HOMOTOPY THEORY OF <u>r</u>-spaces, spectra, AND BISIMPLICIAL SETS

A. K. Bousfield and E. M. Friedlander

In [Segal 1], Graeme Segal introduced the concept of a r-space and proved that a certain homotopy category of r-spaces is equivalent to the usual homotopy category of connective spectra. Our main purpose is to show that there is a full-fledged homotopy theory of rspaces underlying Segal's homotopy category. We do this by giving r-spaces the structure of a closed model category, i.e. defining "fibrations," "cofibrations," and "weak equivalences" for r-spaces so that Quillen's theory of homotopical algebra can be applied. Actually, we give two such structures (3.5, 5.2) leading to a "strict" and a "stable" homotopy theory of r-spaces. The former has had applications, cf. [Friedlander], but the latter is more closely related to the usual homotopy theory of spectra.

In our work on Γ -spaces, we have adopted the "chain functor" viewpoint of [Anderson]. However, we do not require our Γ -spaces to be "special," cf. §4, because "special" Γ -spaces are not closed under direct limit constructions. We have included in §§4,5 an exposition, and slight generalization, of the Anderson-Segal results on the construction of homology theories from Γ -spaces, and on the equivalence of the homotopy categories of Γ -spaces and connective spectra.

To set the stage for our work on Γ -spaces, we have given in §2 an exposition of spectra from the standpoint of homotopical algebra. We have also included an appendix (§B) on bisimplicial sets, where we outline some well-known basic results needed in this paper and prove a rather strong fibration theorem (B.4) for diagonals of bisimplicial sets. We apply B.4 to prove a generalization of Supported in part by NSF Grants Quillen's spectral sequence for a bisimplicial group. In another appendix (§A), we develop some homotopical algebra which we use to construct our "stable" model categories.

The paper is organized as follows:

- §1. A brief review of homotopical algebra
- \$2. Closed model category structures for spectra

§3. The strict homotopy theory of r-spaces

§4. The construction of homology theories from T-spaces

\$5. The stable homotopy theory of r-spaces

Appendix A. Proper closed model categories

Appendix B. Bisimplicial sets

We work "simplicially" and refer the reader to [May 1] for the basic facts of simplicial theory.

§1. A brief review of homotopical algebra

For convenience we recall some basic notions of homotopical algebra ([Quillen 1,2]) used repeatedly in this paper.

<u>Definition 1.1</u> ([Quillen 2, p. 233]). A <u>closed model category</u> consists of a category \mathbb{C} together with three classes of maps in \mathbb{C} called fibrations, cofibrations, and weak equivalences, satisfying <u>CM1 - CM5</u> below. A map f in \mathbb{C} is called a <u>trivial cofibration</u> if f is a cofibration and weak equivalence, and called a <u>trivial fibra</u>-tion if f is a fibration and weak equivalence.

CML. C is closed under finite limits and colimits.

<u>CM2</u>. For $W \xrightarrow{f} X \xrightarrow{g} Y$ in C, if any two of f,g, and gf are weak equivalences, then so is the third.

<u>CM3</u>. If f is a retract of g and g is a weak equivalence, fibration, or cofibration, then so is f.

CM4. Given a solid arrow diagram



where i is a cofibration and p is a fibration, then the filler exists if either i or j is a weak equivalence.

<u>CM5</u>. Any map f can be factored as f = pi and f = qi with i a trivial cofibration, p a fibration, j a cofibration, and q a trivial fibration.

The above axioms are equivalent to the earlier more complicated ones in [Quillen 1] and are motivated in part by Example 1.3 below. They allow one to "do homotopy theory" in C. The homotopy category Ho ${f c}$ can be obtain from ${f C}$ by giving formal inverses to the weak equivalences. More explicitly, the objects of HoC are those of C and the set of morphisms, Ho C(X,Y) = [X,Y], can be obtained as follows: first choose weak equivalences $X' \rightarrow X$ and $Y \rightarrow Y'$ where X' is cofibrant (i.e. $\emptyset \to X'$ is a cofibration where $\emptyset_{\mathfrak{C}} \mathfrak{C}$ is initial) and Y' is fibrant (i.e. Y' \rightarrow e is a fibration where $e_{\mathbf{f}} \mathbf{C}$ is terminal); then $[X,Y] \approx [X',Y']$ and $[X',Y'] = \mathbb{C}(X',Y')/\sim$ where \sim is the "homotopy relation" ([Quillen 1, I.1]). Thus HoC is equivalent to the category ho ${f C}$ whose objects are the fibrant-cofibrant objects of ${f C}$ and whose maps are homotopy classes of maps in ${\mathcal C}$. The homotopy relation is especially manageable when ${\cal C}$ is a closed simplicial model category ([Quillen 1, II.2]), i.e. for objects V,We C there is a natural simplicial set HOM(V,W) (= $HOM_{\bullet}(V,W)$) which has the properties of a function complex with vertices corresponding to the maps V \rightarrow W in ${\tt C}$. For V cofibrant and W fibrant, one then has $[V,W] \approx \pi_{O}HOM(V,W)$.

It will be convenient to have

Definition 1.2. A closed model category ${f C}$ is proper if whenever a square

$$\begin{array}{c} A \xrightarrow{f} C \\ \downarrow i \\ B \xrightarrow{g} D \end{array}$$

is a pushout with i a cofibration and f a weak equivalence, then g is a weak equivalence; and whenever the square is a pullback with j a fibration and g a weak equivalence, then f is a weak equivalence.

Some needed results on proper closed model categories are proved in Appendix A, and we conclude this review with

Example 1.3. Let (s.sets) and (s.sets_{*}) denote the categories of unpointed and pointed simplicial sets respectively. These are proper closed simplicial model categories, where the cofibrations are the injections, the fibrations are the Kan fibrations, the weak equivalences are the maps whose geometric realizations are homotopy equivalences, $HOM_{(s.sets)}(X,Y)_n$ consists of the maps $X \times \Delta[n] \rightarrow Y$ in (s.sets), and $HOM_{(s.sets_*)}(X,Y)_n$ consists of the maps $X \wedge (\Delta[n] \cup *) \rightarrow Y$ in (s.sets_{*}). Note that the Kan complexes are the fibrant objects and all objects are cofibrant. The associated homotopy categories Ho(s.sets) and $Ho(s.sets_*)$ are equivalent to the unpointed and pointed homotopy categories of CW complexes respectively. For $X_{\epsilon}(s.sets_*)$ we will let $\pi_i X$ denote $\pi_i |X|$ where |X| is the geometric realization of X.

§2. Closed model category structures for spectra

To set the stage for our study of Γ -spaces, we now discuss spectra from the standpoint of homotopical algebra. Although spectra in the sense of [Kan] admit a closed model category structure (cf. [Brown]), these spectra are not very closely related to Γ -spaces and don't seem to form a closed <u>simplicial</u> model category. For our purposes the appropriate spectra are old-fashioned ones equipped with **a** suitable model category structure. After developing that structure, we show that it gives a stable homotopy theory equivalent to the usual one.

Definition 2.1. A spectrum X consists of a sequence $X^{n} \epsilon$ (s.sets) for $n \geq 0$ and maps σ^{n} : $S^{1} \wedge X^{n} \rightarrow X^{n+1}$ in (s.sets), where $S^{1} = \Delta[1]/\Delta[1]\epsilon(s.sets)$. A map f: X \rightarrow Y of spectra consists of maps f^{n} : $X^{n} \rightarrow Y^{n}$ in (s.sets) for $n \geq 0$ such that $\sigma^{n}(1 \wedge f^{n}) = f^{n+1}\sigma^{n}$; and (spectra) denotes the category of spectra.

The <u>sphere spectrum</u> S is the obvious spectrum with $S^{0} = S^{0} = \Delta[0] \cup *, S^{1} = S^{1}, S^{2} = S^{1} \wedge S^{1}, S^{3} = S^{1} \wedge S^{1} \wedge S^{1}, \dots$ For K_e(s.sets) and X_e(spectra), X \wedge K is the obvious spectrum with (X \wedge K)ⁿ = Xⁿ \wedge K for n \geq 0; and for X, Y_e(spectra), HOM(X,Y) is the obvious simplicial set whose n-simplices are maps X \wedge (Δ [n] \cup *) \rightarrow Y in (spectra).

A map f: $X \to Y$ in (spectra) is a <u>strict weak equivalence</u> (resp. <u>strict fibration</u>) if $f^n: X^n \to Y^n$ is a weak equivalence (resp. fibration) in (s.sets_{*}) for $n \ge 0$; and f is a <u>strict cofibration</u> if the induced maps

$$\overset{x^{0}}{\underset{\sim}{}} \rightarrow \overset{x^{0}}{\underset{\sim}{}} \qquad \overset{x^{n+1}}{\underset{s^{1}\wedge\overset{n}{x^{n}}}{}} \stackrel{s^{1}\wedge\overset{n}{x^{n}}}{\underset{\sim}{}} \rightarrow \overset{x^{n+1}}{\underset{\sim}{}}$$

are cofibrations in (s.sets_{*}) for $n \ge 0$. (This implies that each $f^n: X^n \to Y^n$ is a cofibration.) We let (spectra) strict denote the category (spectra) equipped with these "strict" classes of maps.

<u>Proposition 2.2</u>. (spectra)^{strict} is a proper closed simplicial model category.

The proof is straightforward. Of course the associated homotopy category Ho(spectra)^{strict} is not equivalent to the usual stable homo-topy category because it has too many homotopy types.

To obtain the usual stable theory, we call a map f: $X \to Y$ in (spectra) a <u>stable weak equivalence</u> if $f_*: \pi_*X \approx \pi_*Y$ where $\pi_*X = \lim_{n \to +n} \pi_{*+n} X^n$; and call f a <u>stable cofibration</u> if f is a strict cofibration. Call $X_{\epsilon}(\text{spectra})$ an Ω -<u>spectrum</u> if for each $n \ge 0$ the geometric realization $|S^1| \wedge |X^n| \approx |S^1 \wedge X^n| \xrightarrow{|\sigma^n|} |X^{n+1}|$ induces a weak homotopy equivalence $|X^n| \to |X^{n+1}|^{|S^1|}$. Then choose a functor Q: (spectra) \to (spectra) and a natural transformation η : $l \to Q$ such that $\eta: X \to QX$ is a stable weak equivalence and QX is an Ω -spectrum for each $X_{\epsilon}(\text{spectra})$. For instance one can let QX be the obvious spectrum with

$$(QX)^n = \lim_{i \to \infty} \operatorname{Sing} \Omega^i |X^{n+i}|$$

where Sing is the singular functor. Now call f: $X \rightarrow Y$ a stable fibration if f is a strict fibration and for $n \ge 0$

$$\begin{array}{ccc} x^n & \xrightarrow{n} & (QX)^n \\ & & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & &$$

is a homotopy fibre square in $(s.sets_*)$, cf. A.2. When all the Υ^n are connected this is actually equivalent to saying that f is a strict fibration with fibre on Ω -spectrum. Let $(spectra)^{stable}$ denote the category (spectra) equipped with stable weak equivalences, stable fibrations, and stable cofibrations.

Theorem 2.3. (spectra)^{stable} is a proper closed simplicial model category.

<u>Proof</u>. The usual arguments of stable homotopy theory show that if



is a pushout in (spectra) with $f_*: \pi_*A \stackrel{\sim}{\sim} \pi_*C$ and with each $i^n: A^n \rightarrow B^n$ a cofibration in (s.sets_{*}), then $g_*: \pi_*B \stackrel{\sim}{\sim} \pi_*D$; and if the square is a pullback with $g_*: \pi_*B \stackrel{\sim}{\sim} \pi_*D$ and with each $j^n: C^n \rightarrow D^n$ a fibration in (s.sets_{*}) then $f_*: \pi_*A \stackrel{\sim}{\sim} \pi_*C$. Moreover, a map f: $X \rightarrow Y$ in (spectra) is a stable weak equivalence iff Qf: $QX \rightarrow QY$ is a strict weak equivalence. The result now follows by using Theorem A.7 and the simpliciality criterion SM7(b) of [Quillen 1, II.2].

