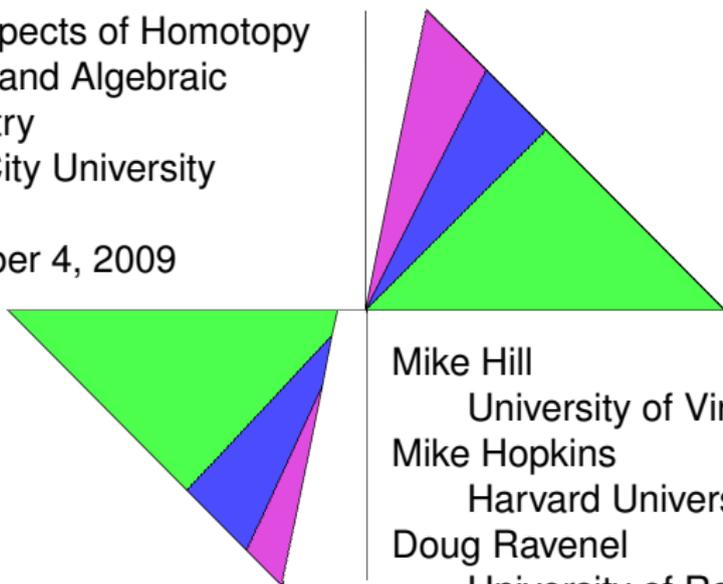


Lecture 1: History and background of the problem

A solution to the
Arf-Kervaire invariant
problem

New Aspects of Homotopy
Theory and Algebraic
Geometry
Tokyo City University

November 4, 2009



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A solution to the
Arf-Kervaire invariant
problem

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Background and
history

Our main result
The Arf-Kervaire
formulation
The unstable formulation
Questions raised by our
theorem

Our strategy

Ingredients of the proof
The spectrum Ω
How we construct Ω

A solution to the Arf-Kervaire invariant problem

Mike Hill
Mike Hopkins
Doug Ravenel



Background and history

Our main result

The Arf-Kervaire
formulation

The unstable formulation

Questions raised by our
theorem

Our strategy

Ingredients of the proof

The spectrum Ω

How we construct Ω



Our main result

Our main theorem can be stated in three different but equivalent ways:

A solution to the
Arf-Kervaire invariant
problem

Mike Hill
Mike Hopkins
Doug Ravenel



Background and
history

Our main result

The Arf-Kervaire
formulation

The unstable formulation

Questions raised by our
theorem

Our strategy

Ingredients of the proof

The spectrum Ω

How we construct Ω

Our main result

Our main theorem can be stated in three different but equivalent ways:

- **Manifold formulation:** It says that a certain geometrically defined invariant $\Phi(M)$ (the Arf-Kervaire invariant, to be defined later) on certain manifolds M is always zero.

A solution to the
Arf-Kervaire invariant
problem

Mike Hill
Mike Hopkins
Doug Ravenel



Background and
history

Our main result

The Arf-Kervaire
formulation

The unstable formulation
Questions raised by our
theorem

Our strategy

Ingredients of the proof
The spectrum Ω
How we construct Ω

Our main result

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A solution to the
Arf-Kervaire invariant
problem

Mike Hill
Mike Hopkins
Doug Ravenel



Background and
history

Our main result

The Arf-Kervaire
formulation

The unstable formulation
Questions raised by our
theorem

Our strategy

Ingredients of the proof
The spectrum Ω
How we construct Ω

Our main result

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A solution to the
Arf-Kervaire invariant
problem

Mike Hill
Mike Hopkins
Doug Ravenel



Background and
history

Our main result

The Arf-Kervaire
formulation

The unstable formulation
Questions raised by our
theorem

Our strategy

Ingredients of the proof
The spectrum Ω
How we construct Ω

Our main result

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The problem solved by our theorem is nearly 50 years old.

A solution to the
Arf-Kervaire invariant
problem

Mike Hill
Mike Hopkins
Doug Ravenel



Background and
history

Our main result

The Arf-Kervaire
formulation

The unstable formulation
Questions raised by our
theorem

Our strategy

Ingredients of the proof
The spectrum Ω
How we construct Ω

Our main result

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The problem solved by our theorem is nearly 50 years old. There were several unsuccessful attempts to solve it in the 1970s.

A solution to the
Arf-Kervaire invariant
problem

Mike Hill
Mike Hopkins
Doug Ravenel



Background and
history

Our main result

The Arf-Kervaire
formulation

The unstable formulation
Questions raised by our
theorem

Our strategy

Ingredients of the proof
The spectrum Ω
How we construct Ω

Our main result

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The problem solved by our theorem is nearly 50 years old. There were several unsuccessful attempts to solve it in the 1970s. They were all aimed at proving the opposite of what we have proved.

A solution to the
Arf-Kervaire invariant
problem

Mike Hill
Mike Hopkins
Doug Ravenel



Background and
history

Our main result

The Arf-Kervaire
formulation

The unstable formulation
Questions raised by our
theorem

Our strategy

Ingredients of the proof
The spectrum Ω
How we construct Ω

A wildly popular dance craze



A solution to the Arf-Kervaire invariant problem

Mike Hill
Mike Hopkins
Doug Ravenel



Background and history

Our main result

The Arf-Kervaire formulation

The unstable formulation

Questions raised by our theorem

Our strategy

Ingredients of the proof

The spectrum Ω

How we construct Ω

Our main result (continued)

Here is the stable homotopy theoretic formulation.

A solution to the
Arf-Kervaire invariant
problem

Mike Hill
Mike Hopkins
Doug Ravenel



Background and
history

Our main result

The Arf-Kervaire
formulation

The unstable formulation

Questions raised by our
theorem

Our strategy

Ingredients of the proof

The spectrum Ω

How we construct Ω

Our main result (continued)

Here is the stable homotopy theoretic formulation.

Main Theorem

The Arf-Kervaire elements $\theta_j \in \pi_{2^{j+1}-2+n}(S^n)$ for large n do not exist for $j \geq 7$.

A solution to the
Arf-Kervaire invariant
problem

Mike Hill
Mike Hopkins
Doug Ravenel



Background and
history

Our main result

The Arf-Kervaire
formulation

The unstable formulation

Questions raised by our
theorem

Our strategy

Ingredients of the proof

The spectrum Ω

How we construct Ω

Our main result (continued)

A solution to the
Arf-Kervaire invariant
problem

Mike Hill
Mike Hopkins
Doug Ravenel



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Main Theorem

The Arf-Kervaire elements $\theta_j \in \pi_{2^{j+1}-2+n}(S^n)$ for large n do not exist for $j \geq 7$.

Background and
history

Our main result

The Arf-Kervaire
formulation

The unstable formulation

Questions raised by our
theorem

Our strategy

Ingredients of the proof

The spectrum Ω

How we construct Ω

The θ_j in the theorem is the name given to a hypothetical map between spheres for which the Arf-Kervaire invariant is nontrivial.

Our main result (continued)

A solution to the
Arf-Kervaire invariant
problem

Mike Hill
Mike Hopkins
Doug Ravenel



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Main Theorem

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Background and
history

Our main result

The Arf-Kervaire
formulation

The unstable formulation
Questions raised by our
theorem

Our strategy

Ingredients of the proof
The spectrum Ω
How we construct Ω

The θ_j in the theorem is the name given to a hypothetical map between spheres for which the Arf-Kervaire invariant is nontrivial. It has long been known that such things can exist only in dimensions that are 2 less than a power of 2.

Our main result (continued)



Some homotopy theorists, most notably Mark Mahowald, speculated about what would happen if θ_j existed for all j .

A solution to the
Arf-Kervaire invariant
problem

Mike Hill
Mike Hopkins
Doug Ravenel



Background and
history

Our main result

The Arf-Kervaire
formulation

The unstable formulation

Questions raised by our
theorem

Our strategy

Ingredients of the proof

The spectrum Ω

How we construct Ω

Our main result (continued)



Some homotopy theorists, most notably Mark Mahowald, speculated about what would happen if θ_j existed for all j . They derived numerous consequences about homotopy groups of spheres.

A solution to the
Arf-Kervaire invariant
problem

Mike Hill
Mike Hopkins
Doug Ravenel



Background and
history

Our main result

The Arf-Kervaire
formulation

The unstable formulation

Questions raised by our
theorem

Our strategy

Ingredients of the proof

The spectrum Ω

How we construct Ω

Our main result (continued)



Some homotopy theorists, most notably Mark Mahowald, speculated about what would happen if θ_j existed for all j . They derived numerous consequences about homotopy groups of spheres. The possible nonexistence of the θ_j for large j was known as the *Doomsday Hypothesis*.

A solution to the
Arf-Kervaire invariant
problem

Mike Hill
Mike Hopkins
Doug Ravenel



Background and
history

Our main result

The Arf-Kervaire
formulation

The unstable formulation

Questions raised by our
theorem

Our strategy

Ingredients of the proof

The spectrum Ω

How we construct Ω

Our main result (continued)



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After 1980, the problem faded into the background because it was thought to be too hard.

A solution to the
Arf-Kervaire invariant
problem

Mike Hill
Mike Hopkins
Doug Ravenel



Background and
history

Our main result

The Arf-Kervaire
formulation

The unstable formulation

Questions raised by our
theorem

Our strategy

Ingredients of the proof

The spectrum Ω

How we construct Ω

Our main result (continued)



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A solution to the
Arf-Kervaire invariant
problem

Mike Hill
Mike Hopkins
Doug Ravenel



Background and
history

Our main result

The Arf-Kervaire
formulation

The unstable formulation

Questions raised by our
theorem

Our strategy

Ingredients of the proof

The spectrum Ω

How we construct Ω

Our main result (continued)



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After 1980, the problem faded into the background because it was thought to be too hard. Our proof is two giant steps away from anything that was attempted in the 70s. We now know that the world of homotopy theory is very different from what they had envisioned then.

