)} = a_1 \mapsto a_1 + 2 t_1,$$

while in  $tmf$  it is

$$a_6 \mapsto a_6 + a_4 r + a_3 t + a_2 r^2 \\ + a_1 r t + t^2 - r^3$$

$$a_4 \mapsto a_4 + a_3 s + 2 a_2 r \\ + a_1 (r s + t) + 2 s t - 3 r^2$$

$$a_3 \mapsto a_3 + a_1 r + 2 t$$

$$a_2 \mapsto a_2 + a_1 s - 3 r + s^2$$

$$a_1 \mapsto a_1 + 2 s.$$

The former can be obtained from the latter by

$$r \mapsto 0$$

$$s \mapsto t_1$$

$$t \mapsto t_2$$

### 3. SOME EXT CALCULATIONS

Recall our right unit formula

$$\eta_R(a_I) = \sum_{J+K=I} a_J \frac{t^K}{K!}.$$

In particular

$$\eta_R(a_{p(p^i-1)}) = a_{p(p^i-1)} + \sum_{0 < j < p} a_{j(p^i-1)} \frac{t_i^{p-j}}{(p-j)!} + t_i^p.$$

This leads to a change-of-rings isomorphism

$$\text{Ext}_\Gamma(A, A) = \text{Ext}_{\Gamma'}(A', A')$$

where

$$A' = A/(a_{p\Delta_1}, \dots, a_{p\Delta_f})$$

$$\text{and } \Gamma' = A'[t_1, \dots, t_f]/(\eta_R(a_{p(p^i-1)}) - a_{p(p^i-1)}).$$

Note that  $\Gamma'$  is a free  $A'$ -module of rank  $p^f$ .

Next it is convenient to filter by powers of the maximal ideal  $J$  in  $A'$ . We get

$$\begin{aligned} E_0 A' &= \mathbf{Z}/(p)[a_I : 0 \leq |I| \leq p, I \neq p\Delta_i] \\ &= SM \quad \text{where } M = J/J^2 \end{aligned}$$

$$E_0 \Gamma' = E_0 A' \otimes P$$

$$\text{where } P = \mathbf{Z}/(p)[t_i]/(t_i^p)$$

The  $P$ -comodule  $M$  is a vector space of rank

$$\binom{p+f}{f} - f.$$

For  $f = 1$ ,  $M$  has basis

$$\{a_0, a_q, \dots, a_{(p-1)q}\}$$

and is a free  $P$ -comodule. Its symmetric algebra is stably equivalent to

$$\mathbf{Z}/(p)[a_{(p-1)q}^p],$$

so above the 0-line we have

$$\mathrm{Ext}_P(SM) = \mathbf{Z}/(p)[\Delta] \otimes E(h_{1,0}) \otimes P(b_{1,0}).$$

where  $\Delta = a_{(p-1)q}^p$ . In the spectral sequence there are differentials

$$\begin{aligned} d_{2q+1}(\Delta) &= h_{1,0}b_{1,0}^q \\ d_{2q^2+1}(h_{1,0}\Delta^{p-1}) &= b_{1,0}^{q^2+1} \end{aligned}$$

We now turn to  $(p, f) = (3, 2)$ . The following is a picture of  $M$ .

$$\begin{array}{ccccc} a_{16} & \longleftarrow & a_{18} & & \\ \downarrow & & \downarrow & & \\ a_8 & \longleftarrow & a_{10} & \longleftarrow & a_{12} \\ \downarrow & & \downarrow & & \downarrow \\ a_0 & \longleftarrow & a_2 & \longleftarrow & a_4 \end{array}$$

Horizontal and vertical arrows represent ‘‘Quillen operations’’ dual to  $t_1$  and  $t_2$  respectively. This comodule is dual to unit coideal  $I$ .

The following 2-variable Poincaré series describes  $SM$  up to stable equivalence.

$$SM = \left( \frac{1}{1 - s^3t^{24}} \right) \left( \frac{1}{1 - s^3t^{72}} \right) \left( \frac{1 + \Sigma^{40}I^{-1}}{1 - s^3I^4} \right).$$

Without the term involving  $I^{-1}$ , the Ext group in positive filtrations is contained in

$$P(a_{12}^3, a_{18}^3, z) \otimes E(h_{1,0}, h_{2,0}) \otimes P(b_{1,0}, b_{2,0})$$

where  $z \in \text{Ext}^{-4,0}$ . In particular,

$$\begin{aligned} a_4^3 &= z b_{1,0}^2 \\ a_{16}^3 &= z b_{2,0}^2 \end{aligned}$$

Tensoring with  $1 + \Sigma^{40} I^{-1}$  corresponds to tensoring the Ext group with  $E(u)$  with  $u \in \text{Ext}^{1,40}$ .

It is likely that there are virtual Adams differentials

$$\begin{aligned} d_5(z) &= h_{1,0} \\ d_9(z^2 h_{1,0}) &= b_{1,0} \\ d_5(a_{18}^3) &= h_{2,0} b_{2,0}^2 \\ d_9(h_{2,0} a_{18}^6) &= b_{2,0}^5 \end{aligned}$$

To get the 2-variable Poincaré series above:

Over  $T(t_i)$ , let  $x_i$  denote the class of the comodule which is the desuspension of the unit coideal  $I_i$  centered in dimension 0, so that  $x_i^2 = 1$ . We know that

$$\begin{aligned} S(\Sigma^n T(t_i)) &= \frac{1}{1 - s^3 t^{3n+6|v_i|}} \\ S(\Sigma^n x_i) &= \frac{1 + \Sigma^n x_i}{1 - s^3 t^{3n+3|v_i|/2}} \end{aligned}$$

As a stable comodule over  $E(t_i)$ , we have

$$I^n = \Sigma^{3n|v_i|/2} x_i^n.$$

Now over  $T(t_1)$  we have

$$M = T(t_1) \oplus \Sigma^{16} T(t_1) \oplus \Sigma^{34} x_1$$

so

$$SM = \left( \frac{1}{1 - s^3 t^{24}} \right) \left( \frac{1}{1 - s^3 t^{72}} \right) \left( \frac{1 + s^{34} x_1}{1 - s^3 t^{108}} \right).$$

Similarly over  $T(t_2)$  we have

$$M = T(t_2) \oplus \Sigma^4 T(t_2) \oplus \Sigma^{16} x_2$$

so

$$SM = \left( \frac{1}{1 - s^3 t^{96}} \right) \left( \frac{1}{1 - s^3 t^{108}} \right) \left( \frac{1 + s^{16} x_2}{1 - s^3 t^{72}} \right).$$