Note that our definition of "stable fibration" does not actually depend on the choice of Q, because the fibrations in a closed model category are determined by the trivial cofibrations.

2.4. The stable homotopy category. By 2.5 below,

Ho(spectra)^{stable} is the usual stable homotopy category; and by model category theory, it is equivalent to the "concrete" category ho(spectra)^{stable} of fibrant-cofibrant spectra in (spectra)^{stable} and homotopy classes of maps. Note that a spectrum X_{ϵ} (spectra)^{stable} is fibrant iff X is an Ω -spectrum with each X^{n} a Kan complex, and X is cofibrant iff each σ : $S^{1} \wedge X^{n} \rightarrow X^{n+1}$ is an injection. Also, it is easy to show that Q induces an equivalence

 $Ho(spectra)^{stable} \xrightarrow{\simeq} Ho(g-spectra)^{strict}$

where $Ho(\Omega-spectra)^{strict}$ is the full subcategory of Ω -spectra in $Ho(spectra)^{strict}$.

2.5. Equivalence of various stable homotopy theories We wish to show that our model category $(spectra)^{stable}$ gives a homotopy theory equivalent to that for (Kan's spectra) developed in [Kan] and [Brown]. Recall that Kan's spectra are like pointed simplicial sets, except that they have simplices in both positive and negative degrees, and have operators d_i and s_i for all $i \ge 0$. They arise as "direct limits" of Kan's prespectra, which are sequences K^0, K^1, K^2, \ldots in (s.sets_{*}) together with maps $SK^n \rightarrow K^{n+1}$ for $n \ge 0$. Here, S(-) is the "small" suspension functor given in [Kan,2.2]; so for $K_{\mathfrak{e}}(s.sets_*)$, the non-basepoint non-degenerate simplices of (SK)_i correspond to those of K_{i-1} but have trivial ith faces.

It is difficult to relate our spectra to Kan's in a purely simplicial way, because the suspension functors S(-) and $S^1 \wedge (-)$ are very different. Thus we will need the intermediate category (top. spectra) defined as in 2.1, but using pointed topological spaces and the topological suspension. We will also need the category (Kan's prespectra) defined as in 2.1, but using the "small" suspension functor S(-) as indicated above. Our categories (top. spectra) and (Kan's presepctra) differ from those discussed in [Kan], because we put no injectivity conditions on the structural maps; but there are still adjoint functors

(spectra)
$$\xrightarrow[]{\text{Sing}}$$
 (top. spectra)

$$\underbrace{\langle \underline{|} \\ \underline{|$$

defined as in [Kan, §§3,4], where the upper arrows are the left adjoints. In particular, the realization and singular functors induce adjoint functors between (spectra) and (top. spectra), where the structural maps are handled using the natural homeomorphism $|S^1 \wedge K| \approx |S^1| \wedge |K|$ for $K_{\epsilon}(s.sets_*)$. We define closed model category structures on (top. spectra) and (Kan's prespectra) by mimicing the construction of (spectra)^{stable}; in the construction for (top. spectra), we use the standard model category structure on pointed topological spaces, c.f. [Quillen 1, II.3]. The above pairs of adjoint functors all satisfy the hypotheses of [Quillen 1, I.4, Th. 3], and thus induce "equivalences of homotopy theories;" in particular, the four stable homotopy categories are equivalent. We remark that, unlike (spectra) and (top. spectra), the categories (Kan's prespectra) and (Kan's spectra) do not seem to have reasonable closed simplicial model category structures.

\$3. The strict homotopy theory of T-spaces.

In this section, we introduce r-spaces and verify that they admit a "strict" model category structure similar to that of spectra. Not only does this "strict" model category structure admit applications (cf. [Friedlander]), but also it enables us to subsequently construct the "stable" model category structure on the category of r-spaces (whose homotopy category is the homotopy category of connected spectra).

We adopt D. Anderson's viewpoint in defining Γ -spaces. Let Γ^0 denote the category of finite pointed sets and pointed maps; Γ^0 is the dual of the category considered by G. Segal [Segal 1]. For $n \geq 0$, let n^+ denote the set {0,1,...,n} with basepoint $O_{\epsilon}n^+$.

<u>Definition 3.1</u>. Let <u>C</u> be a pointed category with initialterminal object *. A <u>r-object</u> over <u>C</u> is a functor A: $\Gamma^{O} \rightarrow \underline{C}$ such that $A(O^{+}) = *$. A <u>r-space</u> is a <u>r-object</u> over the category (s.sets_{*}) of pointed simplicial sets. $\Gamma^{O}\underline{C}$ is the category of <u>r-objects</u> over <u>C</u>.

The reader should consult [Friedlander], [Segal 1] for interesting examples of r-topological spaces, r-spaces, and r-varieties.

For notational convenience, we shall sometimes view a Γ -object over \underline{C} as a functor from the full subcategory of Γ^{O} whose objects are the sets n^{+} , $n \geq 0$. Such a functor is the restriction of a functor $\Gamma^{O} \rightarrow \underline{C}$ (determined up to canonical equivalence).

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We begin our consideration of $\Gamma^{O}(s.sets_{*})$, the category of Γ -spaces, by introducing some categorical constructions. For $A \in \Gamma^{O}(s.sets_{*})$ and $K \in (s.sets_{*})$, define $A \wedge K \in \Gamma^{O}(s.sets_{*})$ by

$$(\mathbb{A}\wedge\mathbb{K})(n^+) = \mathbb{A}(n^+)\wedge\mathbb{K}$$
 for $n \ge 0$

and define $\mathbf{A}^{K} \boldsymbol{\epsilon} \mathbf{\Gamma}^{O}(\text{s.sets}_{*})$ by

$$\mathbf{A}^{\mathrm{K}}(\mathrm{n}^{+}) = \mathbf{A}(\mathrm{n}^{+})^{\mathrm{K}} \quad \text{for } \mathrm{n} \geq 0$$

If A, $\mathbb{B}_{\epsilon} \Gamma^{O}(s.sets_{*})$, we define $HOM(\mathbb{A},\mathbb{B})_{\epsilon}(s.sets_{*})$ by

$$HOM(A,B)_{n} = Hom_{\Gamma^{O}(s.sets_{*})} (A_{\Lambda}(\Delta[n] \cup *),B).$$

<u>Definition 3.2</u>. Let $i_n: \Gamma_n^0 \to \Gamma^0$ denote the inclusion of the full subcategory of all finite sets with no more than n non-basepoint elements. Let

$$T_n: \Gamma^O(s.sets_*) \rightarrow \Gamma^O_n(s.sets_*)$$

be the n-<u>truncation</u> functor defined by sending A: $\Gamma^0 \rightarrow (s.sets_*)$ to $A \cdot i_n : \Gamma_n^0 \rightarrow (s.sets_*)$. The left adjoint of T_n

$$sk_n: \Gamma_n^O(s.sets_*) \xrightarrow{\rightarrow} \Gamma^O(s.sets_*)$$

is called the n-<u>skeleton</u> functor and is given for $A_{\epsilon}r_n^0(s.sets_{\star})$ by

$$(sk_{n}A)(m^{+}) = colim_{k^{+}\rightarrow m^{+}}A(k^{+}).$$

 $k^{+}\rightarrow m^{+} a^{-}$

The right adjoint of Tn

$$\operatorname{csk}_n: \ r_n^0(\operatorname{s.sets}_*) \to r^0(\operatorname{s.sets}_*)$$

is called the n-coskeleton functor and is given for $A_{\epsilon}\Gamma_n^0(s.sets_*)$ by

$$(\operatorname{csk}_{n_{\sim}}^{A})(m^{+}) = \lim_{\substack{m^{+} \to j^{+} \\ j < n}} A(j^{+}).$$

We shall frequently commit a slight abuse of notation and let $sk_n A \quad csk_n A \text{ denote } sk_n \cdot T_n(A) \quad csk_n \cdot T_n(A) \text{ for } A \in \Gamma^O(s.sets_*).$

Our construction of the strict model category for Γ -spaces depends on the following model category structure for G-equivariant homotopy theory for the groups $G = \Sigma_n$ (the groups of pointed automorphisms of n^+). For any group G, we let $G(s.sets_*)$ denote the category of pointed simplicial sets with left G-action (or, equivalently, of simplicial objects over pointed left G-sets). For $X, Y \in G(s.sets_*)$, HOM(X,Y) denotes the simplicial set defined by

$$HOM(X,Y)_n = Hom_{G(s.sets_*)}(X_{\Lambda}(\Delta[n] \cup *),Y)$$

where G acts trivially on $\Delta[n] \cup *$.

<u>Proposition 3.3</u>. For any G, the category $G(s.sets_*)$ is a proper closed simplicial model category when provided with the following additional structure: a G-weak equivalence (respectively, a G-<u>fibra-</u> <u>tion</u>) is a map f: X \rightarrow Y in G(s.sets_*) which is a weak equivalence (resp., fibration) in (s.sets_*); a G-<u>cofibration</u> is a map f: X \rightarrow Y in G(s.sets_*) which is injective and for which G acts freely on the simplices not in the image of f.

The proof of Proposition 3.3 is straight-forward; indeed, this model category is a case of that defined in [Quillen 1, II.4]. The role of Σ_n -equivariance is revealed by the following proposition, whose straight-forward proof we omit (the notation of the proposition has been chosen to fit the proof of Theorem 3.5).

Proposition 3.4 should motivate the following model category structure on $r^{O}(s.sets_{*})$.

<u>Theorem 3.5</u>. The category of Γ -spaces becomes a proper closed simplicial model category (denoted $\Gamma^{0}(s.sets_{*})^{strict}$), when provided with the following additional structure: a map f: $A \rightarrow B_{\epsilon}\Gamma^{0}(s.sets_{*})$ is called a <u>strict weak equivalence</u> if $f(n^{+}): A(n^{+}) \rightarrow B(n^{+})$ is a (Σ_{n}) weak equivalence for $n \geq 1$; f: $A \rightarrow B$ is called a <u>strict cofibration</u> if the induced map

$$(3.5.1) \qquad (sk_{n-1}B)(n^{+}) \underset{(sk_{n-1}A)(n^{+})}{\coprod} \overset{A(n^{+}) \longrightarrow}{\rightarrow} B(n^{+})$$

is a Σ_n -cofibration for $n \ge 1$; and a map f: $A \rightarrow B$ is called a strict <u>fibration</u> if the induced map

$$(3.5.2) \qquad \overset{A(n^{+})}{\sim} \longrightarrow (\operatorname{csk}_{n-1_{\bullet}}^{A})(n^{+}) \qquad (\operatorname{csk}_{n-1_{\bullet}}^{B})(n^{+}) \overset{B(n^{+})}{\sim}$$

is a (Σ_n^{-}) fibration for $n \ge 1$.

This model category structure is similar to that obtained by

C. Reedy for simplicial objects over a closed model category, and our proof will somewhat resemble his.

<u>Proof</u>. Because finite limits, finite colimits, and weak equivalences in $\Gamma^0(s.sets_*)^{strict}$ are defined level-wise, <u>CM1</u> and <u>CM2</u> are immediately verified. Similarly, <u>CM3</u> for $\Gamma^0(s.sets_*)^{strict}$ follows directly from <u>CM3</u> for $\Sigma_n(s.sets_*)$ for each n > 0.

To prove one half of $\underline{CM4}$ (we omit the similar proof of the other half) for $r^{O}(s.sets_{*})^{strict}$, let

$$(3.5.3) \qquad \qquad \begin{array}{c} A \longrightarrow X \\ \downarrow 1 & \downarrow 7 \\ B \longrightarrow Y \\ B & \longrightarrow Y \end{array}$$

To prove one half of <u>CM5</u> (we omit the similar proof of the other half), we must factor a map f: $A \rightarrow B$ in $\Gamma^{O}(s.sets_{*})$ as $f = p \cdot i$ where i is a strict trivial cofibration and p is a strict fibration. Suppose inductively that we have a factorization

$$\mathbb{T}_{n-1} \xrightarrow{\mathbb{A}} \twoheadrightarrow \mathbb{T}_{n-1} \xrightarrow{\mathbb{C}} \twoheadrightarrow \mathbb{T}_{n-1} \xrightarrow{\mathbb{B}} \ \epsilon \ r_{n-1}^{O}(\text{s.sets}_{*})$$

for some $n \ge 1$. Using the closed model category structure on $\Sigma_n(s.sets_*)$ given by Proposition 3.3, we obtain a factorization in $\Sigma_n(s.sets_*)$

of the canonical map with α a trivial Σ_n -cofibration and β a fibration. The desired factorization $A \rightarrow C \rightarrow B$ is now obtained by induction using 3.4 and the following lemma (whose proof is immediate); the map $A \rightarrow C$ is a strict trivial cofibration by a patching argument as in the proof of 3.7 below.