A solution to the
Arf-Kervaire invariant
problem

Mike Hill
Mike Hopkins
Doug Ravenel



Background and
history

Our main result

The Arf-Kervaire
formulation

The unstable formulation

Questions raised by our
theorem

Our strategy

Ingredients of the proof

The spectrum Ω

How we construct Ω

Mark Mahowald's sailboat

A solution to the
Arf-Kervaire invariant
problem

Mike Hill
Mike Hopkins
Doug Ravenel



Background and
history

Our main result

The Arf-Kervaire
formulation

The unstable formulation

Questions raised by our
theorem

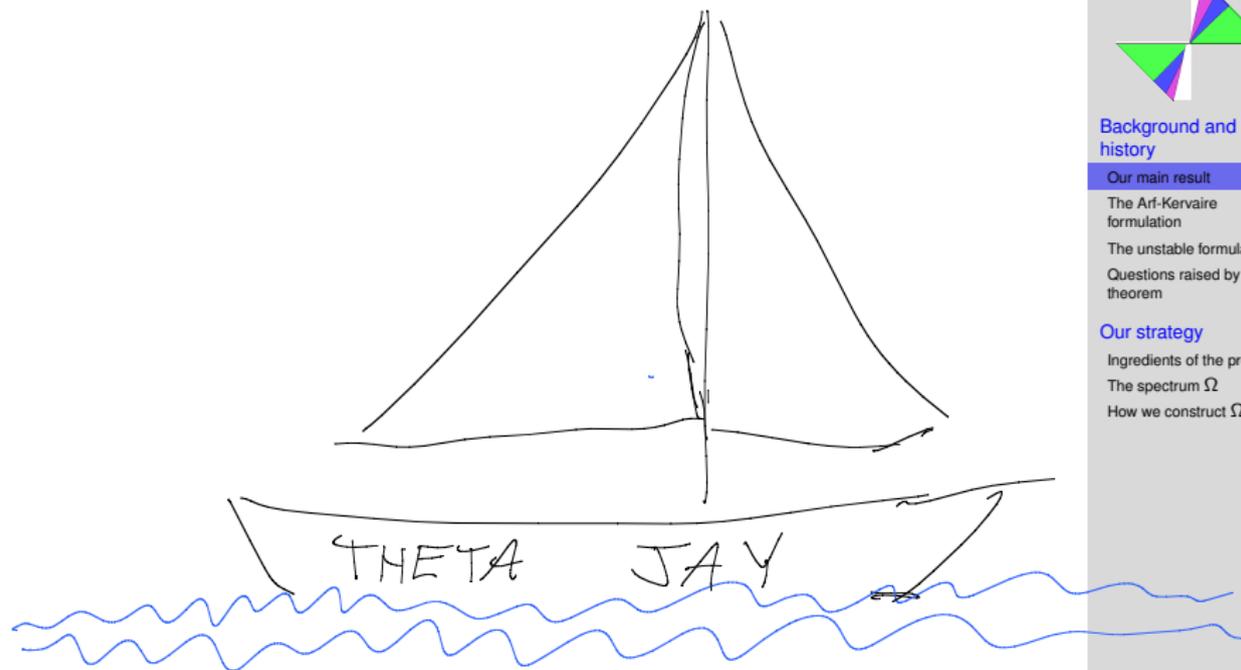
Our strategy

Ingredients of the proof

The spectrum Ω

How we construct Ω

Mark Mahowald's sailboat



A solution to the
Arf-Kervaire invariant
problem

Mike Hill
Mike Hopkins
Doug Ravenel



Background and
history

Our main result

The Arf-Kervaire
formulation

The unstable formulation

Questions raised by our
theorem

Our strategy

Ingredients of the proof

The spectrum Ω

How we construct Ω

The Arf invariant of a quadratic form in characteristic 2

Let λ be a nonsingular anti-symmetric bilinear form on a free abelian group H of rank $2n$ with mod 2 reduction \overline{H} .

A solution to the
Arf-Kervaire invariant
problem

Mike Hill
Mike Hopkins
Doug Ravenel



Background and
history

Our main result

The Arf-Kervaire
formulation

The unstable formulation
Questions raised by our
theorem

Our strategy

Ingredients of the proof
The spectrum Ω
How we construct Ω

The Arf invariant of a quadratic form in characteristic 2

Let λ be a nonsingular anti-symmetric bilinear form on a free abelian group H of rank $2n$ with mod 2 reduction \overline{H} . It is known that \overline{H} has a basis of the form $\{a_i, b_i : 1 \leq i \leq n\}$ with

A solution to the
Arf-Kervaire invariant
problem

Mike Hill
Mike Hopkins
Doug Ravenel



Background and
history

Our main result

The Arf-Kervaire
formulation

The unstable formulation
Questions raised by our
theorem

Our strategy

Ingredients of the proof

The spectrum Ω

How we construct Ω

The Arf invariant of a quadratic form in characteristic 2

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$$\lambda(a_i, a_{i'}) = 0 \quad \lambda(b_j, b_{j'}) = 0 \quad \text{and} \quad \lambda(a_i, b_j) = \delta_{i,j}.$$

A solution to the
Arf-Kervaire invariant
problem

Mike Hill
Mike Hopkins
Doug Ravenel



Background and
history

Our main result

The Arf-Kervaire
formulation

The unstable formulation

Questions raised by our
theorem

Our strategy

Ingredients of the proof

The spectrum Ω

How we construct Ω

The Arf invariant of a quadratic form in characteristic 2

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A *quadratic refinement* of λ is a map $q : \bar{H} \rightarrow \mathbf{Z}/2$ satisfying

A solution to the
Arf-Kervaire invariant
problem

Mike Hill
Mike Hopkins
Doug Ravenel



Background and
history

Our main result

The Arf-Kervaire
formulation

The unstable formulation

Questions raised by our
theorem

Our strategy

Ingredients of the proof

The spectrum Ω

How we construct Ω

The Arf invariant of a quadratic form in characteristic 2

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$$q(x + y) = q(x) + q(y) + \lambda(x, y)$$

A solution to the
Arf-Kervaire invariant
problem

Mike Hill
Mike Hopkins
Doug Ravenel



Background and
history

Our main result

The Arf-Kervaire
formulation

The unstable formulation

Questions raised by our
theorem

Our strategy

Ingredients of the proof

The spectrum Ω

How we construct Ω

The Arf invariant of a quadratic form in characteristic 2

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Its *Arf invariant* is

$$\text{Arf}(q) = \sum_{i=1}^n q(a_i)q(b_i) \in \mathbf{Z}/2.$$

A solution to the
Arf-Kervaire invariant
problem

Mike Hill
Mike Hopkins
Doug Ravenel



Background and
history

Our main result

The Arf-Kervaire
formulation

The unstable formulation
Questions raised by our
theorem

Our strategy

Ingredients of the proof
The spectrum Ω
How we construct Ω

The Arf invariant of a quadratic form in characteristic 2

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In 1941 Arf proved that this invariant (along with the number n) determines the isomorphism type of q .

A solution to the
Arf-Kervaire invariant
problem

Mike Hill
Mike Hopkins
Doug Ravenel



Background and
history

Our main result

The Arf-Kervaire
formulation

The unstable formulation
Questions raised by our
theorem

Our strategy

Ingredients of the proof
The spectrum Ω
How we construct Ω

On the money: Arf's definition republished in 2009

A solution to the
Arf-Kervaire invariant
problem

Mike Hill
Mike Hopkins
Doug Ravenel



Background and
history

Our main result

The Arf-Kervaire
formulation

The unstable formulation

Questions raised by our
theorem

Our strategy

Ingredients of the proof

The spectrum Ω

How we construct Ω

On the money: Arf's definition republished in 2009

A solution to the
Arf-Kervaire invariant
problem

Mike Hill
Mike Hopkins
Doug Ravenel



Background and
history

Our main result

The Arf-Kervaire
formulation

The unstable formulation
Questions raised by our
theorem

Our strategy

Ingredients of the proof
The spectrum Ω
How we construct Ω



The Kervaire invariant of a framed $(4k + 2)$ -manifold

Let M be a $2k$ -connected smooth closed framed manifold of dimension $4k + 2$.

A solution to the
Arf-Kervaire invariant
problem

Mike Hill
Mike Hopkins
Doug Ravenel



Background and
history

Our main result

The Arf-Kervaire
formulation

The unstable formulation

Questions raised by our
theorem

Our strategy

Ingredients of the proof

The spectrum Ω

How we construct Ω

The Kervaire invariant of a framed $(4k + 2)$ -manifold

Let M be a $2k$ -connected smooth closed framed manifold of dimension $4k + 2$. The word *framed* here means that M has an embedding in some Euclidean space \mathbf{R}^{n+4k+2} having trivial normal bundle with a given trivialization.

A solution to the
Arf-Kervaire invariant
problem

Mike Hill
Mike Hopkins
Doug Ravenel



Background and
history

Our main result

The Arf-Kervaire
formulation

The unstable formulation

Questions raised by our
theorem

Our strategy

Ingredients of the proof

The spectrum Ω

How we construct Ω

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A solution to the
Arf-Kervaire invariant
problem

Mike Hill
Mike Hopkins
Doug Ravenel



Background and
history

Our main result

The Arf-Kervaire
formulation

The unstable formulation

Questions raised by our
theorem

Our strategy

Ingredients of the proof

The spectrum Ω

How we construct Ω

The Kervaire invariant of a framed $(4k + 2)$ -manifold

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A solution to the
Arf-Kervaire invariant
problem

Mike Hill
Mike Hopkins
Doug Ravenel



Background and
history

Our main result

The Arf-Kervaire
formulation

The unstable formulation
Questions raised by our
theorem

Our strategy

Ingredients of the proof
The spectrum Ω
How we construct Ω

The Kervaire invariant of a framed $(4k + 2)$ -manifold (continued)

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A solution to the Arf-Kervaire invariant problem

Mike Hill
Mike Hopkins
Doug Ravenel



Background and history

Our main result

The Arf-Kervaire formulation

The unstable formulation

Questions raised by our theorem

Our strategy

Ingredients of the proof

The spectrum Ω

How we construct Ω

The Kervaire invariant of a framed $(4k + 2)$ -manifold (continued)

Again, M is a $2k$ -connected smooth closed framed manifold of dimension $4k + 2$. Let $H = H_{2k+1}(M; \mathbf{Z})$, the homology group in the middle dimension.

A solution to the Arf-Kervaire invariant problem

Mike Hill
Mike Hopkins
Doug Ravenel



Background and history

Our main result

The Arf-Kervaire formulation

The unstable formulation

Questions raised by our theorem

Our strategy

Ingredients of the proof

The spectrum Ω

How we construct Ω

The Kervaire invariant of a framed $(4k + 2)$ -manifold (continued)

Again, M is a $2k$ -connected smooth closed framed manifold of dimension $4k + 2$. Let $H = H_{2k+1}(M; \mathbf{Z})$, the homology group in the middle dimension. Each $x \in H$ is represented by an immersion $i_x : S^{2k+1} \looparrowright M$ with a stably trivialized normal bundle.

A solution to the Arf-Kervaire invariant problem

Mike Hill
Mike Hopkins
Doug Ravenel



Background and history

Our main result

The Arf-Kervaire formulation

The unstable formulation

Questions raised by our theorem

Our strategy

Ingredients of the proof

The spectrum Ω

How we construct Ω

The Kervaire invariant of a framed $(4k + 2)$ -manifold (continued)

Again, M is a $2k$ -connected smooth closed framed manifold of dimension $4k + 2$. Let $H = H_{2k+1}(M; \mathbf{Z})$, the homology group in the middle dimension. Each $x \in H$ is represented by an immersion $i_x : S^{2k+1} \looparrowright M$ with a stably trivialized normal bundle. H has an antisymmetric bilinear form λ defined in terms of intersection numbers.

A solution to the Arf-Kervaire invariant problem

Mike Hill
Mike Hopkins
Doug Ravenel



Background and history

Our main result

The Arf-Kervaire formulation

The unstable formulation

Questions raised by our theorem

Our strategy

Ingredients of the proof

The spectrum Ω

How we construct Ω

The Kervaire invariant of a framed $(4k + 2)$ -manifold (continued)

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A solution to the Arf-Kervaire invariant problem

Mike Hill
Mike Hopkins
Doug Ravenel



Background and history

Our main result

The Arf-Kervaire formulation

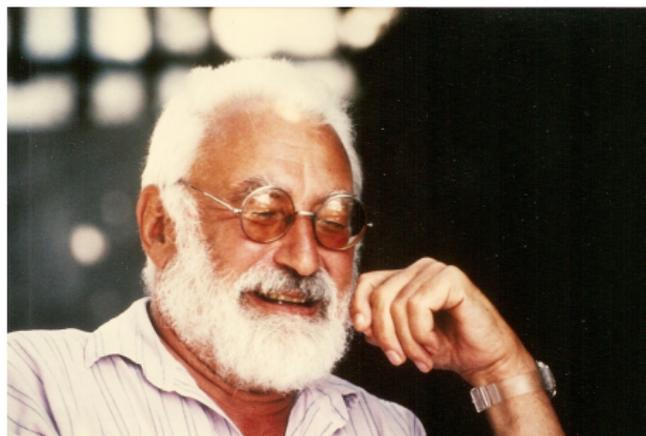
The unstable formulation
Questions raised by our theorem

Our strategy

Ingredients of the proof
The spectrum Ω
How we construct Ω

The Kervaire invariant of a framed $(4k + 2)$ -manifold (continued)

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The *Kervaire invariant* $\Phi(M)$ is defined to be the Arf invariant of q .

A solution to the Arf-Kervaire invariant problem

Mike Hill
Mike Hopkins
Doug Ravenel



Background and history

Our main result

The Arf-Kervaire formulation

The unstable formulation
Questions raised by our theorem

Our strategy

Ingredients of the proof
The spectrum Ω
How we construct Ω

The Kervaire invariant of a framed $(4k + 2)$ -manifold (continued)

What can we say about $\Phi(M)$?

A solution to the
Arf-Kervaire invariant
problem

Mike Hill
Mike Hopkins
Doug Ravenel



Background and
history

Our main result

The Arf-Kervaire
formulation

The unstable formulation
Questions raised by our
theorem

Our strategy

Ingredients of the proof

The spectrum Ω

How we construct Ω

The Kervaire invariant of a framed $(4k + 2)$ -manifold (continued)

What can we say about $\Phi(M)$?

- Kervaire (1960) showed it must vanish when $k = 2$.

A solution to the Arf-Kervaire invariant problem

Mike Hill
Mike Hopkins
Doug Ravenel



Background and history

Our main result

The Arf-Kervaire formulation

The unstable formulation
Questions raised by our theorem

Our strategy

Ingredients of the proof
The spectrum Ω
How we construct Ω

The Kervaire invariant of a framed $(4k + 2)$ -manifold (continued)

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A solution to the Arf-Kervaire invariant problem

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Background and history

Our main result

The Arf-Kervaire formulation

The unstable formulation

Questions raised by our theorem

Our strategy

Ingredients of the proof

The spectrum Ω

How we construct Ω

The Kervaire invariant of a framed $(4k + 2)$ -manifold (continued)

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- Kervaire (1960) showed it must vanish when $k = 2$. This enabled him to construct the first example of a topological manifold (of dimension 10) without a smooth structure.
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A solution to the Arf-Kervaire invariant problem

Mike Hill
Mike Hopkins
Doug Ravenel



Background and history

Our main result

The Arf-Kervaire formulation

The unstable formulation
Questions raised by our theorem

Our strategy

Ingredients of the proof
The spectrum Ω
How we construct Ω

The Kervaire invariant of a framed $(4k + 2)$ -manifold (continued)

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A solution to the Arf-Kervaire invariant problem

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Doug Ravenel



Background and history

Our main result

The Arf-Kervaire formulation

The unstable formulation

Questions raised by our theorem

Our strategy

Ingredients of the proof

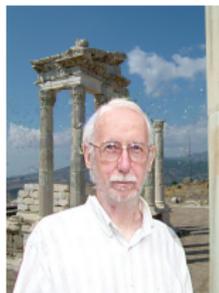
The spectrum Ω

How we construct Ω

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Brown-Peterson (1966) showed that it vanishes for all positive even k .

A solution to the Arf-Kervaire invariant problem

Mike Hill
Mike Hopkins
Doug Ravenel



Background and history

Our main result

The Arf-Kervaire formulation

The unstable formulation
Questions raised by our theorem

Our strategy

Ingredients of the proof
The spectrum Ω
How we construct Ω

The Kervaire invariant of a framed $(4k + 2)$ -manifold (continued)

More of what we can say about $\Phi(M)$.

A solution to the
Arf-Kervaire invariant
problem

Mike Hill
Mike Hopkins
Doug Ravenel



Background and
history

Our main result

The Arf-Kervaire
formulation

The unstable formulation

Questions raised by our
theorem

Our strategy

Ingredients of the proof

The spectrum Ω

How we construct Ω

The Kervaire invariant of a framed $(4k + 2)$ -manifold (continued)

More of what we can say about $\Phi(M)$.

- Browder (1969) showed that it can be nontrivial only if $k = 2^{j-1} - 1$ for some positive integer j .



A solution to the Arf-Kervaire invariant problem

Mike Hill
Mike Hopkins
Doug Ravenel



Background and history

Our main result

The Arf-Kervaire formulation

The unstable formulation

Questions raised by our theorem

Our strategy

Ingredients of the proof

The spectrum Ω

How we construct Ω

The Kervaire invariant of a framed $(4k + 2)$ -manifold (continued)

More of what we can say about $\Phi(M)$.



Browder (1969) showed that it can be nontrivial only if $k = 2^{j-1} - 1$ for some positive integer j . This happens iff the element h_j^2 is a permanent cycle in the Adams spectral sequence.

A solution to the Arf-Kervaire invariant problem

Mike Hill
Mike Hopkins
Doug Ravenel



Background and history

Our main result

The Arf-Kervaire formulation

The unstable formulation

Questions raised by our theorem

Our strategy

Ingredients of the proof

The spectrum Ω

How we construct Ω

The Kervaire invariant of a framed $(4k + 2)$ -manifold (continued)

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Browder (1969) showed that it can be nontrivial only if $k = 2^{j-1} - 1$ for some positive integer j . This happens iff the element h_j^2 is a permanent cycle in the Adams spectral sequence. The corresponding element in $\pi_{n+2^{j+1}-2}(S^n)$ for large n is θ_j , the subject of our theorem.

A solution to the Arf-Kervaire invariant problem

Mike Hill
Mike Hopkins
Doug Ravenel



Background and history

Our main result

The Arf-Kervaire formulation

The unstable formulation
Questions raised by our theorem

Our strategy

Ingredients of the proof
The spectrum Ω
How we construct Ω

The Kervaire invariant of a framed $(4k + 2)$ -manifold (continued)

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A solution to the Arf-Kervaire invariant problem

Mike Hill
Mike Hopkins
Doug Ravenel



Background and history

Our main result

The Arf-Kervaire formulation

The unstable formulation
Questions raised by our theorem

Our strategy

Ingredients of the proof
The spectrum Ω
How we construct Ω

The Kervaire invariant of a framed $(4k + 2)$ -manifold (continued)

More of what we can say about $\Phi(M)$.