Lemma 3.6. For
$$C \in \Gamma_{n-1}^{O}(s.sets_{*})$$
, let
 $(sk_{n-1}C)(n^{+}) \rightarrow K \rightarrow (csk_{n-1}C)(n^{+})$

be a factorization in $\Sigma_n(s.sets_*)$ of the canonical map. Then C prolongs to an object $C' \epsilon \Gamma_n^0(s.sets_*)$ with $C'(n^+) = K$ such that the given factorization equals the canonical one for C'.

This completes the proof of <u>CM5</u>, and thus of the fact that $\Gamma^{O}(s.sets_{*})^{strict}$ is a closed model category. To prove that $\Gamma^{O}(s.sets_{*})^{strict}$ is a simplicial closed model category, it suffices to prove for each fibration p: $A \rightarrow B$ in $\Gamma^{O}(s.sets_{*})$ and each cofibration i: $K \rightarrow L$ in (s.sets) that the induced map in $\Gamma^{O}(s.sets_{*})^{strict}$

$$\boldsymbol{\mu}: \ \begin{array}{c} \boldsymbol{A}^{L} \rightarrow \boldsymbol{A}^{K} \times \boldsymbol{B}^{L} \\ \boldsymbol{\tilde{\rho}} & \boldsymbol{\tilde{\rho}} \end{array}$$

is a fibration which is trivial whenever either p or i is trivial. This follows easily from the closed model category properties of (s.sets_{*}), because the maps of type (3.5.2) associated with μ are given by the maps $D^{L} \rightarrow D^{K} \underset{TK}{\times} E^{L}$ in (s.sets_{*}) induced by

$$\mathbb{D} = \operatorname{A}(n^{+}) \rightarrow (\operatorname{csk}_{n-1} \operatorname{A})(n^{+}) \qquad \underset{(\operatorname{csk}_{n-1} \operatorname{B})(n^{+})}{\times} \operatorname{B}(n^{+}) = \mathbb{E}$$

Finally, to prove that $\Gamma^{O}(s.sets_{*})^{strict}$ is a proper simplicial closed model category, it suffices to prove the following lemma and then employ the fact that $(s.sets_{*})$ is a proper closed model category (one proceeds level-by-level, since strict weak equivalences are determined levelwise).

Lemma 3.7. If f: $A \rightarrow B$ is a cofibration (resp., fibration) in $\Gamma^{O}(s.sets_{*})^{strict}$, then

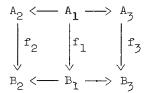
 $(\operatorname{sk}_{m}A)(n^{+}) \rightarrow (\operatorname{sk}_{m}B)(n^{+}) (\operatorname{resp.,} (\operatorname{csk}_{m}A)(n^{+}) \rightarrow (\operatorname{csk}_{m}B)(n^{+}))$

is a cofibration (resp., fibration) in $(s.sets_*)$ for all $m,n \ge 0$.

<u>Proof</u>. We treat the cofibration case and omit the similar proof of the fibration case. Assuming inductively that $(sk_{m-1} \stackrel{A}{\rightarrow} (n^{+}) \rightarrow (sk_{m-1} \stackrel{B}{\rightarrow} (n^{+}) \text{ is a cofibration, we will show that} (sk_{m} \stackrel{A}{\rightarrow} (n^{+}) \rightarrow (sk_{m} \stackrel{B}{\rightarrow} (n^{+}) \text{ is a cofibration. There is a push-out square}$

where S runs through the pointed subsets of n⁺ with exactly m nonbasepoint elements. Note that for n < m the sums on the left are trivial, and for $n \ge m$ the maps $\mathrm{sk}_{m-1}A(S) \to \mathrm{sk}_mA(S)$ are equivalent to the canonical maps $\mathrm{sk}_{m-1}A(m^+) \to A(m^+)$. The fact that $\mathrm{sk}_mA(n^+) \to \mathrm{sk}_mB(n^+)$ is a cofibration now follows from the following lemma applied to the natural map from the above push-out square to the analogous push-out square for B.

3.8. Reedy's patching lemma ([Reedy]). Let



be a diagram in a closed model category, e.g. (s.sets_{*}). If f_3 and $A_2 \xrightarrow[A_1]{} B_1 \rightarrow B_2$ are cofibrations (resp. trivial cofibrations), then $A_2 \xrightarrow[A_1]{} A_3 \rightarrow B_2 \xrightarrow[B_1]{} B_3$ is a cofibration (resp. trivial cofibration). This follows since the maps

$$\mathbb{A}_{2} \coprod_{\mathbb{A}_{1}} \mathbb{A}_{3} \xrightarrow{} \mathbb{A}_{2} \coprod_{\mathbb{A}_{1}} \mathbb{B}_{3} \stackrel{\thickapprox}{\sim} (\mathbb{A}_{2} \coprod_{\mathbb{A}_{1}} \mathbb{B}_{1}) \coprod_{\mathbb{B}_{1}} \mathbb{B}_{3} \xrightarrow{} \mathbb{B}_{2} \coprod_{\mathbb{B}_{1}} \mathbb{B}_{3}$$

are cofibrations (resp. trivial cofibrations). Of course, there is also a dual result.

We observe in passing that Theorem 3.5 is valid more generally for Γ -objects over certain other pointed model categories <u>C</u> besides (s.sets_{*}). To obtain such a generalization, one must be able to impose a suitable model category structure on the category $\Sigma_{n}\underline{C}$ of left Σ_{n} -objects over <u>C</u> for each $n \geq 1$. In general, this may not be feasible; however, in favorable cases (e.g., when <u>C</u> is Quillen's model category of pointed topological spaces [Quillen 1, II.3]), $\Sigma_{n}\underline{C}$ has a closed model category structure such that a map f in $\Sigma_{n}\underline{C}$ is a Σ_{n} -fibration if and only if f is a fibration in <u>C</u>, and f is a Σ_{n} -weak equivalence if and only if f is a weak equivalence in <u>C</u>. (The Σ_{n} -cofibrations are then determined by closure, and are

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cofibrations in <u>C</u>). In these favorable cases, one obtains a closed model category $\Gamma^0 C^{\text{strict}}$ as in Theorem 3.5.

Finally, we remark that $\Gamma^{0}(s.sets_{*})$ admits a second reasonable "strict" model category structure. This is obtained by [Bousfield-Kan, p. 314] and has weak equivalences (resp. fibrations) given by the termwise weak equivalences (resp. fibrations). However, our version seems to be more useful in applications and allows the symmetric groups to play a more explicit role.

§4. The construction of homology theories from r-spaces

In this section we give an exposition, and slight generalization, of some results of [Anderson] and [Segal 1]. In particular, we show that a r-space A induces a generalized homology theory $h_*(; A)$ which can be directly computed when A is "(very) special" by using A as a chain functor. The constructions and proofs in this section will be used in §5 to compare r-spaces with spectra and to develop the "stable" model category structure for r-spaces.

We begin by showing that a Γ -space A: $\Gamma^{O} \rightarrow (s.sets_{*})$ prolongs successively to functors A: $(sets_{*}) \rightarrow (s.sets_{*})$, A: $(s.sets_{*}) \rightarrow (s.sets_{*})$, and A: $(spectra) \rightarrow (spectra)$. For $W_{\varepsilon}(sets_{*})$ define A(W) $_{\varepsilon}(s.sets_{*})$ by

$$A(W) = \operatorname{colim}_{V \in W} A(V) \cdot V_{CW}$$

$$V \in \Gamma^{O}$$

For $K_{\boldsymbol{\varepsilon}}(s.sets_*)$ define $AK_{\boldsymbol{\varepsilon}}(s.sets_*)$ by $(AK)_n = (AK_n)_n$ for $n \ge 0$ with the obvious face and degeneracy operators. Thus AK is the diagonal of the bisimplicial set $(AK_*)_*$, cf. Appendix B. In order to prolong A to spectra, note that for $K, L_{\boldsymbol{\varepsilon}}(s.sets_*)$ there is a natural simplicial map $L \land AK \rightarrow A(L \land K)$ sending $x \land y_{\boldsymbol{\varepsilon}}L_n \land (AK_n)_n$ to the image of y under the map $A(x \land _)_n$: $A(K_n)_n \rightarrow A(L_n \land K_n)$. Now for $X_{\boldsymbol{\varepsilon}}(spectra)$

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define $AX_{\epsilon}(spectra)$ by $(AX)^n = A(X^n)$ with the obvious structural maps

$$\mathrm{S}^{1} \wedge \overset{\mathrm{A}}{\underset{\sim}{}}(\overset{\mathrm{X}^{n})}{\underset{\sim}{}} \xrightarrow{\mathrm{A}}(\mathrm{S}^{1} \wedge \overset{\mathrm{X}^{n})}{\underset{\sim}{}} \xrightarrow{\mathrm{A}}(\overset{\mathrm{X}^{n+1}}{\underset{\sim}{}}).$$

Finally, for $K, L_{\varepsilon}(s.sets_*)$ and $X_{\varepsilon}(spectra)$, there are pairings

$$(AK) \land L \rightarrow A(K \land L) \epsilon(s.sets_*)$$

$$(AX) \land L \rightarrow A(X \land L) \epsilon(spectra)$$

whose definitions are now obvious. In particular, A preserves the simplicial homotopy relation for maps in (s.sets_{*}) and (spectra).

A T-space A determines a spectrum AS where S is the sphere spectrum, and we let $h_*(; A)$ be the associated homology theory, i.e. $\tilde{h}_*(K; A) = \pi_*(AS) \wedge K$ for $K_{\epsilon}(s.sets_*)$. An alternative construction of $\tilde{h}_*(K; A)$ is given by

Lemma 4.1. If A is a r-space and $K_{\varepsilon}(s.sets_{*})$, then the map (AS) $\land K \rightarrow A(S \land K)$ is a stable weak equivalence, cf. 2.3, and thus

$$\tilde{h}_{*}(K; A) \approx \operatorname{colim}_{n} \pi_{*+n} A(S^{n} \wedge K).$$

The proof is in 4.8. To give an even more direct construction of $\tilde{h}_{*}(K; A)$, we must put conditions on A. A Γ -space A is <u>special</u> if the obvious map $A(V \lor W) \rightarrow AV \times AW$ is a weak equivalence for $V, W \in \Gamma^{O}$. This is equivalent to requiring that for $n \ge 1$ the map

$$\overset{A(\mathbf{p}_1)}{\sim} \times \cdots \times \overset{A(\mathbf{p}_n)}{\sim} \overset{A(\mathbf{n}^+)}{\sim} \overset{A(\mathbf{1}^+)}{\sim} \times \cdots \times \overset{A(\mathbf{1}^+)}{\sim}$$

is a weak equivalence where $p_i: n^+ \rightarrow l^+$ is defined by $p_i(i) = l$ and $p_i(j) = 0$ for $j \neq i$. For A special, $\pi_0 A(l^+)$ is an abelian monoid

with multiplication

$$\pi_{O_{\boldsymbol{\alpha}}^{\mathsf{A}}}(1^{+}) \times \pi_{O_{\boldsymbol{\alpha}}^{\mathsf{A}}}(1^{+}) < \underbrace{(\mathfrak{p}_{1})_{\star} \times (\mathfrak{p}_{2})_{\star}}{\overline{z}} \pi_{O_{\boldsymbol{\alpha}}^{\mathsf{A}}}(2^{+}) \xrightarrow{\boldsymbol{\mu}_{\star}} \pi_{O_{\boldsymbol{\alpha}}^{\mathsf{A}}}(1^{+})$$

where $\mu: 2^+ \rightarrow 1^+$ is defined by $\mu(0) = 0$, $\mu(1) = 1$, and $\mu(2) = 1$. A Γ -space A is <u>very special</u> if A is special and $\pi_0 A(1^+)$ is an abelian group.