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- θ_j is known to exist for $1 \leq j \leq 5$, i.e., in dimensions 2, 6, 14, 30 and 62.

A solution to the Arf-Kervaire invariant problem

Mike Hill
Mike Hopkins
Doug Ravenel



Background and history

Our main result

The Arf-Kervaire formulation

The unstable formulation
Questions raised by our theorem

Our strategy

Ingredients of the proof
The spectrum Ω
How we construct Ω

The Kervaire invariant of a framed $(4k + 2)$ -manifold (continued)

More of what we can say about $\Phi(M)$.

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- θ_j is known to exist for $1 \leq j \leq 5$, i.e., in dimensions 2, 6, 14, 30 and 62.
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A solution to the Arf-Kervaire invariant problem

Mike Hill
Mike Hopkins
Doug Ravenel



Background and history

Our main result

The Arf-Kervaire formulation

The unstable formulation
Questions raised by our theorem

Our strategy

Ingredients of the proof
The spectrum Ω
How we construct Ω

The Kervaire invariant of a framed $(4k + 2)$ -manifold (continued)

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- θ_j is known to exist for $1 \leq j \leq 5$, i.e., in dimensions 2, 6, 14, 30 and 62.
- Our theorem says θ_j does *not* exist for $j \geq 7$. The case $j = 6$ is still open.

A solution to the Arf-Kervaire invariant problem

Mike Hill
Mike Hopkins
Doug Ravenel



Background and history

Our main result

The Arf-Kervaire formulation

The unstable formulation
Questions raised by our theorem

Our strategy

Ingredients of the proof

The spectrum Ω

How we construct Ω

The EHP sequence



Assume all spaces in sight are localized and the prime 2. For each $n > 0$ there is a fiber sequence due to James,

$$S^n \xrightarrow{E} \Omega S^{n+1} \xrightarrow{H} \Omega S^{2n+1}.$$

A solution to the
Arf-Kervaire invariant
problem

Mike Hill
Mike Hopkins
Doug Ravenel



Background and
history

Our main result
The Arf-Kervaire
formulation

The unstable formulation

Questions raised by our
theorem

Our strategy

Ingredients of the proof
The spectrum Ω
How we construct Ω

The EHP sequence



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$$S^n \xrightarrow{E} \Omega S^{n+1} \xrightarrow{H} \Omega S^{2n+1}.$$

This leads to a long exact sequence of homotopy groups

$$\cdots \rightarrow \pi_m(S^n) \xrightarrow{E} \pi_{m+1}(S^{n+1}) \xrightarrow{H} \pi_{m+1}(S^{2n+1}) \xrightarrow{P} \pi_{m-1}(S^n) \rightarrow \cdots$$

A solution to the
Arf-Kervaire invariant
problem

Mike Hill
Mike Hopkins
Doug Ravenel



Background and
history

Our main result
The Arf-Kervaire
formulation

The unstable formulation

Questions raised by our
theorem

Our strategy

Ingredients of the proof
The spectrum Ω
How we construct Ω

The EHP sequence (continued)

There is an odd primary analog due to Toda.

A solution to the
Arf-Kervaire invariant
problem

Mike Hill
Mike Hopkins
Doug Ravenel



Background and
history

Our main result
The Arf-Kervaire
formulation

The unstable formulation

Questions raised by our
theorem

Our strategy

Ingredients of the proof
The spectrum Ω
How we construct Ω

The EHP sequence (continued)

There is an odd primary analog due to Toda. In this decade he has made extensive calculations with it at the primes 3 and 5.

A solution to the
Arf-Kervaire invariant
problem

Mike Hill
Mike Hopkins
Doug Ravenel



Background and
history

Our main result
The Arf-Kervaire
formulation

The unstable formulation

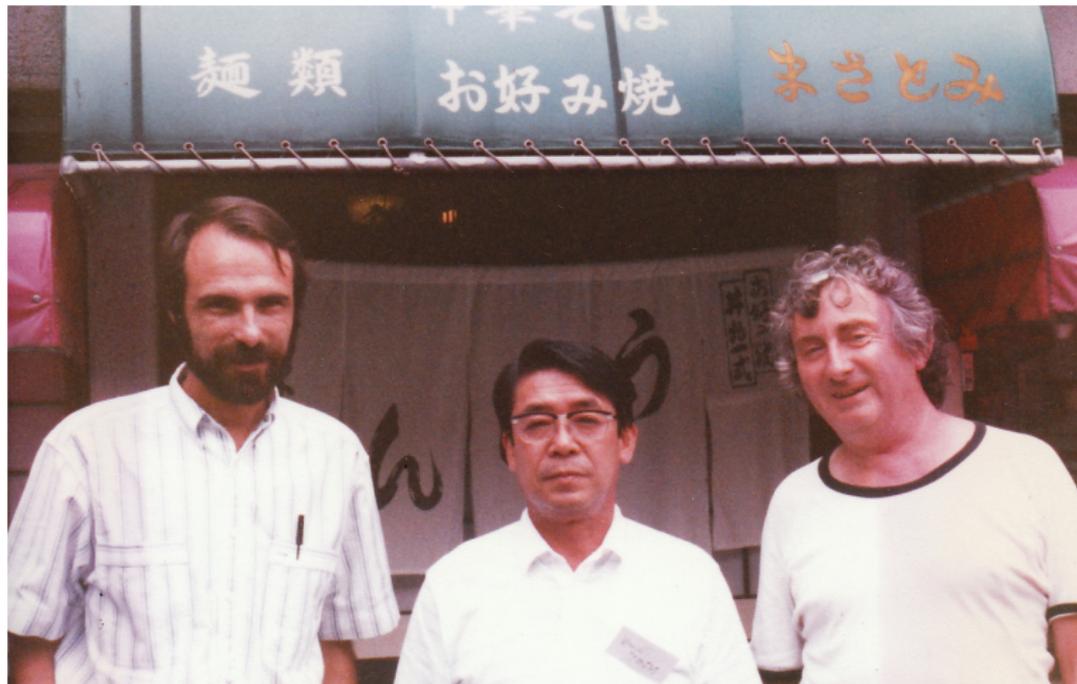
Questions raised by our
theorem

Our strategy

Ingredients of the proof
The spectrum Ω
How we construct Ω

The EHP sequence (continued)

There is an odd primary analog due to Toda. In this decade he has made extensive calculations with it at the primes 3 and 5.



A solution to the
Arf-Kervaire invariant
problem

Mike Hill
Mike Hopkins
Doug Ravenel



Background and
history

Our main result
The Arf-Kervaire
formulation

The unstable formulation

Questions raised by our
theorem

Our strategy

Ingredients of the proof
The spectrum Ω
How we construct Ω

The EHP sequence (continued)

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A solution to the
Arf-Kervaire invariant
problem

Mike Hill
Mike Hopkins
Doug Ravenel



Background and
history

Our main result
The Arf-Kervaire
formulation

The unstable formulation

Questions raised by our
theorem

Our strategy

Ingredients of the proof
The spectrum Ω
How we construct Ω

The EHP sequence (continued)

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Here

E stands for **E**inhängung, the German word for suspension.

A solution to the
Arf-Kervaire invariant
problem

Mike Hill
Mike Hopkins
Doug Ravenel



Background and
history

Our main result
The Arf-Kervaire
formulation

The unstable formulation

Questions raised by our
theorem

Our strategy

Ingredients of the proof
The spectrum Ω
How we construct Ω

The EHP sequence (continued)

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A solution to the
Arf-Kervaire invariant
problem

Mike Hill
Mike Hopkins
Doug Ravenel



Background and
history

Our main result
The Arf-Kervaire
formulation

The unstable formulation

Questions raised by our
theorem

Our strategy

Ingredients of the proof
The spectrum Ω
How we construct Ω

The EHP sequence (continued)

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A solution to the
Arf-Kervaire invariant
problem

Mike Hill
Mike Hopkins
Doug Ravenel



Background and
history

Our main result
The Arf-Kervaire
formulation

The unstable formulation

Questions raised by our
theorem

Our strategy

Ingredients of the proof
The spectrum Ω
How we construct Ω

The EHP sequence (continued)

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\parallel
 \mathbf{Z}

A solution to the
Arf-Kervaire invariant
problem

Mike Hill
Mike Hopkins
Doug Ravenel



Background and
history

Our main result
The Arf-Kervaire
formulation

The unstable formulation

Questions raised by our
theorem

Our strategy

Ingredients of the proof
The spectrum Ω
How we construct Ω

The EHP sequence (continued)

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and we can ask about the image under P of the generator of $\pi_{2n+1}(S^{2n+1})$. We denote it by $w_n \in \pi_{2n-1}(S^n)$, the **Whitehead square**.

A solution to the
Arf-Kervaire invariant
problem

Mike Hill
Mike Hopkins
Doug Ravenel



Background and
history

Our main result
The Arf-Kervaire
formulation

The unstable formulation

Questions raised by our
theorem

Our strategy

Ingredients of the proof
The spectrum Ω
How we construct Ω

The EHP sequence (continued)

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A solution to the
Arf-Kervaire invariant
problem

Mike Hill
Mike Hopkins
Doug Ravenel



Background and
history

Our main result
The Arf-Kervaire
formulation

The unstable formulation

Questions raised by our
theorem

Our strategy

Ingredients of the proof
The spectrum Ω
How we construct Ω

The EHP sequence (continued)

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- When n is even, w_n it has infinite order and Hopf invariant two.