The following theorem shows that a very special r-space can be used as a chain functor.

<u>Theorem 4.2</u>. (cf. [Anderson, p. 3], [Segal, 1, 1.4]). If A is a very special r-space and $K_{\epsilon}(s.sets_{*})$, then $A(S \wedge K)$ is an Ω -spectrum and $\tilde{h}_{*}(K; A) \approx \pi_{*}AK$.

This is an easy consequence of 4.1 and

Lemma 4.3. If A is a very special Γ -space and $L \subset K_{\mathfrak{e}}(s.sets_*)$, then

is a homotopy fibration, i.e. AK maps by a weak equivalence to the homotopy theoretic fibre of AK \rightarrow A(K/L).

Proof. It suffices to show that the bisimplicial square

$$(\operatorname{AL}_{*})_{*} \rightarrow (\operatorname{AK}_{*})_{*}$$

$$\bigcup_{* \longrightarrow } \operatorname{A}(\operatorname{K}_{*}/\operatorname{L}_{*})$$

satisfies the hypotheses of Theorem B.4. The termwise homotopy fibre square condition follows since A is special. The remaining

conditions follow by B.3.1, because the maps

$$\begin{aligned} \pi^{\mathbf{v}}_{\mathbf{O}}(\mathbf{A}\mathbf{K}_{\star})_{\star} &\to \pi^{\mathbf{v}}_{\mathbf{O}}\mathbf{A}(\mathbf{K}_{\star}/\mathbf{L}_{\star})_{\star} \\ \pi^{\mathbf{v}}_{\mathbf{t}}((\mathbf{A}\mathbf{K}_{\star})_{\star})_{\texttt{free}} &\to \pi^{\mathbf{v}}_{\mathbf{O}}(\mathbf{A}\mathbf{K}_{\star})_{\star} \quad \texttt{for } \mathbf{t} \geq 1 \\ \pi^{\mathbf{v}}_{\mathbf{t}}(\mathbf{A}(\mathbf{K}_{\star}/\mathbf{L}_{\star})_{\star})_{\texttt{free}} &\to \pi^{\mathbf{v}}_{\mathbf{O}}\mathbf{A}(\mathbf{K}_{\star}/\mathbf{L}_{\star})_{\star} \quad \texttt{for } \mathbf{t} \geq 1 \end{aligned}$$

are fibrations since they are surjective homomorphisms of simplicial groups.

We now wish to generalize Theorem 4.2 to the case of a Γ -space A which is merely special. For such A, the map $A(K \lor L) \rightarrow AK \times AL$ is a weak equivalence for $K, L_{\epsilon}(s.sets_*)$ by B.2. Thus $\pi_{O_{\epsilon}}^{AK}$ is an abelian monoid with multiplication given by

$$\pi_{O_{\sim}^{AK}} \times \pi_{O_{\sim}^{AK}} < \xrightarrow{\sim} \pi_{O_{\sim}^{A}} (K \lor K) \xrightarrow{\mu_{*}} \pi_{O_{\sim}^{AK}}$$

where μ : K \vee K \rightarrow K is the folding map.

<u>Theorem 4.4</u> (cf. [Segal, 1.4]). Let A be a special r-space and $K_{\epsilon}(s.sets_{*})$. Then $A(S \wedge K)$ is an Ω -spectrum above its Oth term and thus $\tilde{h}_{*}(K; A) \approx \pi_{*+1}A(S^{1} \wedge K)$. If $\pi_{O}AK$ is an abelian group, then $A(S \wedge K)$ is an Ω -spectrum and thus $\tilde{h}_{*}(K; A) \approx \pi_{*}AK$.

<u>Proof</u>. Let <u>B</u> be the <u>r</u>-space with $B(n^+) = A(n^+ \wedge S^1 \wedge K)$ for $n \ge 0$, and note that <u>B</u> is very special. Hence <u>BS</u> is an <u>0</u>-spectrum by 4.2, and the first statement follows since <u>BS</u> gives the portion of $A(S \wedge K)$ above its O^{th} term. The second statement follows similarly using the <u>r</u>-space <u>C</u> with $C(n^+) = A(n^+ \wedge K)$.

We now turn to the proof of Lemma 4.1 which asserts that the map (AS) $\land K \rightarrow A(S \land K)$ is a stable weak equivalence. Although our proof is somewhat indirect, it allows us to introduce some notions needed in §5. It is based on the following general criterion.

Lemma 4.5. In a closed simplicial model category C, e.g. (spectra)^{stable}, a map f: A \rightarrow B between cofibrant objects is a weak equivalence $\langle \Longrightarrow \rangle$ f*: HOM(B,X) \rightarrow HOM(A,X) is a weak equivalence in (s.sets) for all fibrant X₆C.

<u>Proof</u>. f is a weak equivalence $\langle \Longrightarrow \rangle$ f*: [B,X] \approx [A,X] for all fibrant X_€C $\langle \Longrightarrow \rangle$ f*: [B,X^K] \approx [A,X^K] for all K_€(s.sets) and fibrant X_€C $\langle \Longrightarrow \rangle$ f*: [K,HOM(B,X)] \approx [K,HOM(A,X)] for all K_€(s.sets_{*}) and fibrant X_€C $\langle \Longrightarrow \rangle$ f*: HOM(B,X) \rightarrow HOM(A,X) is a weak equivalence for all fibrant X_€C.

To effectively apply 4.5 in our case we need an adjointness lemma. For $X, Y_{\epsilon}(spectra)$ define a r-space $\Phi(X, Y)$ by

$$\Phi(\mathbf{X},\mathbf{Y})(\mathbf{V}) = \operatorname{HOM}_{(\operatorname{spectra})}(\mathbf{X}^{\mathbf{V}},\mathbf{Y})$$

for $V_{\mathbf{f}}\Gamma^{\mathbf{O}}$ where $X^{\mathbf{V}} = X \times \cdots \times X_{\mathbf{f}}$ (spectra) is the product of copies of X indexed by the non-basepoint elements in V.

Lemma 4.6. For $X, Y_{\epsilon}(\text{spectra})$ and $A_{\epsilon}\Gamma^{O}(\text{s.sets}_{*})$, there is a natural simplicial isomorphism

$$\underset{\sim}{\text{HOM}} (\text{spectra}) \xrightarrow{(AX,Y)} \underset{\sim}{\approx} \underset{\Gamma}{\text{HOM}} (\text{s.sets}_*) \xrightarrow{(A,\Phi(X,Y))} \underset{\sim}{(A,\Phi(X,Y))}$$

<u>Proof</u>. For a functor T: $\Gamma^{0} \rightarrow (\text{sets}_{*})$ with $T(0^{+}) = *$ and $W_{\epsilon}(\text{sets}_{*})$, there is a natural isomorphism

$$(\coprod_{n\geq 0} \operatorname{W}^{n^+} \wedge \operatorname{Tn}^+) /_{\sim} \xrightarrow{\mathbf{z}} \overline{\operatorname{TW}}$$

where

$$\overline{T}(W) = \operatorname{colim} T(V)$$

$$V \in W$$

$$V \in \Gamma^{O}$$

and where ~ is the equivalence relation generated by setting $\omega_*(x) \sim \omega^*(x)$ for each ω : $m^+ \rightarrow n^+$ in Γ^0 and each $x_{\varepsilon} W^{n^+} \wedge Tm^+$ using

$$\mathbf{W}^{\mathbf{m}^+} \wedge \mathbf{T} \mathbf{m}^+ < \underline{-\boldsymbol{\omega}^*} \quad \mathbf{W}^{\mathbf{n}^+} \wedge \mathbf{T} \mathbf{m}^+ \xrightarrow{-\boldsymbol{\omega}_*} \mathbf{W}^{\mathbf{n}^+} \wedge \mathbf{T} \mathbf{n}^+.$$

Thus there is a natural isomorphism

AX
$$\approx (\coprod_{n>0} \chi^{n^+} \wedge A(n^+)) / \sim \epsilon (\text{spectra})$$

and the lemma follows easily.

To prove 4.1 using 4.5, we need a final technical lemma which will also be used in §5.

Lemma 4.7. Let f: $B \rightarrow C$ be a map of Γ -spaces, and let X be a spectrum. Then:

(i) If f is a strict weak equivalence, then so is $f_*: BX \to CX$.

(ii) If f: $B(n^+) \rightarrow C(n^+)$ is an injection for each $n \ge 0$, then $f_*: BX \rightarrow CX$ is a strict cofibration.

(iii) If X is strictly cofibrant and g: $Y \rightarrow Z$ is a strict fibration of spectra, then $g_*: \Phi(X,Y) \rightarrow \Phi(X,Z)$ is a strict fibration.

<u>Proof.</u> Part (i) follows from B.2, and (ii) is reasonably straightforward. For (iii), it suffices to show that g_* has the right lifting property for each strict trivial cofibration f: $B \rightarrow C$ of Γ -spaces. This follows from 4.6 using (i) and (ii).

4.8. Proof of 4.1. By 4.7(i) we can assume A is a strictly

cofibrant Γ -space. To show (AS) $\wedge K \rightarrow A(S \wedge K)$ is a stable weak equivalence, it suffices by 4.5, 4.6, and 4.7(ii) to show that the map

$$HOM(\underline{A}, \Phi(\underline{S} \land K, \underline{X})) \approx HOM(\underline{A}(\underline{S} \land K), \underline{X})$$
$$\longrightarrow HOM((\underline{A}\underline{S}) \land K, \underline{X}) \approx HOM(\underline{A}, \Phi(\underline{S}, \underline{X}^{K}))$$

is a weak equivalence for each stably fibrant spectrum X. Now $\Phi(S_{\Lambda}K,X)$ and $\Phi(S_{\Lambda}X^{K})$ are strictly fibrant by 4.7(iii), and it suffices by the dual of 4.5 to show that the map $\Phi(S_{\Lambda} K,X) \rightarrow \Phi(S_{\Lambda}X^{K})$ is a strict weak equivalence. This follows by 4.5 since the maps

$$(\overset{S}{\underset{\sim}{\sim}} \times \cdots \times \overset{S}{\underset{\sim}{\sim}}) \land K \rightarrow (\overset{S}{\underset{\sim}{\sim}} \land K) \times \cdots \times (\overset{S}{\underset{\sim}{\sim}} \land K) \epsilon (\text{spectra})^{\text{stable}}$$

are weak equivalence's of cofibrant objects.

We conclude this section by noting that the functor A: $(s.sets_*) \rightarrow (s.sets_*)$ has homotopy theoretic significance even when ~ A is not special.

<u>Proposition 4.9</u>. For $A_{\epsilon}\Gamma^{O}(s.sets_{*})$, if f: $K \rightarrow L_{\epsilon}(s.sets_{*})$ is a weak equivalence then so is Af: $AK \rightarrow AL$. Thus A induces a functor

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HoA: Ho(s.sets<sub>*</sub>) \rightarrow Ho(s.sets<sub>*</sub>).
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The proof is very similar to that of 4.1.

<u>Corollary 4.10</u>. For $A_{\mathfrak{C}}\Gamma^{O}(s.sets_{*})$, if $K_{\mathfrak{C}}(s.sets_{*})$ is n-connected for some $n \geq 0$ then so is AK.

<u>Proof</u>. This is clear when $K_i = *$ for $i \le n$, and the general case now follows by 4.9.

\$5. The stable homotopy theory of r-spaces

Following Graeme Segal, we will show that the strict homotopy category of very special Γ -spaces is equivalent to the stable homotopy category of connective spectra. Then we will develop a "stable" model category structure for Γ -spaces such that the associated homotopy category is equivalent to that of connective spectra.

By 4.6 there are functors

$$(-)$$
: $\Gamma^{O}(\text{s.sets}_{*}) \xrightarrow{} (\text{spectra}): \Phi(S,-)$

with (-)S left adjoint to $\Phi(S, -)$; indeed, there is a natural isomorphism

$$HOM(AS,X) \approx HOM(A, \Phi(S,X))$$

for a Γ -space A and spectrum X. By 4.7 and the dual of 4.5, (-)S preserves weak equivalences and cofibrations in $\Gamma^{O}(s.sets_{*})^{strict}$, while $\phi(S,-)$ preserves weak equivalences between fibrant objects and fibrations in (spectra)^{strict}. Thus by [Quillen 1, I.4], there are induced adjoint functors

$$L^{\text{strict}}: \text{ Hor}^{O}(\text{s.sets}_{*})^{\text{strict}} \xrightarrow{} \text{Ho}(\text{spectra})^{\text{strict}}: R^{\text{strict}}$$

where $L^{\text{strict}}(\underline{A}) = \underline{AS}$ for $\underline{A} \in \operatorname{Hor}^{O}(s.\operatorname{sets}_{*})^{\text{strict}}$ and $\mathbb{R}^{\text{strict}}(\underline{X}) = \Phi(\underline{S},\underline{X}')$ for $\underline{X} \in \operatorname{Ho}(\operatorname{spectra})^{\text{strict}}$ where $\underline{X} \to \underline{X}'$ is a strict weak equivalence with \underline{X}' strictly fibrant. Now let

denote the full subcategories given by the very special r-spaces and

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the connective Ω -spectra respectively, where a spectrum X is called connective if $\pi_{1X} = 0$ for i < 0.

<u>Theorem 5.1</u>. (cf. [Anderson, pp. 4,5], [Segal 1, 1.4]). The adjoint functors L^{strict} and R^{strict} restrict to adjoint equivalences

 $\texttt{L}^{\texttt{strict}}: \ \texttt{Ho}(\texttt{v.s. Γ-spaces})^{\texttt{strict}} \xleftarrow{} \texttt{Ho}(\texttt{c. n-spectra})^{\texttt{strict}}: \ \texttt{R}^{\texttt{strict}}.$

Moreover, Ho(c. **n**-spectra)^{strict} is equivalent to the usual homotopy category of connective spectra.

<u>Proof</u>. The first statement is proved by combining the four facts below, and the last follows from 2.4. If A is a very special Γ -space, then AS is a connective Ω -spectrum by 4.2 and 4.10. If X is a strictly fibrant Ω -spectrum, then $\Phi(S,X)$ is a very special Γ -space by 4.5 since the maps $S \lor \cdots \lor S \to S \times \cdots \times S$ are weak equivalences in (spectra) stable. If A is a very special Γ -space and $AS \to X$ is a strict weak equivalence with X strictly fibrant, then the natural map $A \to \Phi(S,X)$ is a strict weak equivalence, because both A and $\Phi(S,X)$ are very special and the map

$$(AS)^{\circ} = A(1^+) \rightarrow \Phi(S,X)(1^+) = X^{\circ}_{\epsilon}(s.sets_*)$$

is a weak equivalence. Similarly, if $A \to \Phi(S, X)$ is a strict weak equivalence for some strictly fibrant connective Ω -spectrum X, then the natural map AS $\to X$ is a weak equivalence.

We now wish to use our strict homotopy theory of r-spaces to build a corresponding stable theory, just as we previously used our strict homotopy theory of spectra to build a stable theory in 2.3.

Theorem 5.2. The category of r-spaces becomes a closed

simplicial model category (denoted $\Gamma^{O}(s.sets_{*})^{stable}$) when provided with the following additional structure: a map f: $A \rightarrow B_{\epsilon}\Gamma^{O}(s.sets_{*})$ is called a <u>stable weak equivalence</u> if $f_{*}: \pi_{*}AS \approx \pi_{*}BS$; f: $A \rightarrow B$ is called a <u>stable cofibration</u> if it is a strict cofibration; and f: $A \rightarrow B$ is called a <u>stable fibration</u> if it has the right lifting property for the stable trivial cofibrations.

Following the proof we will say more about stable fibrations in 5.7. Our proof will rely on the formal machinery developed in Appendix A. Let Q: (spectra) \rightarrow (spectra) and η : 1 \rightarrow Q be such that, for each spectrum X, η_X : X \rightarrow QX is a stable weak equivalence and QX is a stably fibrant spectrum, cf. §2. Now define $T: \Gamma^{O}(s.sets_{*}) \rightarrow \Gamma^{O}(s.sets_{*}) \text{ by } TA = \Phi(S,QAS) \text{ and let } \eta: l \rightarrow T \text{ be the } the$ canonical transformation. Note that for each r-space A, η_A : A \rightarrow TA is a stable weak equivalence and TA is strictly fibrant and very special. Using the terminology of Appendix A, the T-equivalences, T-cofibrations, and T-fibrations in $\Gamma^{O}(s.sets_{*})^{strict}$ are the same as the stable weak equivalences, stable cofibrations, stable fibrations, respectively. Moreover, for $\eta: 1 \rightarrow T$, the conditions (A.4) and (A.5) clearly hold although (A.6) doesn't, cf. 5.7. Thus by A.8(i) all the closed model category axioms hold in $\Gamma^{0}(s.sets_{*})^{stable}$ except possibly for the "trivial cofibration, fibration" part of CM5. To verify an important case of that part, we use the following substitute for (A.6).

Lemma 5.3. For a pull-back square

$$\begin{array}{c}
 A \xrightarrow{h} \\
 X \\
 \downarrow \\
 J \\
 B \xrightarrow{k} \\
 Y
 I$$

in $\Gamma^{O}(s.sets_{*})$, suppose j is a strict fibration with X and Y very special and with

$$\pi_{O_{\boldsymbol{\alpha}}^{\mathsf{X}}}(\mathtt{l}^{+}) \stackrel{\boldsymbol{\alpha}}{\twoheadrightarrow} \pi_{O_{\boldsymbol{\alpha}}^{\mathsf{X}}}(\underline{\mathtt{s}}) \xrightarrow{\mathtt{j}_{\boldsymbol{\ast}}} \pi_{O_{\boldsymbol{\alpha}}^{\mathsf{Y}}}(\underline{\mathtt{s}}) \stackrel{\boldsymbol{\alpha}}{\twoheadrightarrow} \pi_{O_{\boldsymbol{\alpha}}^{\mathsf{Y}}}(\mathtt{l}^{+})$$

onto. If k is a stable weak equivalence, then so is h.

<u>Proof</u>. For $K_{\boldsymbol{\varepsilon}}(s.sets_{\star})$ consider the induced square

$$\begin{array}{c} A(K_{\star})_{\star} \rightarrow X(K_{\star})_{\star} \\ \hline \\ \downarrow \\ B(K_{\star})_{\star} \rightarrow Y(K_{\star})_{\star} \end{array}$$

of bisimplicial sets. As in the proof of 4.3, $\chi(K_*)_*$ and $\Upsilon(K_*)_*$ satisfy the π_* -Kan condition and $j_*: \pi_0^V \chi(K_*)_* \to \pi_0^V \Upsilon(K_*)_*$ is a fibration. Thus by B.4

is a homotopy fibre square in (s.sets_{*}), and the lemma follows easily. Now using 5.3 in place of (A.6), the argument in A.10 shows that if f: $X \rightarrow Y$ is a map in $\Gamma^{O}(s.sets_{*})$ with $f_{*}: \pi_{O}X(S) \rightarrow \pi_{O}Y(S)$ onto, then f can be factored as f = pi where i is a stable trivial cofibration and p is a stable fibration. The following lemma will complete the proof of <u>CM5</u>, and Theorem 5.2 will then follow using the criterion SM7(b) of [Quillen 1, II.2].

Lemma 5.4. Each map f: $A \to B$ in $\Gamma^{O}(s.sets_{*})$ can be factored as $A \xrightarrow{u} C \xrightarrow{v} B$ where $u_{*}: \pi_{O}AS \to \pi_{O}CS$ is onto and v is a stable fibration. To prove this (in 5.6) we will first show that the functor

$$\pi_{O}(-)(S): \Gamma^{O}(s.sets_{*}) \rightarrow (ab. gps.)$$

has a right adjoint. For an abelian group M, let M be the usual very special Γ -space such that $M(V)_n = M^V$ for $V_{\boldsymbol{\varepsilon}} \Gamma^O$ and $n \geq 0$, where M^V is the product of copies of M indexed by the non-basepoint elements of V. Clearly MS is an Eilenberg-MacLane spectrum of type (M,O), and we identify π_{OMS} with M.

Lemma 5.5. For a r-space A and an abelian group M, the obvious ~ map

$$\underset{\Gamma^{O}(\text{s.sets}_{*})}{\overset{(A,M)}{\rightarrow}} \xrightarrow{\text{Hom}} (ab. gps.) \xrightarrow{(\pi_{O}^{AS},M)}$$

is a bijection.

<u>Proof</u>. In $\Gamma^{O}(s.sets_{*})$, let $B \to A$ be a strict weak equivalence with B strictly cofibrant. In the square

$$\begin{array}{cccc} \operatorname{Hom} & & (A,M) \to \operatorname{Hom}(\operatorname{ab. gps.}) & (\pi_{O_{\bullet\bullet\bullet}}^{AS,M}) \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ \operatorname{Hom} & & & \\ & & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & &$$

the right map is bijective since $\pi_0 AS \approx \pi_0 BS$, and the left map is bijective since

$$\operatorname{Hom}_{(\operatorname{s.sets}_{\ast})} (\operatorname{A}(n^{+}), \operatorname{M}(n^{+})) \approx \operatorname{Hom}_{(\operatorname{sets}_{\ast})} (\pi_{O_{\ast}}^{A}(n^{+}), \operatorname{M}^{n^{+}})$$

$$= \operatorname{Hom}_{(\operatorname{sets}_{*})}(\pi_{O_{*}^{\mathsf{B}}}(n^{+}), M^{n^{+}}) \approx \operatorname{Hom}_{(\operatorname{s.sets}_{*})}(\underline{\mathbb{B}}(n^{+}), \underline{\mathbb{M}}(n^{+})).$$

The lemma now follows since the bottom map of the square is a composite of bijections

$$\operatorname{Hom}(\operatorname{B},\operatorname{M}) \xrightarrow{\boldsymbol{z}} \pi_{O}\operatorname{HOM}(\operatorname{B},\operatorname{M}) \xrightarrow{\boldsymbol{z}} \eta_{O}\operatorname{HOM}(\operatorname{B}, \Phi(\operatorname{S},\operatorname{MS}))$$
$$\xrightarrow{\boldsymbol{z}} \pi_{O}\operatorname{HOM}(\operatorname{BS},\operatorname{MS}) \xrightarrow{\boldsymbol{z}} \eta_{O}\operatorname{HOM}(\pi_{O}\operatorname{BS},\operatorname{M})$$

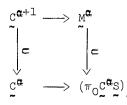
)

where 1 holds by 5.1 and the dual of 4.5, and 2 holds since π_0 HOM(BS,MS) is the set of homotopy classes from the connective spectrum BS to the Eilenberg-MacLane spectrum MS in (spectra)^{stable}.