A solution to the
Arf-Kervaire invariant
problem

Mike Hill
Mike Hopkins
Doug Ravenel



Background and
history

Our main result
The Arf-Kervaire
formulation

The unstable formulation

Questions raised by our
theorem

Our strategy

Ingredients of the proof
The spectrum Ω
How we construct Ω

The EHP sequence (continued)

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- When n is even, w_n it has infinite order and Hopf invariant two.
- w_n is trivial for $n = 1, 3$ and 7 .

A solution to the
Arf-Kervaire invariant
problem

Mike Hill
Mike Hopkins
Doug Ravenel



Background and
history

Our main result
The Arf-Kervaire
formulation

The unstable formulation

Questions raised by our
theorem

Our strategy

Ingredients of the proof
The spectrum Ω
How we construct Ω

The EHP sequence (continued)

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- When n is even, w_n it has infinite order and Hopf invariant two.
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A solution to the
Arf-Kervaire invariant
problem

Mike Hill
Mike Hopkins
Doug Ravenel



Background and
history

Our main result
The Arf-Kervaire
formulation

The unstable formulation

Questions raised by our
theorem

Our strategy

Ingredients of the proof
The spectrum Ω
How we construct Ω

The EHP sequence (continued)

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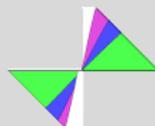
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- w_n is trivial for $n = 1, 3$ and 7 . In these cases $w_{n+1} \in \pi_{2n+1}(S^{n+1})$ is divisible by 2, the quotient having Hopf invariant one.
- For other odd values of n , $H(w_{n+1}) = 2$ and w_{n+1} is not divisible by 2, so w_n has order 2.

A solution to the
Arf-Kervaire invariant
problem

Mike Hill
Mike Hopkins
Doug Ravenel



Background and
history

Our main result
The Arf-Kervaire
formulation

The unstable formulation

Questions raised by our
theorem

Our strategy

Ingredients of the proof
The spectrum Ω
How we construct Ω

The EHP sequence (continued)

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- For other odd values of n , $H(w_{n+1}) = 2$ and w_{n+1} is not divisible by 2, so w_n has order 2.
- For such n , w_n is divisible by 2 iff $n = 2^{j+1} - 1$ with $j > 2$ and θ_j exists, in which case $w_n = 2\theta_j$.



The Hopf-Whitehead J homomorphism



Let $SO(n)$ denote the special orthogonal group acting on \mathbf{R}^n .

A solution to the
Arf-Kervaire invariant
problem

Mike Hill
Mike Hopkins
Doug Ravenel



Background and
history

Our main result
The Arf-Kervaire
formulation

The unstable formulation

Questions raised by our
theorem

Our strategy

Ingredients of the proof
The spectrum Ω
How we construct Ω

The Hopf-Whitehead J homomorphism



Let $SO(n)$ denote the special orthogonal group acting on \mathbf{R}^n . Using the one point compactification, each element $g \in SO(n)$ induces a base point preserving map $S^n \rightarrow S^n$.

A solution to the
Arf-Kervaire invariant
problem

Mike Hill
Mike Hopkins
Doug Ravenel



Background and
history

Our main result
The Arf-Kervaire
formulation

The unstable formulation

Questions raised by our
theorem

Our strategy

Ingredients of the proof
The spectrum Ω
How we construct Ω

The Hopf-Whitehead J homomorphism



Let $SO(n)$ denote the special orthogonal group acting on \mathbf{R}^n . Using the one point compactification, each element $g \in SO(n)$ induces a base point preserving map $S^n \rightarrow S^n$. Thus we get a map $J : SO(n) \rightarrow \Omega^n S^n$ and for each $k > 0$ a homomorphism

$$\pi_k(SO(n)) \xrightarrow{J} \pi_k(\Omega^n S^n) = \pi_{n+k}(S^n).$$

A solution to the
Arf-Kervaire invariant
problem

Mike Hill
Mike Hopkins
Doug Ravenel



Background and
history

Our main result
The Arf-Kervaire
formulation

The unstable formulation

Questions raised by our
theorem

Our strategy

Ingredients of the proof
The spectrum Ω
How we construct Ω

The Hopf-Whitehead J homomorphism



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Both source and target known to be independent of n for $n > k + 1$.

A solution to the
Arf-Kervaire invariant
problem

Mike Hill
Mike Hopkins
Doug Ravenel



Background and
history

Our main result
The Arf-Kervaire
formulation

The unstable formulation

Questions raised by our
theorem

Our strategy

Ingredients of the proof
The spectrum Ω
How we construct Ω

The Hopf-Whitehead J homomorphism (continued)



In this case its value for each k was determined by Bott in his periodicity theorem.

A solution to the
Arf-Kervaire invariant
problem

Mike Hill
Mike Hopkins
Doug Ravenel



Background and
history

Our main result
The Arf-Kervaire
formulation

The unstable formulation

Questions raised by our
theorem

Our strategy

Ingredients of the proof
The spectrum Ω
How we construct Ω

The Hopf-Whitehead J homomorphism (continued)

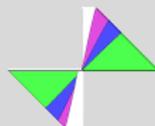


In this case its value for each k was determined by Bott in his periodicity theorem. He showed

$$\pi_k(SO) = \begin{cases} \mathbf{Z} & \text{for } k \equiv 3 \text{ or } 7 \pmod{8} \\ \mathbf{Z}/2 & \text{for } k \equiv 0 \text{ or } 1 \pmod{8} \\ 0 & \text{otherwise.} \end{cases}$$

A solution to the
Arf-Kervaire invariant
problem

Mike Hill
Mike Hopkins
Doug Ravenel



Background and
history

Our main result
The Arf-Kervaire
formulation

The unstable formulation

Questions raised by our
theorem

Our strategy

Ingredients of the proof
The spectrum Ω
How we construct Ω

The Hopf-Whitehead J homomorphism (continued)



In this case its value for each k was determined by Bott in his periodicity theorem. He showed

$$\pi_k(SO) = \begin{cases} \mathbf{Z} & \text{for } k \equiv 3 \text{ or } 7 \pmod{8} \\ \mathbf{Z}/2 & \text{for } k \equiv 0 \text{ or } 1 \pmod{8} \\ 0 & \text{otherwise.} \end{cases}$$

k	1	2	3	4	5	6	7	8	9	10
$\pi_k(SO)$	$\mathbf{Z}/2$	0	\mathbf{Z}	0	0	0	\mathbf{Z}	$\mathbf{Z}/2$	$\mathbf{Z}/2$	0

A solution to the
Arf-Kervaire invariant
problem

Mike Hill
Mike Hopkins
Doug Ravenel



Background and
history

Our main result
The Arf-Kervaire
formulation

The unstable formulation

Questions raised by our
theorem

Our strategy

Ingredients of the proof
The spectrum Ω
How we construct Ω

The Hopf-Whitehead J homomorphism (continued)

A solution to the
Arf-Kervaire invariant
problem

Mike Hill
Mike Hopkins
Doug Ravenel



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Background and
history

Our main result
The Arf-Kervaire
formulation

The unstable formulation

Questions raised by our
theorem

Our strategy

Ingredients of the proof
The spectrum Ω
How we construct Ω

The Hopf-Whitehead J homomorphism (continued)

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In each case where the group is nontrivial, its generator is known to have nontrivial image (and to generate a direct summand) under J .

A solution to the
Arf-Kervaire invariant
problem

Mike Hill
Mike Hopkins
Doug Ravenel



Background and
history

Our main result
The Arf-Kervaire
formulation

The unstable formulation

Questions raised by our
theorem

Our strategy

Ingredients of the proof
The spectrum Ω
How we construct Ω

The Hopf-Whitehead J homomorphism (continued)

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A solution to the
Arf-Kervaire invariant
problem

Mike Hill
Mike Hopkins
Doug Ravenel



Background and
history

Our main result
The Arf-Kervaire
formulation

The unstable formulation

Questions raised by our
theorem

Our strategy

Ingredients of the proof
The spectrum Ω
How we construct Ω

The Hopf-Whitehead J homomorphism (continued)

A solution to the
Arf-Kervaire invariant
problem

Mike Hill
Mike Hopkins
Doug Ravenel



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In each case where the group is nontrivial, its generator is known to have nontrivial image (and to generate a direct summand) under J . In the j th case we denote this image by β_j and its dimension by $\phi(j)$, which is roughly $2j$. The first three of these are the Hopf maps $\eta \in \pi_1$, $\nu \in \pi_3$ and $\sigma \in \pi_7$.

Background and
history

Our main result
The Arf-Kervaire
formulation

The unstable formulation

Questions raised by our
theorem

Our strategy

Ingredients of the proof
The spectrum Ω
How we construct Ω

The Hopf-Whitehead J homomorphism (continued)

A solution to the
Arf-Kervaire invariant
problem

Mike Hill
Mike Hopkins
Doug Ravenel



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In each case where the group is nontrivial, its generator is known to have nontrivial image (and to generate a direct summand) under J . In the j th case we denote this image by β_j and its dimension by $\phi(j)$, which is roughly $2j$. The first three of these are the Hopf maps $\eta \in \pi_1$, $\nu \in \pi_3$ and $\sigma \in \pi_7$. After that we have $\beta_4 \in \pi_8$, $\beta_5 \in \pi_9$, $\beta_6 \in \pi_{11}$ and so on.