<u>5.6. Proof of 5.4</u>. It will suffice to inductively construct a descending sequence of **r**-spaces

$$B = C^{0} \supset C^{1} \supset C^{2} \supset \cdots \supset C^{\alpha} \supset \cdots$$

indexed by the ordinal numbers and such that: $f(A) \in C^{\mathfrak{a}}$ for all \mathfrak{a} ; the inclusion $C^{\mathfrak{a}} \xrightarrow{\mathbf{C}} B$ is a stable fibration for all \mathfrak{a} ; and, for sufficiently large \mathfrak{a} , $C^{\mathfrak{a}} = C^{\mathfrak{a}+1}$ and $f_*: \pi_0 AS \rightarrow \pi_0 C^{\mathfrak{a}}S$ is onto. Given $C^{\mathfrak{a}} \in B$ with $f(A) \in C^{\mathfrak{a}}$, define $C^{\mathfrak{a}+1} \in B$ by the pull-back



where $M^{\mathbf{a}}$ is the image of $f_*: \pi_0 \overset{AS}{\underset{\sim}{\sim}} \rightarrow \pi_0 \overset{C^{\mathbf{a}}}{\underset{\sim}{\sim}}^{\mathbf{a}}$ and where the bottom map corresponds via 5.5 to the identity on $\pi_0 \overset{C^{\mathbf{a}}}{\underset{\sim}{\sim}}^{\mathbf{c}}$. Note that $C^{\mathbf{a}+1} \xrightarrow{\mathbf{c}} C^{\mathbf{a}}$ is a stable fibration because $M^{\mathbf{a}} \xrightarrow{\mathbf{c}} (\pi_0 \overset{\mathbf{c}}{\underset{\sim}{\sim}})_{}$ is one by an argument using 5.5, and note that $f(A) \stackrel{\mathbf{c}}{\underset{\sim}{\sim}} C^{\mathbf{a}+1}$. Given a limit ordinal λ and given $C^{\mathbf{a}} \stackrel{\mathbf{c}}{\underset{\sim}{\sim}}$ B with $f(A) \stackrel{\mathbf{c}}{\underset{\sim}{\sim}} C^{\mathbf{a}}$ for all $\mathbf{a} < \lambda$, define $C^{\lambda} \in B$ by $C^{\lambda} = \bigcap_{\alpha \leq \lambda} C^{\alpha}$, and note that $f(A) \in C^{\alpha}$. This completes the inductive construction of $\{C^{\alpha}\}$, and the desired properties are easily verified.

This concludes the proof of Theorem 5.2 and we next discuss

5.7. Stable fibrations of $\underline{\Gamma}$ -spaces. By A.9, a sufficient condition for a $\underline{\Gamma}$ -space map f: $\underline{A} \rightarrow \underline{B}$ to be a stable fibration is that f be a strict fibration and that

$$\begin{array}{c} A \xrightarrow{n} TA \\ \downarrow f \\ B \xrightarrow{n} TB \end{array}$$

be a homotopy fibre square in $\Gamma^{0}(s.sets_{*})^{strict}$. When $f_{*}: \pi_{0} \xrightarrow{AS} \rightarrow \pi_{0} \xrightarrow{BS}$ is onto, this condition is also necessary by the argument of A.lO; but it is not always necessary. To give an example, we first note that an abelian monoid M determines a Γ -space M with $M(V)_{n} = M^{V}$ for $V_{\epsilon}\Gamma^{0}$ and $n \geq 0$. Letting \overline{M} denote the universal abelian group generated by M, we note that the Γ -space map $M \rightarrow \overline{M}$ is a stable weak equivalence, because $\pi_{*}MS^{n} \xrightarrow{\sim} \pi_{*}\overline{M}S^{n}$ for $n \geq 1$ by [Spanier, Corollary 5.7]. Now let M be the abelian monoid given by

$$M = \{n_{\boldsymbol{\epsilon}} \mathbb{Z} \mid n > 0\} \cup \{0'\}$$

with the usual addition for the non-negative integers and with 0' + 0' = 0, 0' + 0 = 0', 0' + n = n for $n \ge 1$. Note that $\overline{M} = Z$, and let $D = \{0,0'\} \subset M$. Using the pull-back square



in $\Gamma_0(s.sets_*)$, one sees that $D \xrightarrow{c} M$ is a stable fibration although it doesn't satisfy the sufficient condition mentioned above. Since $M \rightarrow Z$ is a stable weak equivalence and $D \rightarrow 0$ is not, this square also shows that (A.6) fails in our Γ -space context.

For the adjoint functors

$$(-)$$
 S: $\Gamma^{O}(s.sets_{*}) \xrightarrow{} (spectra): \Phi(S,-)$

it is now easy to verify that (-)S preserves weak equivalences and cofibrations in $\Gamma^{0}(s.sets_{*})^{stable}$, while $\Phi(S,-)$ preserves weak equivalences between fibrant objects and fibrations in $(spectra)^{stable}$. Thus by [Quillen 1, I.4] there are induced adjoint functors

L^{stable}: Hor⁰(s.sets_{*})^{stable}
$$\xrightarrow{}$$
 Ho(spectra)^{stable}: R^{stable}

and we let

$$Ho(c.spectra)^{stable} c Ho(spectra)^{stable}$$

denote the full subcategory given by the connective spectra. It is now easy to prove

<u>Theorem 5.8</u>. The adjoint functors L^{stable} and R^{stable} restrict to adjoint equivalences

$$L^{stable}: Hor^{O}(s.sets_{*})^{stable} \xrightarrow{} Ho(c.spectra)^{stable}: R^{stable}$$

Thus the stable homotopy category of Γ -spaces is equivalent to the usual connective homotopy category of spectra. Moreover, it is easy to show that T induces an equivalence

$$Hor^{O}(s.sets_{*})^{stable} \xrightarrow{\simeq} Ho(v.s. r-spaces)^{strict}$$

just as Q induced an equivalence

$$Ho(spectra) \xrightarrow{stable} \xrightarrow{z} Ho(\Omega-spectra) \xrightarrow{strict}$$

in 2.4.

Appendix A. Proper closed model categories

In this appendix we outline some formal results on proper closed model categories (cf. 1.2) which we use in §§2,5 to pass from our "strict" to our "stable" model category structures on spectra and T-spaces. Some familiar examples of proper closed model categories are the (pointed) simplicial sets, (pointed) topological spaces, and simplicial groups, all equipped with the standard model structures ([Quillen 1, II.3]); however, as noted in [Quillen 2, p. 241], some closed model categories are not proper.

Our first result may be viewed as a generalization of the factorization axiom CM5 (see 1.1).

<u>Proposition A.1</u>. Let \mathcal{C} be a proper closed model category and let f: X \rightarrow Y in C. For each factorization [f] = vu in HoC there is a factorization f = ji in C such that i is a cofibration, j is a fibration, and the factorization [f] = [j][i] is equivalent to [f] = vu in HoC (i.e. there exists an isomorphism w in HoC such that wu = [i] and [j]w = v.)

<u>Proof</u>. First suppose X is cofibrant and Y is fibrant. Then choose a fibrant-cofibrant object $W_{\mathfrak{c}}\mathfrak{C}$ and maps $X \xrightarrow{\mathfrak{a}} W \xrightarrow{\mathfrak{b}} Y$ in \mathfrak{C} such that $[f] = [\mathfrak{g}][\mathfrak{a}]$ and such that this factorization is equivalent to [f] = vu in Ho \mathfrak{c} . Using <u>CM5</u> and the homotopy extension theorem ([Quillen, HA, Ch. I, p. 1.7]), one then constructs the desired factorization f = ji. In the general case, choose weak equivalences s: X' \rightarrow X and t: Y \rightarrow Y' with X' cofibrant and Y' fibrant. Then apply the special case to give a factorization $fs = \mathfrak{g}_{\mathfrak{O}}$ where \mathfrak{o} is a cofibration, \mathfrak{g} is a fibration, and the factorization $[f] = ([t]^{-1}[\mathfrak{g}])([\mathfrak{o}][s]^{-1})$ is equivalent to [f] = vu in Ho \mathfrak{c} . Now, using the properness of \mathfrak{C} and <u>CM5</u>, it is not hard to construct the desired factorization of f.

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A.2. Homotopy fibre squares. In a proper closed model category **C**, a commutative square

$$\begin{array}{c} A \longrightarrow C \\ \downarrow \\ \downarrow \\ B \xrightarrow{\mathbf{v}} D \end{array}$$

is a <u>homotopy fibre square</u> if for some factorization $C \xrightarrow{i} W \xrightarrow{p} D$ of w with i a weak equivalence and p a fibration, the map $A \rightarrow B \times_D W$ is a weak equivalence. This easily implies that for any factorization $B \xrightarrow{j} V \xrightarrow{q} D$ of v with j a weak equivalence and q a fibration, the map $A \rightarrow V \times_D C$ is a weak equivalence. Thus in our definition we could have replaced "some" by "any" or used v in place of w. It is not hard to verify the following expected results. In a commutative diagram

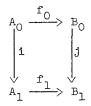
$$\begin{array}{c|c} A \longrightarrow C \longrightarrow E \\ & & \\ & I & II \\ & & \\ B \longrightarrow D \longrightarrow F. \end{array}$$

if I and II are homotopy fibre squares, so is the combined square III; and if II and III are homotopy fibre squares, so is I. If a map between homotopy fibre squares has weak equivalences at the three corners away from the upper left, then it has a weak equivalence at the upper left. A retract of a homotopy fibre square is a homotopy fibre square.

Although it does not depend on properness, we also need.

A.3. The model category c^{Pairs} . Let c be a closed model category, and let c^{Pairs} be the category whose objects are the maps in c

and whose maps are commutative squares in ${f C}$. A map



from i to j in $\mathbb{C}^{\text{Pairs}}$ will be called a <u>weak equivalence</u> (resp. <u>fibration</u>) if f_0 and f_1 are weak equivalences (resp. fibrations), and a <u>cofibration</u> if $f_0: A_0 \to B_0$ and $(f_1, j): A_1 \coprod_{A_0} B_0 \to B_1$ are cofibrations. (This implies that $f_1: A_1 \to B_1$ is also a cofibration.) One easily shows that $\mathbb{C}^{\text{Pairs}}$ is a closed model category which is proper if \mathbb{C} is proper.

We now develop the machinery which allows us to pass from our "strict" to our "stable" model category structures on spectra and Γ -spaces. Let \mathbb{C} be a proper closed model category, let $Q: \mathbb{C} \to \mathbb{C}$ be a functor, and let $\eta: 1 \to Q$ be a natural transformation. A map f: $X \to Y$ in \mathbb{C} will be called a Q-<u>equivalence</u> if Qf: $QX \to QY$ is a weak equivalence, a Q-<u>cofibration</u> if f is a cofibration, and a Q-<u>fibration</u> if the filler exists in each commutative diagram



where i is a Q-cofibration and Q-equivalence. We wish to show that \mathbf{C}^{Q} is a proper closed model category, where \mathbf{C}^{Q} denotes \mathbf{C} equipped with its Q-equivalences, Q-cofibrations, and Q-fibrations. For this we need:

(A.4) If f: $X \rightarrow Y$ is a weak equivalence in \mathbb{C} , then so is

Qf: QX \rightarrow QY.

(A.5) For each X_{C} the maps $\eta_{QX}, \Im\eta_X$: QX \to QQX are weak equivalences in C.

(A.6) For a pull-back square

$$\begin{array}{c} A \xrightarrow{h} X \\ \downarrow & \downarrow \\ B \xrightarrow{k} Y \end{array}$$

in C, if j is a Q-fibration and k is a Q-equivalence, then h is a Q-equivalence; and the dual condition holds for a push-out square.

<u>Theorem A.7</u>. Suppose (A.4), (A.5), and (A.6). Then \mathcal{C}^{Q} is a proper closed model category. Moreover, a map f: X \rightarrow Y in \mathcal{C} is a Q-fibration $\langle \Longrightarrow \rangle$ f is a fibration and

$$\begin{array}{c} X \xrightarrow{\mathbf{n}} QX \\ \downarrow \\ Y \xrightarrow{\mathbf{n}} QY \end{array}$$

is a homotopy fibre square in C.

The proof is completed in A.10 after the following lemmas. In our r-space context, (A.6) does not quite hold and we use these lemmas directly.

Lemma A.8. Suppose (A.4). Then:

(i) $\mathbb{C}^{\mathbb{Q}}$ satisfies $\underline{CM1}-\underline{CM4}$ and the "cofibration, trivial fibration" part of $\underline{CM5}$. (ii) A map f: $X \to Y$ in **C** is a trivial fibration in $\mathbb{C}^{\mathbb{Q}} \iff$ f is a trivial fibration in **C**.

(iii) If f: $X \rightarrow Y$ is a fibration in C and both η : $X \rightarrow QX$ and η : $Y \rightarrow QY$ are weak equivalences, then f is a Q-fibration.

<u>Proof</u>. Statement (i) follows using (ii). In (ii), "<=" is clear and "=>" follows by first factoring f as f = ji with i a cofibration and j a trivial fibration, and then noting that f is a retract of j by a lifting argument using the fact that i is a Q-equivalence. For (iii), it suffices to show that the filler exists in each commutative square



with i a trivial cofibration in C^Q . Viewing this as a map from 1 to f in C^{Pairs} , we apply A.1 and A.3 to factor it as

$$\begin{array}{cccc} A & \longrightarrow & V & \longrightarrow & X \\ & & & & & & \\ i & & & & & & \\ B & \longrightarrow & W & \longrightarrow & Y \end{array}$$

where h is isomorphic to Qi in Ho($\mathbb{C}^{\text{Pairs}}$). Then h is a weak equivalence, so we apply $\underline{\text{CM5}}$ to h and use $\underline{\text{CM4}}$ to obtain the desired filler.

Now, A.8(iii) easily implies

Lemma A.9. Suppose (A.4) and (A.5). If f: $X \rightarrow Y$ is a fibration in \mathbb{C} and



is a homotopy fibre square, then f is a Q-fibration.

<u>A.10. Proof of A.7</u>. We wish to factor a map f: $X \rightarrow Y$ in C as f = ji where j is a Q-fibration and i is a Q-cofibration and Q-equivalence. First factor Qf as Qf = vu where u is a weak equivalence and v is a fibration. Then let f = v'u' be the factorization of f induced by η : $X \rightarrow QX$ and η : $Y \rightarrow QY$; and factor u' as u' = ki where i is a cofibration and k is a trivial fibration. Then the factorization f = (v'k)i has the desired properties, since v'k satisfies the hypotheses of A.8(iii) and i is a Q-equivalence by (A.4)-(A.6). The "<=" part of A.7 is A.9, and the "=>" part follows by using the above procedure to factor f as f = (v'k)i, and then noting that f is a retract of v'k.

Appendix B. Bisimplicial sets

For convenience we have gathered here various definitions and results on bisimplicial sets which are used elsewhere in this paper. Much of this material is well-known, and the main innovation is the fibre square theorem (B.4) for diagonals of bisimplicial sets. As a consequence of that theorem we deduce a generalization of Quillen's spectral sequence ([Quillen 3]).

Let Δ be the category whose objects are the finite ordered sets [m] = {0,1,...,m} for m \geq 0, and whose morphisms are the nondecreasing maps. A <u>bisimplicial set</u> is a functor $\Delta^{\circ} \times \Delta^{\circ} \rightarrow$ (sets), and these form a category (bis. sets). One can think of a bisimplicial set X as a collection of sets $X_{m,n}$ for m,n \geq 0 together with horizontal and vertical face and degeneracy operators

 $\begin{array}{l} d_{i}^{h} \colon X_{m,n} \rightarrow X_{m-1,n}, \ s_{i}^{h} \colon X_{m,n} \rightarrow X_{m+1,n}, \ d_{j}^{V} \colon X_{m,n} \rightarrow X_{m,n-1}, \\ s_{j}^{V} \colon X_{m,n} \rightarrow X_{m,n+1} \ \text{for } 0 \leq i \leq m \ \text{and } 0 \leq j \leq n, \ \text{where the horizontal} \\ \text{and vertical operators commute, and the usual simplicial identities} \\ \text{hold horizontally and vertically.} \end{array}$

In practice, many constructions in algebraic topology can be achieved by first forming an appropriate bisimplicial set and then applying the diagonal functor

diag: (bis. sets) \rightarrow (s.sets)

where diag X is given by the sets $X_{m,m}$ for $m \ge 0$ with operators $d_i = d_i^h d_i^V$ and $s_i = s_i^h s_i^V$. For example, if K and L are simplicial sets, there is an obvious bisimplicial set K \ge L with $(K \ge L)_{m,n} = K_m \times L_n$, and $diag(K \ge L) = K \times L$. Many other examples are given, at least implicitly, in [Artin-Mazur], [Bousfield-Kan, XII], [Dress], [May 2], [Segal 2], and elsewhere. Most of these examples lead to interesting homotopy or (co)homology spectral sequences.

The main results for bisimplicial sets involve the relation between the vertical simplicial terms and the diagonal, i.e. between the $X_{m,*}$ and diag X. (Of course, there are immediate corollaries with "vertical" replaced by "horizontal.") To understand these results one should first note that the construction of diag X is deceptively simple, and diag X may actually be viewed as the "total complex" or "realization" of X. Specifically, let Tot X be the simplicial set obtained from the disjoint union $\coprod_{m \geq 0} \Delta[m] \times X_{m,*}$ by identifying the simplex $(a, \theta^* x) \in \Delta[m] \times X_{m,*}$ with $(\theta_* a, x) \in \Delta[n] \times X_{n,*}$ for each θ : $[m] \rightarrow [n]$ in Δ . Now the classical Eilenberg-Zilber-Cartier theorem ([Dold-Puppe, p. 213]) for bisimplicial abelian groups has the following well-known analogue for bisimplicial sets. <u>Proposition B.1</u>. For a bisimplicial set X, there is a natural simplicial isomorphism ψ : Tot X \approx diag X.

<u>Proof</u>. The desired map Ψ : Tot X \rightarrow diag X is induced by the maps $\Delta[m] \times X_{m,*} \rightarrow \text{diag X sending } (\theta^* \iota^m, x) \epsilon \Delta[m]_n \times X_{m,n}$ to $\theta^* x \epsilon X_{n,n}$ for θ : $[n] \rightarrow [m]$ in Δ . One checks explicitly that Ψ is iso whenever $X = \Delta[m] \times \Delta[n]$, i.e. X is freely generated by an (m,n)-simplex. The proposition then follows by a direct limit argument.

In view of B.l, the following fundamental theorem is not surprising.

<u>Theorem B.2</u>. Let f: X \rightarrow Y be a map of bisimplicial sets such that $f_{m,*}: X_{m,*} \rightarrow Y_{m,*}$ is a weak equivalence for each $m \geq 0$. Then diag(f): diag X \rightarrow diag Y is a weak equivalence.

This was proved in [Bousfield-Kan, p. 335], but a more direct proof using a patching argument is in [Tornehave] and [Reedy].

The diagonal functor not only preserves termwise weak equivalences of bisimplicial sets, but also clearly preserves termwise cofibre squares. To state a similar, but more complicated, result for termwise fibre squares, we will need

<u>B.3. The π_* -Kan condition</u>. This is a condition on a bisimplicial set X which holds automatically when each $X_{m,*}$ is connected, and in many other cases. Roughly speaking, it requires that the vertical homotopy groups of X satisfy Kan's extension condition horizontally. More precisely, for $m,t \geq 1$ and $a_{\epsilon}X_{m,0}$ consider the homomorphisms

$$(\mathbf{d}_{\mathbf{i}}^{\mathbf{h}})_{*} : \pi_{\mathbf{t}}(\mathbf{X}_{\mathbf{m},*}, \mathbf{a}) \to \pi_{\mathbf{t}}(\mathbf{X}_{\mathbf{m}-\mathbf{l},*}, \mathbf{d}_{\mathbf{i}}^{\mathbf{h}} \mathbf{a}) \quad 0 \leq \mathbf{i} \leq \mathbf{m}$$

where the homotopy groups of a simplicial set are defined to be those of its geometric realization. We say X satisfies the π_t -Kan

<u>condition at</u> $a_{\epsilon}X_{m,O}$ if for every collection of elements

$$\{x_{i} \in \pi_{t}(X_{m-1,*}, d_{i}^{h}a)\}_{i=0,1,\ldots,k-1,k+1,\ldots,m}$$

which satisfy the compatibility condition $(d_{i}^{h})_{*}x_{j} = (d_{j-1}^{h})_{*}x_{i}$ for $i < j, i \neq k, j \neq k$, there exists an element $x_{\epsilon}\pi_{t}(X_{m,*}, \mathbf{a})$ such that $(d_{i}^{h})_{*}x = x_{i}$ for all $i \neq k$. We say X satisfies the π_{*} -Kan condition if for each $m, t \geq l$ it satisfies the π_{t} -Kan condition at each $a_{\epsilon}X_{m,0}$.

To see that X satisfies the π_* -Kan condition when each $X_{m,*}$ connected, one makes the following two observations. First, if $a, b_{\mathfrak{e}} X_{m,0}$ are in the same component of $X_{m,*}$, then the π_t -Kan condition for X at a is clearly equivalent to that at b. Second, if $a_{\mathfrak{e}} X_{m,0}$ can be expressed as $a = s_0^h \cdots s_0^h e$ for some $e_{\mathfrak{e}} X_{0,0}$, then X satisfies the π_t -Kan condition at a for all $t \ge 1$, because any simplicial group satisfies the ordinary Kan condition. Note also that if X,Y_{\mathfrak{e}}(bis. sets) are related by a termwise weak equivalence $X \to Y$, then X satisfies the π_* -Kan condition if and only if Y does.

It is easy to show that a bisimplicial set X satisfies the π_* -Kan condition if it has a bisimplicial group structure. To give a more general criterion we use the following notation. For a simplicial set K and $t \ge 1$, let $\pi_t(K)_{\text{free}}$ denote the set of unpointed homotopy classes of maps from a t-sphere to |K|, and let $\beta: \pi_t(K)_{\text{free}} \rightarrow \pi_0 K$ be the obvious surjection. We call K <u>simple</u> if each component of |K| is a simple space. It is now an easy exercise to prove

(B.3.1). Let X be a bisimplicial set with $X_{m,*}$ simple for $m \ge 0$. Then X satisfies the π_* -Kan condition if and only if the simplicial map β : $\pi_t^V(X)_{free} \rightarrow \pi_0^V X$ is a fibration for each $t \ge 1$.

To state our fibre square theorem, we recall that a commutative square



of simplicial sets is a <u>homotopy fibre square</u> (see A.2) if for some factorization $C \xrightarrow{i} W \xrightarrow{p} D$ of w with i a weak equivalence and p a (Kan) fibration, the map $A \rightarrow B \times_D W$ is a weak equivalence. Also, for a bisimplicial set X, we let $\pi_i^V X$ be the simplicial set with $(\pi_i^V X)_m = \pi_i X_{m,*}$.

Theorem B.4. Let



be a commutative square of bisimplicial sets such that the terms $V_{m,*}$, $W_{m,*}$, $X_{m,*}$, and $Y_{m,*}$ form a homotopy fibre square for each $m \geq 0$. If X and Y satisfy the π_* -Kan condition and if $\pi_O^V X \to \pi_O^V Y$ is a fibration, then

diag V → diag X diag W → diag Y

is a homotopy fibre square.

Note that the hypotheses on X and Y hold automatically when the terms $X_{m,*}$ and $Y_{m,*}$ are all connected. Some other interesting, but more specialized, versions of this theorem have been proved in [May 2, §12] and [Segal 2]; and some extensions and applications have been obtained by T. Gunnarson in his thesis work. Before starting to prove B.4, we apply it to generalize Quillen's spectral sequence for bisimplicial groups [Quillen 3].

<u>Theorem B.5.</u> Let X be a bisimplicial set satisfying the π_* -Kan condition, and let $* \in X_{0,0}$ be a base vertex (whose degeneracies are taken as the basepoints of the sets $X_{m,n}$.) Then there is a first quadrant spectral sequence $\{E_{s,t}^r\}_{r\geq 2}$ converging to $\pi_{s+t}(\text{diag X})$ with $E_{s,t}^2 = \pi_s^h \pi_t^V X$. The term $E_{s,t}^r$ is a set for t + s = 0, a group for t + s = 1, and an abelian group for $t + s \geq 2$. Convergence has the obvious meaning, e.g. there is an isomorphism of sets $E_{0,0}^{\infty} \approx \pi_0 \text{diag X}$ and a short exact sequence $1 \rightarrow E_{0,1}^{\infty} \rightarrow \pi_1 \text{diag X} \rightarrow E_{1,0}^{\infty} \rightarrow 1$ of groups.