Background and
history

Our main result
The Arf-Kervaire
formulation

The unstable formulation

Questions raised by our
theorem

Our strategy

Ingredients of the proof
The spectrum Ω
How we construct Ω

The Hopf-Whitehead J homomorphism (continued)

A solution to the
Arf-Kervaire invariant
problem

Mike Hill
Mike Hopkins
Doug Ravenel



Background and
history

Our main result
The Arf-Kervaire
formulation

The unstable formulation

Questions raised by our
theorem

Our strategy

Ingredients of the proof
The spectrum Ω
How we construct Ω

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In each case where the group is nontrivial, its generator is known to have nontrivial image (and to generate a direct summand) under J . In the j th case we denote this image by β_j and its dimension by $\phi(j)$, which is roughly $2j$. The first three of these are the Hopf maps $\eta \in \pi_1$, $\nu \in \pi_3$ and $\sigma \in \pi_7$. After that we have $\beta_4 \in \pi_8$, $\beta_5 \in \pi_9$, $\beta_6 \in \pi_{11}$ and so on. Here π_k is short for $\pi_{k+n}(S^n)$ for $n > k + 1$, which is known to be independent of n .

The Hopf-Whitehead J homomorphism (continued)

Each Whitehead square $w_{2n+1} \in \pi_{4n+1}(S^{2n+1})$ (except the cases $n = 0, 1$ and 3) desuspends to a lower sphere until we get an element with a nontrivial Hopf invariant, which is always some β_j .

A solution to the
Arf-Kervaire invariant
problem

Mike Hill
Mike Hopkins
Doug Ravenel



Background and
history

Our main result
The Arf-Kervaire
formulation

The unstable formulation

Questions raised by our
theorem

Our strategy

Ingredients of the proof
The spectrum Ω
How we construct Ω

The Hopf-Whitehead J homomorphism (continued)

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$$H(w_{(2s+1)2^j-1}) = \beta_j$$

for each $j > 0$ and $s \geq 0$.

A solution to the
Arf-Kervaire invariant
problem

Mike Hill
Mike Hopkins
Doug Ravenel



Background and
history

Our main result
The Arf-Kervaire
formulation

The unstable formulation

Questions raised by our
theorem

Our strategy

Ingredients of the proof
The spectrum Ω
How we construct Ω

The Hopf-Whitehead J homomorphism (continued)

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$$H(w_{(2s+1)2^j-1}) = \beta_j$$

for each $j > 0$ and $s \geq 0$. This result is essentially Adams' 1961 solution to the vector field problem.



A solution to the
Arf-Kervaire invariant
problem

Mike Hill
Mike Hopkins
Doug Ravenel



Background and
history

Our main result
The Arf-Kervaire
formulation

The unstable formulation

Questions raised by our
theorem

Our strategy

Ingredients of the proof
The spectrum Ω
How we construct Ω

Back to the EHP sequence

Recall the EHP sequence

A solution to the
Arf-Kervaire invariant
problem

Mike Hill
Mike Hopkins
Doug Ravenel



Background and
history

Our main result
The Arf-Kervaire
formulation

The unstable formulation

Questions raised by our
theorem

Our strategy

Ingredients of the proof
The spectrum Ω
How we construct Ω

Back to the EHP sequence

Recall the EHP sequence

$$\cdots \rightarrow \pi_m(S^n) \xrightarrow{E} \pi_{m+1}(S^{n+1}) \xrightarrow{H} \pi_{m+1}(S^{2n+1}) \xrightarrow{P} \pi_{m-1}(S^n) \rightarrow \cdots$$

A solution to the
Arf-Kervaire invariant
problem

Mike Hill
Mike Hopkins
Doug Ravenel



Background and
history

Our main result
The Arf-Kervaire
formulation

The unstable formulation

Questions raised by our
theorem

Our strategy

Ingredients of the proof
The spectrum Ω
How we construct Ω

Back to the EHP sequence

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Given some $\beta_j \in \pi_{2n+1+\phi(j)}(S^{2n+1})$ for $\phi(j) < 2n$, one can ask about the Hopf invariant of its image under P , which vanishes when β_j is in the image of H .

A solution to the
Arf-Kervaire invariant
problem

Mike Hill
Mike Hopkins
Doug Ravenel



Background and
history

Our main result
The Arf-Kervaire
formulation

The unstable formulation

Questions raised by our
theorem

Our strategy

Ingredients of the proof
The spectrum Ω
How we construct Ω

Back to the EHP sequence

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Given some $\beta_j \in \pi_{2n+1+\phi(j)}(S^{2n+1})$ for $\phi(j) < 2n$, one can ask about the Hopf invariant of its image under P , which vanishes when β_j is in the image of H . In most cases the answer is known and is due to Mahowald.

A solution to the
Arf-Kervaire invariant
problem

Mike Hill
Mike Hopkins
Doug Ravenel



Background and
history

Our main result
The Arf-Kervaire
formulation

The unstable formulation

Questions raised by our
theorem

Our strategy

Ingredients of the proof
The spectrum Ω
How we construct Ω

Back to the EHP sequence

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A solution to the
Arf-Kervaire invariant
problem

Mike Hill
Mike Hopkins
Doug Ravenel



Background and
history

Our main result
The Arf-Kervaire
formulation

The unstable formulation

Questions raised by our
theorem

Our strategy

Ingredients of the proof
The spectrum Ω
How we construct Ω

Back to the EHP sequence

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World Without End Hypothesis (Mahowald 1967)

- *The Arf-Kervaire element $\theta_j \in \pi_{2^{j+1}-2}$ exists for all $j > 0$.*

A solution to the
Arf-Kervaire invariant
problem

Mike Hill
Mike Hopkins
Doug Ravenel



Background and
history

Our main result
The Arf-Kervaire
formulation

The unstable formulation

Questions raised by our
theorem

Our strategy

Ingredients of the proof
The spectrum Ω
How we construct Ω

Back to the EHP sequence

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World Without End Hypothesis (Mahowald 1967)

- The Arf-Kervaire element $\theta_j \in \pi_{2^{j+1}-2}$ exists for all $j > 0$.
- It desuspends to $S^{2^{j+1}-1-\phi(j)}$ and its Hopf invariant is β_j .

A solution to the
Arf-Kervaire invariant
problem

Mike Hill
Mike Hopkins
Doug Ravenel



Background and
history

Our main result
The Arf-Kervaire
formulation

The unstable formulation

Questions raised by our
theorem

Our strategy

Ingredients of the proof
The spectrum Ω
How we construct Ω

Back to the EHP sequence

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World Without End Hypothesis (Mahowald 1967)

- *The Arf-Kervaire element $\theta_j \in \pi_{2^{j+1}-2}$ exists for all $j > 0$.*
- *It desuspends to $S^{2^{j+1}-1-\phi(j)}$ and its Hopf invariant is β_j .*
- *Let $j, s > 0$ and suppose that $m = 2^{j+2}(s+1) - 4 - \phi(j)$ and $n = 2^{j+1}(s+1) - 2 - \phi(j)$. Then $P(\beta_j)$ has Hopf invariant θ_j .*

A solution to the
Arf-Kervaire invariant
problem

Mike Hill
Mike Hopkins
Doug Ravenel



Background and
history

Our main result
The Arf-Kervaire
formulation

The unstable formulation

Questions raised by our
theorem

Our strategy

Ingredients of the proof
The spectrum Ω
How we construct Ω

Questions raised by our theorem

A solution to the
Arf-Kervaire invariant
problem

Mike Hill
Mike Hopkins
Doug Ravenel



Background and
history

Our main result

The Arf-Kervaire
formulation

The unstable formulation

Questions raised by our
theorem

Our strategy

Ingredients of the proof

The spectrum Ω

How we construct Ω

Questions raised by our theorem

EHP sequence formulation. The World Without End Hypothesis was the nicest possible statement of its kind given all that was known prior to our theorem.

A solution to the
Arf-Kervaire invariant
problem

Mike Hill
Mike Hopkins
Doug Ravenel



Background and
history

Our main result

The Arf-Kervaire
formulation

The unstable formulation

Questions raised by our
theorem

Our strategy

Ingredients of the proof

The spectrum Ω

How we construct Ω

Questions raised by our theorem

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A solution to the
Arf-Kervaire invariant
problem

Mike Hill
Mike Hopkins
Doug Ravenel



Background and
history

Our main result
The Arf-Kervaire
formulation

The unstable formulation

Questions raised by our
theorem

Our strategy

Ingredients of the proof
The spectrum Ω
How we construct Ω

Questions raised by our theorem

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A solution to the
Arf-Kervaire invariant
problem

Mike Hill
Mike Hopkins
Doug Ravenel



Background and
history

Our main result
The Arf-Kervaire
formulation

The unstable formulation

Questions raised by our
theorem

Our strategy

Ingredients of the proof
The spectrum Ω
How we construct Ω

Questions raised by our theorem

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Adams spectral sequence formulation. We now know that the h_j^2 for $j \geq 7$ are not permanent cycles, so they have to support nontrivial differentials.

A solution to the
Arf-Kervaire invariant
problem

Mike Hill
Mike Hopkins
Doug Ravenel



Background and
history

Our main result
The Arf-Kervaire
formulation

The unstable formulation

Questions raised by our
theorem

Our strategy

Ingredients of the proof

The spectrum Ω

How we construct Ω

Questions raised by our theorem

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A solution to the
Arf-Kervaire invariant
problem

Mike Hill
Mike Hopkins
Doug Ravenel



Background and
history

Our main result

The Arf-Kervaire
formulation

The unstable formulation

Questions raised by our
theorem

Our strategy

Ingredients of the proof

The spectrum Ω

How we construct Ω

Questions raised by our theorem

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Our method of proof offers a new tool for studying the stable homotopy groups of spheres.

A solution to the
Arf-Kervaire invariant
problem

Mike Hill
Mike Hopkins
Doug Ravenel



Background and
history

Our main result

The Arf-Kervaire
formulation

The unstable formulation

Questions raised by our
theorem

Our strategy

Ingredients of the proof

The spectrum Ω

How we construct Ω

Questions raised by our theorem

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Our method of proof offers a new tool for studying the stable homotopy groups of spheres. We look forward to learning more with it in the future.