<u>Proof</u>. By B.2 we can assume each $X_{m,*}$ is a Kan complex, and by B.4 there is a homotopy fibre square

for $t \ge 0$ where $P_t X$ is the bisimplicial set given by the t^{th} -Postnikov sections of the terms $X_{m,*}$ (taking $P_{-1}X = *$), and where $F_t X$ is the fibre of $P_t X \rightarrow P_{t-1} X$. Let $\{E_{s,t}^r\}$ be the associated spectral sequence with $E_{s,t}^2 = \pi_{s+t} \operatorname{diag}(F_t X)$. The convergence result follows since diagX \rightarrow diag $P_t X$ is iso in dimensions $\le t$ and onto elsewhere, and it remains to show $\pi_{s+t} \operatorname{diag}(F_t X) \simeq \pi_s^h \pi_t^V X$. Since $(F_t X)_{m,n} = *$ for n < t, there is a natural bisimplicial map $F_t X \rightarrow K(\pi_t^V X, t)$ where $K(\pi_t^V X, t)$ is given by the minimal Eilenberg-MacLane complexes $K(\pi_t X_{m,*}, t)$. By B.2, we now have

$$\pi_{s+t} \operatorname{diagF}_{t} X \approx \pi_{s+t} \operatorname{diagK}(\pi_{t}^{v} X, t)$$

and the required isomorphism

$$\pi_{s+t} \operatorname{diagK}(\pi_t^v X, t) \approx \pi_s^h \pi_t^v X$$

follows for $t \ge 2$ from [Dold-Puppe, p. 213], and for t = 0 trivially. The remaining case t = 1 will follow by showing $\pi_*BG \gtrsim \pi_{*-1}G$ for a simplicial group G, where

$$BG = diagK(G_*, 1)_*.$$

The natural principal fibrations

$$K(G_n, 0) \rightarrow L(G_n, 1) \rightarrow K(G_n, 1)$$

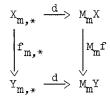
with $|L(G_n, 1)| \simeq *$ induce a principal fibration

$$G = diagK(G_*, 0)_* \rightarrow diagL(G_*, 1)_* \rightarrow diagK(G_*, 1) = BG$$

and $|\operatorname{diagL}(G_*,1)_*| \approx *$ by an argument using B.2. Thus $\pi_* BG \approx \pi_{*-1} G$. To prove B.4 we need a model category structure on (bis. sets). For $X, Y_{\mathfrak{C}}(bis. sets)$, let HOM(X,Y) be the simplicial set whose n-simplices are the bisimplicial maps $X \otimes \Delta[n] \rightarrow Y$ where $(X \otimes \Delta[n])_{m.*} = X_{m,*} \times \Delta[n].$

<u>Theorem B.6</u>. The category (bis. sets) is a proper closed simplicial model category when provided with the following additional structure: a map f: $X \rightarrow Y$ in (bis. sets) is called a <u>weak equivalence</u> if $f_{m,*}: X_{m,*} \rightarrow Y_{m,*}$ is a weak equivalence in (s.sets) for each $m \geq 0$; f is called a <u>cofibration</u> if it is injective; and f is called a

<u>fibration</u> if $f_{0,*}: X_{0,*} \to Y_{0,*}$ is a fibration and for each $m \ge 1$ the simplicial square



induces a fibration $X_{m,*} \rightarrow Y_{m,*} \times_{M_m Y} M_m X$ where $(M_m X)_n$ is the set of (m + 1)-tuples (x_0, \dots, x_m) in $X_{m-1,n}$ such that $d_{ix_j}^h = d_{j-1}^h x_i$ for i < j, and where d: $X_{m,*} \rightarrow M_m X$ is given by $d(x) = (d_0^h x, \dots, d_m^h x)$.

This theorem follows from [Reedy]; the proof is similar to that of 3.5. We remark that if f: $X \rightarrow Y$ is a fibration in (bis. sets), then each $f_{m,*}: X_{m,*} \rightarrow Y_{m,*}$ is a fibration in (s.sets), but not conversely.

<u>Proof of B.4</u>. By <u>CM5</u> (cf. §1) and B.2, we can suppose that the given square



is a pull-back with $X \rightarrow Y$ a fibration and with X,Y fibrant. Since the diagonal functor preserves pull-backs, B.4 follows from

<u>Proposition B.7</u>. Let X, Y_{ϵ} (bis. sets) be fibrant objects satisfying the π_* -Kan condition, and let f: $X \rightarrow Y$ be a fibration. If $f_*: \pi_0^V X \rightarrow \pi_0^V Y$ is a fibration in (s.sets), then so is diag f: diagX \rightarrow diagY.

To prove B.7, we begin by noting that the diagonal functor has a

left adjoint

L:
$$(s.sets) \rightarrow (bis.sets)$$

given by

$$L(K)_{m,n} = \operatorname{colim}_{([m],[n]) \rightarrow ([i],[i]) \epsilon \Delta \times \Delta} K_{i}$$

To construct L(K) more explicitly, we use the bisimplicial map c: $L(K) \rightarrow K \times K$ adjoint to the diagonal $K \rightarrow K \times K$. Although c is not always injective, we have

<u>Lemma B.8</u>. If K is the simplicial set associated with an ordered simplicial complex (cf. [May 1, 1.4]), then c: $L(K) \rightarrow K \times K$ is an injection onto the bisimplicial subset generated by all $(x,x) \in K \times K$.

<u>Proof</u>. Suppose $(\theta_1^* x_1, \theta_1^* x_1) = (\theta_2^* x_2, \theta_2^* x_2)$ in K x K where x_1, x_2 are non-degenerate simplices of K and $\theta_1, \theta_1, \theta_2, \theta_2$ are maps in Δ . The injectivity of c follows because there exist factorizations $\theta_1 = \mathbf{y}_1 \sigma$, $\phi_1 = \mathbf{y}_1 \tau$, $\theta_2 = \mathbf{y}_2 \sigma$, $\phi_2 = \mathbf{y}_2 \tau$ in Δ such that $\mathbf{y}_1^* x_1 = \mathbf{y}_2^* x_2$. (Take $\mathbf{y}_1^* x_1$ to be the "largest common face" of x_1 and x_2 .) The result on the image of c is obvious.

We next use B.8 to show

Lemma B.9. Let f: $X \rightarrow Y$ be a bisimplicial fibration such that $f_{*,n}: X_{*,n} \rightarrow Y_{*,n}$ is a fibration for each $n \ge 0$. Then diag f is a fibration.

<u>Proof</u>. It suffices to show that diag f has the right lifting property (RLP) for the maps $\Delta^k[n] \xrightarrow{\mathbf{C}} \Delta[n]$ with $n \ge 1$ and $0 \le k \le n$, where $\Delta^k[n]$ is the simplicial subset of $\Delta[n]$ generated by the faces d_i for $i \neq k$. By adjointness, it now suffices to show that f has the RLP for the bisimplicial maps $L_{\Delta}^{k}[n] \rightarrow L_{\Delta}[n]$ with $n \geq 1$ and $0 \leq k \leq n$. Using B.8 we factor these maps as

$$L\Delta^{k}[n] \xrightarrow{\mathbf{C}} \Delta^{k}[n] \xrightarrow{\mathbf{x}} \Delta[n] \xrightarrow{\mathbf{C}} \Delta[n] \xrightarrow{\mathbf{x}} \Delta[n] \approx L\Delta[n]$$

and we observe that the left map is a trivial cofibration in (bis.sets). The result now follows since f has the RLP for each of the factor maps.

Continuing with the proof of B.7, we must reformulate B.9 using "matching" objects. For $m \ge 1$, $0 \le s_1 < \cdots < s_r \le m$, and a bisimplicial set X, let $M_m^{(s_1, \ldots, s_r)}$ X denote the "matching" simplicial set whose n-simplices are the r-tuples $(x_{s_1}, \ldots, x_{s_r})$ in $X_{m-1,n}$ such that $d_1^h x_j = d_{j-1}^h x_i$ for each i < j in $\{s_1, \ldots, s_r\}$. Also let d: $X_{m,*} \rightarrow M_m^{(s_1, \ldots, s_r)}$ X be the simplicial map with $d(x) = (d_{s_1}^h x, \ldots, d_{s_r}^h x)$. It will be convenient to write $M_m^{k_X}$ for $M_m^{(0, \ldots, k, \ldots, m)} x$.

Lemma B.10. Let f: X \rightarrow Y be a bisimplicial fibration such that the square

$$\begin{array}{ccc} \mathbf{X}_{m,\star} & \overset{\mathrm{d}}{\longrightarrow} & \mathbf{M}_{m}^{k} \mathbf{X} \\ & & & & & & \\ \mathbf{f}_{m,\star} & & & & & \\ \mathbf{Y}_{m,\star} & \overset{\mathrm{d}}{\longrightarrow} & \mathbf{M}_{m}^{k} \mathbf{Y} \end{array}$$

induces a surjection

$$\pi_{O}^{X_{m,*}} \rightarrow \pi_{O}^{(Y_{m,*}} \times \underset{M_{m}^{k_{Y}}}{\times} M_{m}^{k_{X}})$$

for m > 1 and 0 < k < m. Then diag f is a fibration.

<u>Proof</u>. Since f is a fibration and $\Delta^{k}[m] \underset{M}{\times} \Delta[0] \xrightarrow{\mathbf{c}} \Delta[m] \underset{M}{\times} \Delta[0] \text{ is a cofibration, the map}$ $X_{m,*} \xrightarrow{} Y_{m,*} \underset{M}{\times} \underset{M}{}^{k} \underset{M}{}^$

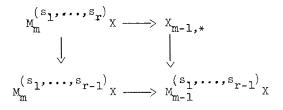
To verify the hypotheses of B.10 in our situation, we need

Lemma B.11. Let X be a fibrant bisimplicial set satisfying the π_* -Kan condition, and let $a = (a_{s_1}, \dots, a_{s_r})$ be a vertex of $\binom{(s_1, \dots, s_r)}{X}$ where $1 \le r \le m$, $0 \le s_1 < \dots < s_r \le m$. Then for $t \ge 0$ the obvious map

$$\pi_{t}(\mathsf{M}_{m}^{(\mathsf{s}_{1},\ldots,\mathsf{s}_{r})}\mathsf{X},\mathsf{a}) \rightarrow \pi_{t}(\mathsf{X}_{m-1,*},\mathsf{a}_{s_{1}}) \times \cdots \times \pi_{t}(\mathsf{X}_{m-1,*},\mathsf{a}_{s_{r}})$$

is an injection whose image consists of the elements $(u_{s_1}, \ldots, u_{s_r})$ such that $(d_1^h)_* u_j = (d_{j-1}^h)_* u_i$ for each i < j in $\{s_1, \ldots, s_r\}$. Moreover, d: $X_{m,*} \rightarrow M_m^{(s_1, \ldots, s_r)} X$ is a fibration.

<u>Proof</u>. Using <u>SM7</u> as in B.10, one shows that d is a fibration. Then the lemma follows by induction on r using the fibre squares



for $r \ge 2$.

Finally we can give

Proof of B.7. Consider the square of simplicial sets

$$\begin{array}{ccc} \mathbf{X}_{m,\star} & \overset{\mathbf{d}}{\longrightarrow} & \mathbf{M}_{m}^{k}\mathbf{X} \\ & & & & & \\ & & & & \\ & & & \\ & & & &$$

for $m \geq$ 1 and 0 \leq k \leq m. For each vertex $a_{\pmb{\varepsilon}} Y_{m,\,O}$ we show that

$$d_*: \pi_1(Y_{m,*},a) \rightarrow \pi_1(M_m^{k}Y,da)$$

is onto by using B.ll to compute $\pi_1(M_m^kY, da)$ and using π_1 -Kan condition for Y at a. Thus there is an isomorphism

$$\pi_{O}(\mathbf{Y}_{m,\star} \times \mathbf{x}_{M_{m}^{k}Y} \mathbf{M}_{m}^{k}X) \approx \pi_{O}\mathbf{Y}_{m,\star} \times \mathbf{x}_{\sigma_{O}M_{m}^{k}Y} \pi_{O}\mathbf{M}_{m}^{k}X$$

and we conclude that

$$\pi_{O}^{X}_{m,*} \rightarrow \pi_{O}^{(Y_{m,*}} \times \underset{M_{m}^{k}Y}{\times} M_{m}^{k}X)$$

is onto by using B.11 in the case t = 0 and the hypothesis that $\pi_0^{\mathbf{V}} X \rightarrow \pi_0^{\mathbf{V}} Y$ is a fibration. Now B.7 follows from B.10.

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