A solution to the
Arf-Kervaire invariant
problem

Mike Hill
Mike Hopkins
Doug Ravenel



Background and
history

Our main result
The Arf-Kervaire
formulation
The unstable formulation

Questions raised by our
theorem

Our strategy

Ingredients of the proof
The spectrum Ω
How we construct Ω

Ingredients of the proof

Our proof has several ingredients.

A solution to the
Arf-Kervaire invariant
problem

Mike Hill
Mike Hopkins
Doug Ravenel



Background and
history

Our main result

The Arf-Kervaire
formulation

The unstable formulation

Questions raised by our
theorem

Our strategy

Ingredients of the proof

The spectrum Ω

How we construct Ω

Ingredients of the proof

Our proof has several ingredients.

- It uses methods of stable homotopy theory, which means it uses spectra instead of topological spaces.

A solution to the
Arf-Kervaire invariant
problem

Mike Hill
Mike Hopkins
Doug Ravenel



Background and
history

Our main result

The Arf-Kervaire
formulation

The unstable formulation

Questions raised by our
theorem

Our strategy

Ingredients of the proof

The spectrum Ω

How we construct Ω

Mike Hill
Mike Hopkins
Doug Ravenel



Our proof has several ingredients.

- It uses methods of stable homotopy theory, which means it uses spectra instead of topological spaces. Recall that a space X has a homotopy group $\pi_k(X)$ for each positive integer k .

Background and history

Our main result
The Arf-Kervaire formulation
The unstable formulation
Questions raised by our theorem

Our strategy

Ingredients of the proof
The spectrum Ω
How we construct Ω

Mike Hill
Mike Hopkins
Doug Ravenel



Our proof has several ingredients.

- It uses methods of stable homotopy theory, which means it uses spectra instead of topological spaces. Recall that a space X has a homotopy group $\pi_k(X)$ for each positive integer k . A spectrum X has an abelian homotopy group $\pi_k(X)$ defined for every integer k .

Background and history

Our main result
The Arf-Kervaire formulation
The unstable formulation
Questions raised by our theorem

Our strategy

Ingredients of the proof

The spectrum Ω
How we construct Ω

Mike Hill
Mike Hopkins
Doug Ravenel



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Background and history

Our main result
The Arf-Kervaire formulation
The unstable formulation
Questions raised by our theorem

Our strategy

Ingredients of the proof

The spectrum Ω
How we construct Ω

For the sphere spectrum S^0 , $\pi_k(S^0)$ is the usual homotopy group $\pi_{n+k}(S^n)$ for $n > k + 1$.



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Background and history

Our main result
The Arf-Kervaire formulation
The unstable formulation
Questions raised by our theorem

Our strategy

Ingredients of the proof

The spectrum Ω
How we construct Ω

For the sphere spectrum S^0 , $\pi_k(S^0)$ is the usual homotopy group $\pi_{n+k}(S^n)$ for $n > k + 1$. The hypothetical θ_j is an element of this group for $k = 2^{j+1} - 2$.

Ingredients of the proof (continued)

More ingredients of our proof:

A solution to the
Arf-Kervaire invariant
problem

Mike Hill
Mike Hopkins
Doug Ravenel



Background and
history

Our main result

The Arf-Kervaire
formulation

The unstable formulation

Questions raised by our
theorem

Our strategy

Ingredients of the proof

The spectrum Ω

How we construct Ω

Ingredients of the proof (continued)

More ingredients of our proof:

- It uses complex cobordism theory.

A solution to the
Arf-Kervaire invariant
problem

Mike Hill
Mike Hopkins
Doug Ravenel



Background and
history

Our main result

The Arf-Kervaire
formulation

The unstable formulation

Questions raised by our
theorem

Our strategy

Ingredients of the proof

The spectrum Ω

How we construct Ω

Ingredients of the proof (continued)

More ingredients of our proof:

- It uses complex cobordism theory. This is a branch of algebraic topology having deep connections with algebraic geometry and number theory.

A solution to the
Arf-Kervaire invariant
problem

Mike Hill
Mike Hopkins
Doug Ravenel



Background and
history

Our main result

The Arf-Kervaire
formulation

The unstable formulation

Questions raised by our
theorem

Our strategy

Ingredients of the proof

The spectrum Ω

How we construct Ω

Ingredients of the proof (continued)

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- It uses complex cobordism theory. This is a branch of algebraic topology having deep connections with algebraic geometry and number theory. It includes some highly developed computational techniques that began with work by Novikov and Quillen in the 60s.

A solution to the
Arf-Kervaire invariant
problem

Mike Hill
Mike Hopkins
Doug Ravenel



Background and
history

Our main result

The Arf-Kervaire
formulation

The unstable formulation

Questions raised by our
theorem

Our strategy

Ingredients of the proof

The spectrum Ω

How we construct Ω

Ingredients of the proof (continued)

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A solution to the
Arf-Kervaire invariant
problem

Mike Hill
Mike Hopkins
Doug Ravenel



Background and
history

Our main result

The Arf-Kervaire
formulation

The unstable formulation

Questions raised by our
theorem

Our strategy

Ingredients of the proof

The spectrum Ω

How we construct Ω

Ingredients of the proof (continued)

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A solution to the
Arf-Kervaire invariant
problem

Mike Hill
Mike Hopkins
Doug Ravenel



Background and
history

Our main result

The Arf-Kervaire
formulation

The unstable formulation

Questions raised by our
theorem

Our strategy

Ingredients of the proof

The spectrum Ω

How we construct Ω

Ingredients of the proof (continued)

More ingredients of our proof:

- It uses complex cobordism theory. This is a branch of algebraic topology having deep connections with algebraic geometry and number theory. It includes some highly developed computational techniques that began with work by Novikov and Quillen in the 60s. A pivotal tool in the subject is the theory of formal group laws. We will say more about them in the next lecture.
- It also makes use of newer less familiar methods from equivariant stable homotopy theory.

A solution to the
Arf-Kervaire invariant
problem

Mike Hill
Mike Hopkins
Doug Ravenel



Background and
history

Our main result

The Arf-Kervaire
formulation

The unstable formulation

Questions raised by our
theorem

Our strategy

Ingredients of the proof

The spectrum Ω

How we construct Ω

Ingredients of the proof (continued)

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Ingredients of the proof (continued)

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Ingredients of the proof (continued)

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- It uses complex cobordism theory. This is a branch of algebraic topology having deep connections with algebraic geometry and number theory. It includes some highly developed computational techniques that began with work by Novikov and Quillen in the 60s. A pivotal tool in the subject is the theory of formal group laws. We will say more about them in the next lecture.
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Ingredients of the proof (continued)

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The spectrum Ω

We will produce a map $S^0 \rightarrow \Omega$, where Ω is a nonconnective spectrum (meaning that it has nontrivial homotopy groups in arbitrarily large negative dimensions) with the following properties.

A solution to the
Arf-Kervaire invariant
problem

Mike Hill
Mike Hopkins
Doug Ravenel



Background and
history

Our main result

The Arf-Kervaire
formulation

The unstable formulation

Questions raised by our
theorem

Our strategy

Ingredients of the proof

The spectrum Ω

How we construct Ω

The spectrum Ω

We will produce a map $S^0 \rightarrow \Omega$, where Ω is a nonconnective spectrum (meaning that it has nontrivial homotopy groups in arbitrarily large negative dimensions) with the following properties.

- (i) **Detection Theorem.** It has an Adams-Novikov spectral sequence (which is a device for calculating homotopy groups) in which the image of each θ_j is nontrivial.

A solution to the
Arf-Kervaire invariant
problem

Mike Hill
Mike Hopkins
Doug Ravenel



Background and
history

Our main result

The Arf-Kervaire
formulation

The unstable formulation

Questions raised by our
theorem

Our strategy

Ingredients of the proof

The spectrum Ω

How we construct Ω

The spectrum Ω

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- (i) **Detection Theorem.** It has an Adams-Novikov spectral sequence (which is a device for calculating homotopy groups) in which the image of each θ_j is nontrivial. This means that if θ_j exists, we will see its image in $\pi_*(\Omega)$.

A solution to the
Arf-Kervaire invariant
problem

Mike Hill
Mike Hopkins
Doug Ravenel



Background and
history

Our main result

The Arf-Kervaire
formulation

The unstable formulation

Questions raised by our
theorem

Our strategy

Ingredients of the proof

The spectrum Ω

How we construct Ω

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- (i) **Detection Theorem.** It has an Adams-Novikov spectral sequence (which is a device for calculating homotopy groups) in which the image of each θ_j is nontrivial. This means that if θ_j exists, we will see its image in $\pi_*(\Omega)$.
- (ii) **Periodicity Theorem.** It is 256-periodic, meaning that $\pi_k(\Omega)$ depends only on the reduction of k modulo 256.

A solution to the
Arf-Kervaire invariant
problem

Mike Hill
Mike Hopkins
Doug Ravenel



Background and
history

Our main result

The Arf-Kervaire
formulation

The unstable formulation

Questions raised by our
theorem

Our strategy

Ingredients of the proof

The spectrum Ω

How we construct Ω



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- (iii) **Gap Theorem.** $\pi_k(\Omega) = 0$ for $-4 < k < 0$.

The spectrum Ω

A solution to the
Arf-Kervaire invariant
problem

Mike Hill
Mike Hopkins
Doug Ravenel



Background and
history

Our main result

The Arf-Kervaire
formulation

The unstable formulation

Questions raised by our
theorem

Our strategy

Ingredients of the proof

The spectrum Ω

How we construct Ω

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The spectrum Ω (continued)

Here again are the properties of Ω

A solution to the
Arf-Kervaire invariant
problem

Mike Hill
Mike Hopkins
Doug Ravenel



Background and
history

Our main result

The Arf-Kervaire
formulation

The unstable formulation

Questions raised by our
theorem

Our strategy

Ingredients of the proof

The spectrum Ω

How we construct Ω

The spectrum Ω (continued)

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- (i) **Detection Theorem.** If θ_j exists, it has nontrivial image in $\pi_*(\Omega)$.
- (ii) **Periodicity Theorem.** $\pi_k(\Omega)$ depends only on the reduction of k modulo 256.
- (iii) **Gap Theorem.** $\pi_{-2}(\Omega) = 0$.

A solution to the
Arf-Kervaire invariant
problem

Mike Hill
Mike Hopkins
Doug Ravenel



Background and
history

Our main result

The Arf-Kervaire
formulation

The unstable formulation

Questions raised by our
theorem

Our strategy

Ingredients of the proof

The spectrum Ω

How we construct Ω

The spectrum Ω (continued)

A solution to the
Arf-Kervaire invariant
problem

Mike Hill
Mike Hopkins
Doug Ravenel



Here again are the properties of Ω

- (i) **Detection Theorem.** If θ_j exists, it has nontrivial image in $\pi_*(\Omega)$.
 - (ii) **Periodicity Theorem.** $\pi_k(\Omega)$ depends only on the reduction of k modulo 256.
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- (ii) and (iii) imply that $\pi_{254}(\Omega) = 0$.

Background and
history

Our main result

The Arf-Kervaire
formulation

The unstable formulation

Questions raised by our
theorem

Our strategy

Ingredients of the proof

The spectrum Ω

How we construct Ω

The spectrum Ω (continued)

A solution to the
Arf-Kervaire invariant
problem

Mike Hill
Mike Hopkins
Doug Ravenel



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If $\theta_7 \in \pi_{254}(S^0)$ exists, (i) implies it has a nontrivial image in this group, so it cannot exist.

Background and
history

Our main result

The Arf-Kervaire
formulation

The unstable formulation

Questions raised by our
theorem

Our strategy

Ingredients of the proof

The spectrum Ω

How we construct Ω

The spectrum Ω (continued)

A solution to the
Arf-Kervaire invariant
problem

Mike Hill
Mike Hopkins
Doug Ravenel



Background and
history

Our main result

The Arf-Kervaire
formulation

The unstable formulation

Questions raised by our
theorem

Our strategy

Ingredients of the proof

The spectrum Ω

How we construct Ω

Here again are the properties of Ω

- (i) **Detection Theorem.** If θ_j exists, it has nontrivial image in $\pi_*(\Omega)$.
 - (ii) **Periodicity Theorem.** $\pi_k(\Omega)$ depends only on the reduction of k modulo 256.
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- (ii) and (iii) imply that $\pi_{254}(\Omega) = 0$.

If $\theta_7 \in \pi_{254}(S^0)$ exists, (i) implies it has a nontrivial image in this group, so it cannot exist. The argument for θ_j for larger j is similar, since $|\theta_j| = 2^{j+1} - 2 \equiv -2 \pmod{256}$ for $j \geq 7$.

How we construct Ω

Our spectrum Ω will be the fixed point spectrum for the action of C_8 (the cyclic group of order 8) on an equivariant spectrum $\tilde{\Omega}$.

A solution to the
Arf-Kervaire invariant
problem

Mike Hill
Mike Hopkins
Doug Ravenel



Background and
history

Our main result

The Arf-Kervaire
formulation

The unstable formulation

Questions raised by our
theorem

Our strategy

Ingredients of the proof

The spectrum Ω

How we construct Ω

How we construct Ω

Our spectrum Ω will be the fixed point spectrum for the action of C_8 (the cyclic group of order 8) on an equivariant spectrum $\tilde{\Omega}$.

To construct it we start with the complex cobordism spectrum MU .

A solution to the
Arf-Kervaire invariant
problem

Mike Hill
Mike Hopkins
Doug Ravenel



Background and
history

Our main result

The Arf-Kervaire
formulation

The unstable formulation

Questions raised by our
theorem

Our strategy

Ingredients of the proof

The spectrum Ω

How we construct Ω

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To construct it we start with the complex cobordism spectrum MU . It can be thought of as the set of complex points of an algebraic variety defined over the real numbers.

A solution to the
Arf-Kervaire invariant
problem

Mike Hill
Mike Hopkins
Doug Ravenel



Background and
history

Our main result

The Arf-Kervaire
formulation

The unstable formulation

Questions raised by our
theorem

Our strategy

Ingredients of the proof

The spectrum Ω

How we construct Ω

How we construct Ω

Our spectrum Ω will be the fixed point spectrum for the action of C_8 (the cyclic group of order 8) on an equivariant spectrum $\tilde{\Omega}$.

To construct it we start with the complex cobordism spectrum MU . It can be thought of as the set of complex points of an algebraic variety defined over the real numbers. This means that it has an action of C_2 defined by complex conjugation.

A solution to the
Arf-Kervaire invariant
problem

Mike Hill
Mike Hopkins
Doug Ravenel



Background and
history

Our main result

The Arf-Kervaire
formulation

The unstable formulation

Questions raised by our
theorem

Our strategy

Ingredients of the proof

The spectrum Ω

How we construct Ω

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To construct it we start with the complex cobordism spectrum MU . It can be thought of as the set of complex points of an algebraic variety defined over the real numbers. This means that it has an action of C_2 defined by complex conjugation. The fixed point set of this action is the set of real points, known to topologists as MO , the unoriented cobordism spectrum.

Mike Hill
Mike Hopkins
Doug Ravenel



Our main result

The Arf-Kervaire
formulation

The unstable formulation
Questions raised by our
theorem

Ingredients of the proof

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To construct it we start with the complex cobordism spectrum MU . It can be thought of as the set of complex points of an algebraic variety defined over the real numbers. This means that it has an action of C_2 defined by complex conjugation. The fixed point set of this action is the set of real points, known to topologists as MO , the unoriented cobordism spectrum. In this notation, U and O stand for the unitary and orthogonal groups.

Mike Hill
Mike Hopkins
Doug Ravenel



Our main result

The Arf-Kervaire
formulation

The unstable formulation
Questions raised by our
theorem

Ingredients of the proof

The spectrum Ω

How we construct Ω (continued)

To get a C_8 -spectrum, we use the following general construction for getting from a space or spectrum X acted on by a group H to one acted on by a larger group G containing H as a subgroup.

A solution to the
Arf-Kervaire invariant
problem

Mike Hill
Mike Hopkins
Doug Ravenel



Background and
history

Our main result

The Arf-Kervaire
formulation

The unstable formulation

Questions raised by our
theorem

Our strategy

Ingredients of the proof

The spectrum Ω

How we construct Ω

How we construct Ω (continued)

To get a C_8 -spectrum, we use the following general construction for getting from a space or spectrum X acted on by a group H to one acted on by a larger group G containing H as a subgroup. Let

$$Y = \text{Map}_H(G, X),$$

the space (or spectrum) of H -equivariant maps from G to X .

A solution to the
Arf-Kervaire invariant
problem

Mike Hill
Mike Hopkins
Doug Ravenel



Background and
history

Our main result

The Arf-Kervaire
formulation

The unstable formulation

Questions raised by our
theorem

Our strategy

Ingredients of the proof

The spectrum Ω

How we construct Ω

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$$Y = \text{Map}_H(G, X),$$

the space (or spectrum) of H -equivariant maps from G to X . Here the action of H on G is by right multiplication, and the resulting object has an action of G by left multiplication.

A solution to the
Arf-Kervaire invariant
problem

Mike Hill
Mike Hopkins
Doug Ravenel



Background and
history

Our main result

The Arf-Kervaire
formulation

The unstable formulation
Questions raised by our
theorem

Our strategy

Ingredients of the proof

The spectrum Ω

How we construct Ω

How we construct Ω (continued)

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the space (or spectrum) of H -equivariant maps from G to X . Here the action of H on G is by right multiplication, and the resulting object has an action of G by left multiplication. As a set, $Y = X^{|G/H|}$, the $|G/H|$ -fold Cartesian power of X .

A solution to the
Arf-Kervaire invariant
problem

Mike Hill
Mike Hopkins
Doug Ravenel



Background and
history

Our main result

The Arf-Kervaire
formulation

The unstable formulation

Questions raised by our
theorem

Our strategy

Ingredients of the proof

The spectrum Ω

How we construct Ω

How we construct Ω (continued)

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A solution to the
Arf-Kervaire invariant
problem

Mike Hill
Mike Hopkins
Doug Ravenel



Background and
history

Our main result

The Arf-Kervaire
formulation

The unstable formulation
Questions raised by our
theorem

Our strategy

Ingredients of the proof

The spectrum Ω

How we construct Ω

How we construct Ω (continued)

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In particular we get a C_8 -spectrum

$$MU^{(4)} = \text{Map}_{C_2}(C_8, MU).$$

A solution to the
Arf-Kervaire invariant
problem

Mike Hill
Mike Hopkins
Doug Ravenel



Background and
history

Our main result

The Arf-Kervaire
formulation

The unstable formulation
Questions raised by our
theorem

Our strategy

Ingredients of the proof

The spectrum Ω

How we construct Ω

How we construct Ω (continued)

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In particular we get a C_8 -spectrum

$$MU^{(4)} = \text{Map}_{C_2}(C_8, MU).$$

This spectrum is not periodic, but it has a close relative $\tilde{\Omega}$ which is.

A solution to the
Arf-Kervaire invariant
problem

Mike Hill
Mike Hopkins
Doug Ravenel



Background and
history

Our main result

The Arf-Kervaire
formulation

The unstable formulation
Questions raised by our
theorem

Our strategy

Ingredients of the proof

The spectrum Ω

How we construct Ω